

UNITED STATES COURT OF APPEALS
FOR THE THIRD CIRCUIT

ANTHONY J. SCIRICA
CHIEF JUDGE

22614 UNITED STATES COURTHOUSE
SIXTH AND MARKET STREETS
PHILADELPHIA, PENNSYLVANIA 19106
(215) 597-2399

[REDACTED]

July 28, 2008

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FINANCIAL
DISCLOSURE OFFICE

Honorable Ortrie D. Smith
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Dear Judge Smith:

Thank you very much for your letter of July 10, 2008. Attached is an amended report supplying the required information:

Part VII, page 4, lines 3, 8, 9, 11, 13 and 15
and pages 5, lines 18, 20, and 26.

Sincerely,

[REDACTED]

Anthony J. Scirica

AJS:dm

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

ANTHONY J SCIRKA

Date of Report

5/10/08

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 3 (Q-W)	(5) Identity buyer/seller (if private transaction)
1. RENTAL property [REDACTED] Id	B	RENT	N	W					
2. Arch Coal common stock					sale	1/03	J	A	
3. Central Europe Fund common stock		NONE			sale	5/17	J	A	
4. Chesapeake Energy Corp common stock	A	div			sale	1/03	J	A	
5. Devon Energy Corp common stock					sale	1/03	J	A	
6. Emerging Market Telecommunications common stock					sale	1/03	J	A	
7. Energy Conversion Devices common stock					sale	1/03	J	A	
8. MEMC Electronics Materials common stock		NONE			sale	5/4	J	A	
9. O.I. SVC Holders Tr common stock		NONE			buy	5/25	K		
10.					sale	8/28	K	A	
11. O.I. SVC Holders Tr common stock		NONE			buy	9/10	K		
12.					sale	10/31	K	A	
13. Petroleo Brasileiro SA common stock		NONE			buy	11/01	J		
14.					sale	11/12	J	A	
15. RAIT Financial Trust common stock	A	div			buy	4/13	J		
16.					sale	5/17	J	A	
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

ANTHONY J SCIRICA

Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. <i>Common stock</i> S+P 500 Depository Trust	A	div			buy	5/25	J		
19.					sale	8/28	J		
20. <i>common stock</i> SUNtech PWR HLDGS		NONE			sale	5/14	J		
21. <i>common stock</i> CANADIAN Oil Sands	A	div	J	T	PART sale	5/25	J		
22.					buy	9/26	J		
23.					buy	11/02	J		
24.					buy	11/08	J		
25. <i>Fidelity Municipal MoneyMarket</i>	A	INT	J	T	buy	1/31	J		
26. <i>common stock</i> ENCANA Corp		NONE	J	T	buy	11/07	J		
27.									
28.									
29.									
30.									
31.									
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 ● = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCIRICA, ANTHONY J.	2. Court or Organization U.S. Court of Appeals for the Third Circuit	3. Date of Report 5/10/08
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2007 to 12/31/2007
7. Chambers or Office Address 22614 U.S. Courthouse 601 Market ST Philadelphia PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	[REDACTED] Philadelphia PA
2. Visitor	[REDACTED] Philadelphia PA
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

ANTHONY J SCIRICA

Date of Report

5/10/08

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2007	COMMONWEALTH OF PENNSYLVANIA PENSION	28,884
2.	2007 - FALL	[REDACTED] teaching	10,000
3.	2007 - FALL	[REDACTED] - teaching	4,500
4.	2007 - JUNE	[REDACTED] - teaching	5,000

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

	DATE	SOURCE AND TYPE
1.	2007	[REDACTED] teacher
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	[REDACTED]	June 2007	Florence It.	teaching	lodging, travel
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

ANTHONY J. SCIRICA

Date of Report

5/10/08

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

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9. O.I. SVC Holders TR common stock					buy	5/25	K		
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19.					sale	8/28	J	A	
20. <i>common stock</i> <i>SUNTECH PWR HLDGS</i>					sale	5/14	J	A	
21. <i>common stock</i> <i>CANADIAN OIL SANDS</i>	A	div	J	T	part sale	5/25	J	A	
22.					buy	9/26	J		
23.					buy	11/02	J		
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25. <i>Fidelity Municipal Money Market</i>	A	INT	J	T	buy	1/31	J		
26. <i>common stock</i> <i>ENCANA CORP</i>			J	T	buy	11/07	J		
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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

ANTHONY J SCIRICA

Date of Report

5/16/08

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

The following assets (common stock) were sold in 2007 and are listed in Part VII. The assets were purchased in 2006. I inadvertently left out the purchase and purchase date in my 2006 Financial Disclosure Report.

Arch Coal (common stock) no income buy 9/25/06 value code J
Sale 1/03/07 actual value \$1,017.00

Devon Energy Corp (common stock) no income buy 11/01/06 value code J
Sale 1/03/07 actual value \$3,218.00

Emerging Markets Telecommunications (common stock) no income buy 11/27/06 value code J
Sale 1/03/07 actual value \$1,271.00

Energy Conversion Devices (common stock) no income buy 11/16/06 value code J
Sale 1/03/07 actual value \$3,303.00

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

ANTHONY J SCIRICA

Date of Report

5/10/08

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544