

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pratter, Gene E.	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 04/16/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 7614 United States Courthouse Sixth & Markets Streets Philadelphia, PA 19106-1752	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust #1
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	PMA Capital Corporation (Sr. VP & General Counsel)
2. 2008	Commonwealth of Pennsylvania (Executive Deputy General Counsel)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Vanguard Total Bond Market Index - Retirement #1	A	Dividend			Buy (add'l)	1/15	J		
2. Vanguard Total Bond Market Index - Retirement #1		None			Sold	2/1	L	B	
3. Vanguard Index 500 - Retirement #1		None			Buy (add'l)	1/15	L		
4. Vanguard Index 500 - Retirement #1		None			Sold	2/1	L	C	
5. Vanguard Windsor II - Retirement #1		None			Buy (add'l)	1/15	J		
6. Vanguard Windsor II - Retirement #1		None			Sold	2/1	J	A	
7. Vanguard International Growth - Retirement #1		None			Buy (add'l)	1/15	J		
8. Vanguard International Growth - Retirement #1		None			Sold	2/1	L	C	
9. PMA Common Stock - Retirement #1		None			Buy (add'l)	1/15	J		
10. PMA Common Stock - Retirement #1		None			Sold	2/1	L	B	
11. Vanguard Total Bond Market Index - Retirement #2	B	Dividend	K	T	Buy (add'l)	4/15	J		
12. Vanguard Total Bond Market Index - Retirement #2		None			Buy (add'l)	7/15	J		
13. Vanguard Total Bond Market Index - Retirement #2		None			Buy (add'l)	10/15	J		
14. Vanguard Index 500 - Retirement #2	A	Dividend	K	T	Buy (add'l)	4/15	J		
15. Vanguard Index 500 - Retirement #2		None			Buy (add'l)	7/15	J		
16. Vanguard Index 500 - Retirement #2		None			Buy (add'l)	10/15	J		
17. Vanguard Windsor II - Retirement #2	B	Dividend	K	T	Buy (add'l)	4/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Windsor II - Retirement #2		None			Buy (add'l)	7/15	J		
19. Vanguard Windsor II - Retirement #2		None			Buy (add'l)	10/15	J		
20. Vanguard International Growth - Retirement #2	B	Dividend	K	T	Buy (add'l)	4/15	J		
21. Vanguard International Growth - Retirement #2		None			Buy (add'l)	7/15	J		
22. Vanguard International Growth - Retirement #2		None			Buy (add'l)	10/15	J		
23. Adams Harkness Small Growth - Retirement #5	A	Dividend	J	T	Buy	10/30	J		
24. DFA US Small Cap Value - Retirement #5	A	Dividend	J	T					
25. DFA US Large Cap Value - Retirement #5	A	Dividend	J	T					
26. Federated US Gov't I - 3 - Retirement #5	A	Dividend	K	T					
27. First Eagle Overseas - Retirement #5	A	Dividend	J	T					
28. Janus Fund - Retirement #5	A	Dividend	J	T					
29. Janus Overseas - Retirement #5	A	Dividend	J	T					
30. NB Genesis - Retirement #5	A	Dividend			Sold	10/30	J	A	See note in Part VIII
31. Schwab Money Market - Retirement #5	A	Dividend	J	T					
32. Westcore MIDCO Growth - Retirement #5	A	Dividend	J	T					
33. Janus Mid Cap Value - Retirement #5	A	Dividend	J	T					
34. William Blair Int'l Small Growth - Retirement #5	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Federated US Gov't 1 - 3 - Retirement #6	A	Dividend	J	T	Buy (add'l)	4/9	J		
36. PMA Common Stock - Retirement #6		None			Sold	3/18	K	A	
37. Baron Growth - Retirement #6	A	Dividend	J	T	Buy (add'l)	4/9	J		
38. First Eagle Overseas - Retirement #6	A	Dividend	J	T	Buy	4/9	J		
