

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Singal, George Z.	2. Court or Organization U.S. District Court Maine	3. Date of Report 04/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. District Court 156 Federal Street Portland, Maine 04101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Visitors	University of Maine Law School, Portland, Maine
2. Chairman, Brody Award	Colby College, Waterville, Maine
3. Board of Directors	Federal Judges Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Social Worker, CHCS, Bangor, Maine
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 23-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	5/2/09-5/4/09	Washington, D.C.	meeting	lodging, meals and transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Amgen Inc. Common Stock		None	K	T					
2. Cisco Systems Common Stock		None	K	T					
3. Exxon Mobil Corp. Common Stock	A	Dividend	K	T					
4. Intel Corp. Common Stock	A	Dividend	K	T					
5. American Funds Small Cap Fund	A	Dividend	K	T					
6. Franklin Small Cap Fund	A	Dividend	J	T					
7. Templeton Foreign Fund	A	Dividend	K	T					
8. U.S. Treasury Note	A	Dividend	J	T					
9. General Electric Co. Common Stock	A	Dividend	J	T					
10. Hershey Foods Corp. Common Stock	A	Dividend	J	T					
11. Microsoft Corp. Common Stock	A	Dividend	K	T					
12. Pepsico Common Stock	A	Dividend	K	T					
13. Proctor and Gamble Co. Common Stock	A	Dividend	K	T					
14. Vodafone ADR Common Stock	A	Dividend	K	T					
15. Pfizer Inc. Common Stock	A	Dividend	K	T					
16. RMA Tax Free Fund	A	Interest	J	T					
17. Maine State Housing Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal H = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Auburn Maine Bond	A	Interest	K	T					
19. Maine Health Bond	A	Interest	K	T					
20. Maine Health Bond	A	Interest	J	T					
21. Euro Pacific Growth Fund	A	Dividend	K	T					
22. Paine Webber Tax Free Fund	A	Interest	J	T					
23. Vanguard Whole Market Fund	B	Dividend	M	T					
24. Vanguard S & P Fund	A	Dividend	J	T					
25. Dreyfus Premier Third Centure Fund	A	Dividend	J	T					
26. Blue Hill Terrace Real Estate Partnership	A	Dividend	J	W					
27. Woodland Manor Real Estate Partnership	A	Dividend	J	W					
28. Dreyfus S&P Fund	A	Dividend	K	T					
29. WYE	A	Dividend	K	T					
30. AIG		None	J	T					
31. BP	A	Dividend	J	T					
32. EMC		None	J	T					
33. XOM	A	Dividend	K	T					
34. DNA		None	J	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. GMH		None	K	T					
36. HD	A	Dividend	K	T					
37. IBM	A	Dividend	K	T					
38. JDSU		None	J	T					
39. LU	A	Dividend	J	T					
40. MSFT	A	Dividend	J	T					
41. Sprint Nextel		None	J	T					
42. NT		None	J	T					
43. PG	A	Dividend	J	T					
44. WCOM		None	J	T					
45. Paine Webber Retirement Money Fund	A	Dividend	J	T					
46. U.S. Treasury Note	B	Interest	K	T					
47. Bank America Note	B	Interest	K	T					
48. Household Finance Note	B	Interest	K	T					
49. Franklin Small Cap Growth Fund		None	J	T					
50. Hartford Mid Cap Fund	A	Dividend	J	T					
51. General Mills Note	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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52. Boeing Note	A	Interest	K	T					
53. Agere Common Stock	A	Dividend	J	T					
54. AT and T Common Stock	A	Dividend	J	T					
55. Avaya Common Stock	A	Dividend	J	T					
56. Bell South Common Stock	A	Dividend	J	T					
57. Comcast Common Stock	A	Dividend	J	T					
58. Lucent Common Stock	A	Dividend	J	T					
59. NCR Corp. Common Stock	A	Dividend	J	T					
60. Qwest Common Stock	A	Dividend	J	T					
61. Verizon Common Stock	A	Dividend	J	T					
62. Stanley Works Common Stock	A	Dividend	J	T					
63. Abbington Tanker	A	Dividend	J	T					
64. Double Hull Tanker	A	Dividend	J	T					
65. I-Shares EWJ	A	Dividend	J	T					
66. MMM	A	Dividend	J	T					
67. Vanguard Tax Free Fund	D	Dividend	L	T					
68. Home Depot Inc. Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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69. Vodafone Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544