

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griesa, Thomas P.	2. Court or Organization United States District Court	3. Date of Report 6/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States District Court 500 Pearl Street, Room 1630 New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Greater New York Councils Boy Scouts of America
2. Trustee	Trust #1
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank	A	Interest	K	T					
2. AT&T	B	Dividend	K	T					
3. Alcatel-Lucent		None	J	T					
4. Ameren	B	Dividend	K	T					
5. Comcast	A	Dividend	J	T					
6. Exelon	B	Dividend	K	T					
7. Great Plains Energy	B	Dividend	K	T					
8. Southern Co.	C	Dividend	L	T					
9. Adams Express Co. Maryland	B	Dividend			Sold	8/6	M		
10. Tri Continental Corp.	A	Dividend			Sold	8/6	L		
11. American Fund Capital Income Builder Fund	E	Dividend	O	T					
12. Franklin Income Fund Class A	E	Dividend	M	T					
13. Western Asset Money Market Fund	E	Dividend	N	T	Buy	2/27	M		
14.					Buy	8/6	N		
15. American Fund Washington Mutual Investors	C	Dividend	M	T					
16. Nationwide Life Annuity		None	O	T					
17.					Buy	1/27	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.					Buy	4/14	L		
19.					Buy	11/9	K		
20. Trust #1	G	Int./Div.	P2	T					
21. - AT&T Inc.					Sold (part)	3/24	K		
22.					Sold (part)	10/9	N		
23. - Alcatel-Lucent ADR									
24. - Apache Corp.					Sold (part)	3/24	K		
25. - CSX Corp.					Sold (part)	3/24	K		
26.					Sold (part)	11/30	M		
27. - Comcast Corp									
28. - DirectTV Group Inc.									
29. - Franklin Resources Inc.									
30. - P H Glatfelter Co.									
31. - Great Plains Energy Inc.					Sold (part)	2/19	K		
32. - Hershey					Buy	2/19	K		
33. - Host Hotels & Resorts Inc.									
34. - Kansas City Southern Inds									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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35. - Kellogg Co.									
36. - Kimberly Clark Corp.									
37. - Neenah Paper Inc.									
38. - News Corp. Class A									
39. - Pepco Holdings Inc.									
40. - Pitney Bowes Inc.									
41. - Principal Financial Group Inc.									
42. - Starwood Hotels & Resorts									
43. - Time Warner Inc.									
44. - Time Warner Cable					Spinoff (from line 43)	4/2	J		
45. - US Bancorp									
46. - Union Pacific Corp.									
47. - Williams Cos Inc.									
48. - Wisconsin Energy Corp Holding Co.									
49. - Adams Express Co. - Maryland					Sold (part)	11/23	M		
50.					Sold (part)	12/10	M		
51. - Tri Continental Corp.					Sold (part)	11/23	L		

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52.					Sold	12/10	M		
53. - Franklin Income Fund Class A									
54. - Franklin Real Estate Securities FD Class A									
55. - Vanguard Information Technology									
56. - Barclays Bank Pfd.									
57. - HSBC Holdings Pfd.									
58. - Prudential Pfd.									
59. - Centerpoint Energy									
60. - Microsoft					Buy	11/4	L		
61. - Monsanto									
62. - Royal Bank of Scotland									
63. - Verizon									
64. - DST Systems									
65. - Hewlett Packard					Buy	11/4	K		
66. - Intel Corp.					Buy	11/4	M		
67. - JP Morgan Chase									
68. - Kraft Foods					Buy	2/19	K		

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69. - American Fund Euro Pacific Growth Fund									
70. - Templeton Bric Fund									
71. - Columbia Marsico Fund									
72. - Oppenheimer Developing Mkts. Fund									
73. Johnson & Johnson					Buy	2/19	L		
74. US Treasury Inflation Index Notes					Buy	12/8	M		
75. AOL Inc.					Spinoff (from line 82)	12/15			
76. Citibank Bank Deposit Program									
77. U.S. Treasury Bills									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

US Treasury Bills, included in the current report for 2009, were omitted from previous reports by mistake. The same is true for Citibank Bank Deposit Program. With respect to Powershares Exchange, included in the 2008 report, there should have been a notation that it was sold on 2/11/08. It is, of course, not included in the 2009 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544