39. Royce Opportunity - Retirement #6	A	Dividend	J	T					
40. Schwab Money Market - Retirement #6	A	Dividend	J	T					
41. Thornburg Value - Retirement #6	A	Dividend	J	T	Buy (add'l)	4/9	J		
42. Janus Overseas - Retirement #6	A	Dividend	J	T					
43. Janus Fund - Retirement #6	A	Dividend	J	T	Buy (add'l)	4/9	J		
44. Sound Shore - Retirement#6	A	Dividend	J	T	Buy (add'l)	4/9	J		
45. Third Avenue Int'l Value - Retirement #6	A	Dividend	J	T	Buy	4/9	J		
46. StoneRidge Small Cap - Retirement #7		None			Sold	8/28	K	A	
47. Baron Growth - Retirement #7	A	Dividend	L	T	Buy (add'l)	8/28	J		
48. Brandywine - Retirement#7	A	Dividend	L	T					
49. DFA US Micro Cap - Retirement #7	A	Dividend	K	T					
50. DFA Emerging Markets Core Equity - Retirement #7	B	Dividend	K	T					
51. DFA International Small Value - Retirement #7	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. DFA International Value - Retirement #7	D	Dividend	M	T	Buy (add'l)	10/8	J		
53. DFA One Year Fixed - Retirement #7	D	Dividend	N	T					
54. DFA US Small Cap Value - Retirement #7	B	Dividend	L	T					
55. DFA US Large Cap Value - Retirement #7	C	Dividend	L	T					
56. Dodge & Cox Stock - Retirement #7	D	Dividend	L	T					
57. Federated US Gov't 1 - 3 - Retirement #7	D	Dividend	M	T					
58. First Eagle Overseas - Retirement #7	D	Dividend	L	T					
59. Hotchkis & Wiley Mid Cap Value - Retirement #7	A	Dividend	L	T					
60. Artio International Equity - Retirement #7	B	Dividend	K	T					Sec note in Part VIII
61. Morgan Stanley International Equity - Retirement #7	D	Dividend	M	T					
62. Morgan Stanley Emerging Markets - Retirement #7	C	Dividend	K	T					
63. Royce Micro Cap - Retirement #7	A	Dividend	J	T	Buy	4/28	J		
64. Royce Opportunity - Retirement #7	B	Dividend	K	T					
65. Schwab Money Market - Retirement #7	A	Dividend	K	T					
66. Westcore International Frontier - Retirement #7	A	Dividend	K	T					
67. Westcore Mid Cap Value - Retirement #7	B	Dividend	L	T					
68. Westcore MIDCO Growth - Retirement #7	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. William Blair International Growth - Retirement #7	C	Dividend	L	T					
70. Janus Fund - Retirement #7	A	Dividend	L	T					
71. Janus Overseas - Retirement #7	C	Dividend	L	T	Buy (add'l)	10/8	K		
72. Hotchkis & Wiley Large Cap Value - Retirement #7	B	Dividend	L	T					
73. Royce Value Plus - Retirement #7	A	Dividend	K	T					
74. Third Avenue International Value - Retirement #7	B	Dividend	L	T	Buy (add'l)	10/8	J		
75. Turner Core Growth - Retirement #7	A	Dividend	L	T					
76. William Blair Large Growth - Retirement #7	A	Dividend	L	T					
77. Schwab Value Advantage - Retirement #7	C	Dividend	N	T					
78. Adams Harkness Small Growth - Retirement #7	B	Dividend	L	T	Buy	8/28	K		
79. William Blair Int'l Small Growth - Retirement #7	A	Dividend	J	T	Buy	10/8	J		
80. Schwab Money Market - Trust #1	A	Dividend	K	T					
81. Dodge & Cox Stock - Trust #1	A	Dividend	J	T					
82. Schroder Short Term Muni - Trust #1	B	Dividend	K	T	Sold (part)	3/18	J	A	
83.					Sold	10/8	J	A	
84. Baron Growth - Trust #1	A	Dividend	J	T					
85. Brandywine - Trust #1	A	Dividend			Sold	12/17	J	A	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. Federated Short Term Muni - Trust #1	A	Dividend	K	T	Sold (part)	2/1	J	A	
87. First Eagle Overseas - Trust #1	B	Dividend	K	T					
88. Hotchkis & Wiley Large Cap Value - Trust #1	A	Dividend	J	T					
89. Hotchkis & Wiley Mid Cap Value - Trust #1	A	Dividend	K	T	Buy (add'l)	2/1	J		
90. Janus Fund - Trust #1	A	Dividend	K	T	Sold (part)	2/1	J	A	
91. Janus Overseas - Trust #1	A	Dividend	J	T	Sold (part)	2/1	J	C	
92. Artio International Equity - Trust #1	A	Dividend	K	T					See note in Part VIII
93. Morgan Stanley International Equity - Trust #1	B	Dividend	K	T					
94. Morgan Stanley Emerging Markets - Trust #1	B	Dividend	J	T					
95. NB Genesis - Trust #1	A	Dividend			Sold	10/30	J	A	See note in Part VIII
96. Royce Opportunity - Trust #1	A	Dividend	J	T					
97. Turner Core Growth - Trust #1	A	Dividend	K	T					
98. Westcore Mid Cap Value - Trust #1	A	Dividend	J	T					
99. Westcore MIDCO Growth - Trust #1	B	Dividend	K	T	Buy (add'l)	2/1	J		
100. DFA Tax Managed Marketwide Value - Trust #1	A	Dividend	K	T					
101. DFA Tax Managed US Targeted Value - Trust #1	A	Dividend			Sold	12/17	J	A	
102. DFA US Micro Cap - Trust #1	A	Dividend	J	T	Buy (add'l)	2/1	J		

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3. Value Method Codes (See Column C2)					

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103. Third Avenue International Value - Trust #1	A	Dividend	K	T	Buy (add'l)	10/8	J		
104. Westcore International Frontier - Trust #1	A	Dividend	J	T					
105. DFA Tax Mgd Int'l Value - Trust #1	A	Dividend			Sold	12/17	J	A	
106. DFA Int'l Value - Trust #1		None	J	T	Buy	12/17	J		
107. DFA US Small Value - Trust #1		None	J	T	Buy	12/17	J		
108. Turner Small Cap Equity - Trust #1	A	Dividend	J	T	Buy	10/30	J		
109. William Blair Mid Growth - Trust #1	A	Dividend	J	T	Buy	12/17	J		
110. Baron Growth - Brokerage #1	A	Dividend	K	T					
111. Brandywine Fund - Brokerage #1	A	Dividend			Sold	12/17	J	A	
112. DFA Tax Mgd US Marketwide Value - Brokerage #1	A	Dividend	K	T					
113. DFA Tax Mgd US Targeted Value - Brokerage #1	A	Dividend			Sold	12/17	K	A	
114. DFA US Small Value - Brokerage #1		None	K	T	Buy	12/17	K		
115. DFA Emerging Markets Core Equity - Brokerage #1	A	Dividend	K	T					
116. DFA Tax Mgd International Value - Brokerage #1	B	Dividend	K	T					
117. East River Bank Stock - Brokerage #1		None	K	U					
118. Federated Short Term Muni - Brokerage #1	B	Dividend			Sold (part)	8/11	K	A	
119.					Sold	9/3	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. First Eagle Overseas - Brokerage #1	B	Dividend	K	T					
121. Janus Fund - Brokerage #1	A	Dividend	K	T					
122. Janus Overseas - Brokerage #1	A	Dividend	K	T					
123. Janus Mid Cap Value - Brokerage #1	A	Dividend	K	T					
124. Artio International Equity - Brokerage #1	A	Dividend	J	T					See Note in Part VIII
125. Morgan Stanley International Equity - Brokerage #1	B	Dividend	K	T					
126. PMA Capital Common Stock - Brokerage #1		None			Sold	3/18	M	A	
127. Schwab Money Market - Brokerage #1	C	Dividend	M	T					
128. Schwab Muni Value Advantage - Brokerage #1	A	Dividend			Sold	8/11	K	A	
129. Sound Shore - Brokerage #1	A	Dividend	K	T					
130. Westcore MIDCO Growth - Brokerage #1	B	Dividend	K	T					
131. Schroder Short Term Muni - Brokerage #1	C	Dividend	L	T					
132. Hotchkis & Wiley Large Cap Value - Brokerage #1	A	Dividend	J	T					
133. Hotchkis & Wiley Mid Cap Value - Brokerage #1	A	Dividend	J	T					
134. Royce Value Plus - Brokerage #1	A	Dividend	J	T					
135. Third Avenue International Value - Brokerage #1	A	Dividend	K	T					
136. Thornburg Int'l Value - Brokerage #1	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. William Blair Int'l Small Growth - Brokerage #1	A	Dividend	J	T					
138. Westcore International Frontier - Brokerage #1	A	Dividend	J	T					
139. William Blair Large Growth - Brokerage #1	A	Dividend	K	T					
140. William Blair Mid Growth - Brokerage #1	A	Dividend	J	T	Buy	12/17	J		
141. Baron Growth - Brokerage #2	A	Dividend	J	T					
142. DFA US Micro Cap - Brokerage #2	A	Dividend	J	T					
143. DFA Tax Mgd Marketwide Value - Brokerage #2	A	Dividend	K	T					
144. DFA Tax Mgd US Targeted Value - Brokerage #2	A	Dividend			Sold	12/17	J	A	
145. DFA US Small Value - Brokerage #2		None	J	T	Buy	12/17	J		
146. DFA Tax Mgd Int'l Value - Brokerage #2	A	Dividend	J	T					
147. Dodge & Cox Stock - Brokerage #2	B	Dividend	K	T					
148. Federated Short Term Muni - Brokerage #2	B	Dividend	K	T					
149. First Eagle Overseas - Brokerage #2	B	Dividend	J	T					
150. Hotchkis & Wiley Large Cap Value - Brokerage #2	A	Dividend	J	T					
151. Hotchkis & Wiley Mid Cap Value - Brokerage #2	A	Dividend	K	T					
152. Janus Fund - Brokerage #2	A	Dividend	K	T					
153. Janus Overseas - Brokerage #2	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. Artio International Equity - Brokerage #2	A	Dividend	K	T					See Note in Part VIII
155. Morgan Stanley International Equity - Brokerage #2	C	Dividend	K	T					
156. Morgan Stanley Emerging Markets - Brokerage #2	B	Dividend	J	T					
157. NB Genesis - Brokerage #2	A	Dividend			Sold	10/30	J	A	See Note in Part VIII
158. Royce Opportunity - Brokerage #2	A	Dividend	J	T					
159. Schwab Money Market - Brokerage #2	A	Dividend	K	T					
160. Schwab Muni Value Advantage - Brokerage #2	A	Dividend	K	T					
161. Schroder Short Tenn Muni - Brokerage #2	C	Dividend	L	T					
162. Third Avenue International Value - Brokerage #2	A	Dividend	K	T					
163. Turner Core Growth - Brokerage #2	A	Dividend	K	T					
164. Turner Small Cap Equity - Brokerage #2	A	Dividend	J	T	Buy	10/30	J		
165. Westcore Mid Cap Value - Brokerage #2	A	Dividend	K	T					
166. Westcore MIDCO Growth - Brokerage #2	B	Dividend	K	T					
167. Westcore International Frontier - Brokerage #2	A	Dividend	J	T					
168. DFA One Year Fixed - Retirement #8	D	Dividend	N	T	Sold (part)	10/8	K	A	
169. Federated US Gov't I - 3 - Retirement #8	D	Dividend	M	T					
170. Adams Harkness Small Growth - Retirement #8	A	Dividend	K	T	Buy	8/28	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Baron Growth - Retirement #8	A	Dividend	K	T					
172. Brandywine Fund - Retirement #8	A	Dividend	K	T					
173. DFA Emerging Markets Core Equity - Retirement #8	B	Dividend	K	T					
174. DFA International Value - Retirement #8	C	Dividend	L	T	Buy (add'l)	10/8	J		
175. DFA US Large Cap Value - Retirement #8	B	Dividend	L	T					
176. DFA US Small Cap Value - Retirement #8	A	Dividend	K	T					
177. DFA US Micro Cap - Retirement #8	A	Dividend	K	T	Buy (add'l)	2/1	J		
178. Dodge & Cox Stock - Retirement #8	C	Dividend	K	T					
179. DFA Int'l Small Cap Value - Retirement #8	A	Dividend	K	T	Buy (add'l)	10/8	J		
180. First Eagle Overseas - Retirement #8	C	Dividend	K	T					
181. Hotchkis & Wiley Large Cap Value - Retirement #8	B	Dividend	K	T	Buy (add'l)	10/8	J		
182. Hotchkis & Wiley Mid Cap Value - Retirement #8	A	Dividend	K	T	Buy (add'l)	2/1	K		
183. Janus Fund - Retirement #8	A	Dividend	L	T	Sold (part)	2/1	J	C	
184. Janus Mid Cap Value - Retirement #8	B	Dividend	K	T					
185. Janus Overseas - Retirement #8	B	Dividend	K	T	Buy (add'l)	10/8	J		
186. Artio International Equity - Retirement #8	A	Dividend	K	T					
187. Morgan Stanley Emerging Markets - Retirement #8	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pratter, Gene E.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Morgan Stanley International Equity - Retirement #8	D	Dividend	L	T					
189. NB Genesis - Retirement #8	B	Dividend			Sold	10/30	K	A	See Note in Part VIII
190. Royce Opportunity - Retirement #8	B	Dividend	K	T					
191. Royce Value Plus - Retirement #8	A	Dividend	K	T					
192. Schwab Money Market - Retirement #8	B	Dividend	K	T					
193. StoneRidge Small Cap Equity - Retirement #8		None			Sold	8/28	K	A	
194. Third Avenue International Value - Retirement #8	A	Dividend	L	T					
195. Turner Core Growth - Retirement #8	A	Dividend	K	T					
196. Turner Small Cap Equity - Retirement #8	A	Dividend	K		Buy	10/30	K		
197. Westcore International Frontier - Retirement #8	A	Dividend	K	T					
198. Westcore Mid Cap Value - Retirement #8	A	Dividend	L	T	Sold (part)	2/1	K	B	
199. Westcore MIDCO Growth - Retirement #8	C	Dividend	L	T	Buy (add'l)	10/8	J		
200. William Blair International Growth - Retirement #8	B	Dividend	K	T	Buy (add'l)	10/8	J		
201. William Blair Large Cap Growth - Retirement #8	A	Dividend	K	T					
202. William Blair Intl Small Growth - Retirement #8	A	Dividend	J	T	Buy	10/8	J		
203. Equitable Variable Life - Alliance Common Stock		None	L	T	Buy (add'l)	1/18	J		
204. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	4/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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Name of Person Reporting

Pratter, Gene E.

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04/16/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	7/22	J		
206. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	10/20	J		
207. Equitable Variable Life - Alliance International		None	K	T	Buy (add'l)	1/18	J		
208. Equitable Variable Life - Alliance International		None			Buy (add'l)	4/21	J		
209. Equitable Variable Life - Alliance International		None			Buy (add'l)	7/22	J		
210. Equitable Variable Life - Alliance International		None			Buy (add'l)	10/20	J		
211. Carlyle Real Estate LLP	A	Dividend	J	T					
212. Wheeler Terrace LTD	A	Dividend	J	T					
213. PNC Bank Accounts	A	Dividend	K	T					
214. Nantucket Bank Account	A	Dividend	J	T					
215. Wescott Nova LP		None	K	T					
216. PMA Restricted Stock		None			Sold	3/27	M	A	
217. AT & T Common Stock (X)	A	Dividend			Donated				
218. Nationwide Financial Services Common Stock (X)	A	Dividend			Donated				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Section VII, lines 17, 82, 144 and 176. NB Genesis was formerly known as NB Fasciano. The name changed in August, 2008.
2. Section VII, lines 47, 79, 111 and 141. Artio International Equity was formerly known as Julius Baer International Equity. The name changed in October, 2008.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sig

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544