

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dorr, Richard E.	2. Court or Organization US District Court Western District of MO	3. Date of Report 7/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US District Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/01/2011 to 12/31/2011
7. Chambers or Office Address 222 John Q. Hammons Parkway Suite 3100 Springfield, MO 65806		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Dorr, Richard E.

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7/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Commerce Bank Account	A	Int./Div.	K	T					
2. Signature Bank CD (X)	A	Interest			Redeemed	02/29/11	J	B	
3. BROKERAGE ACCOUNT #1 Edward Jones									
4. -O'Reilly Automotive (ORLY) Common Stock		None	O	T					
5. -SunAmerica Life Ins Polaris Variable Annuity		None	K	T					
6. -Hartford Life Director Variable Annuity		None	K	T					
7. -Great Southern Bank (GSBC) Comm Stk	B	Dividend	L	T	Buy	01/07/11	J		
8.					Buy	04/13/11	J		
9.					Buy	7/13/11	J		
10.					Buy	10/13/11	J		
11. -Guaranty Fed Bancshares Inc (GFED) Comm Stk		None	J	T					
12. USAA Investment Mgmt Co. IRA	C	Dividend	M	T					
13. -USAA GNMA Trust									
14. -USAA High-Yield Opportunities Fund									
15. -USAA Income Fund									
16. -USAA Income Stk Fund									
17. -USAA S & P 500 Index Fund Member Shares									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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18. -USAA Short-Term Bond Fund									
19. Central Trust and Investment Co CONDUIT IRA	E	Dividend	O	T					
20. -CASH EQUIVALENTS									
21. --Federated Prime Obligations									
22. -FIXED INCOME FUNDS									
23. --Fed Total Return Bond					Sold (part)	03/09/11	J	A	
24. --Pimco Foreign Bond-Unhedged					Sold (part)	03/09/11	K	B	
25. --Vanguard Inflation Protected					Sold (part)	03/09/11	L	C	
26. --Federated High Yield Bond					Sold (part)	03/09/11	J	B	
27. --Vanguard Short-Term Inv Grade					Sold (part)	03/09/11	K	B	
28. -- Vanguard Int-Term Inv Grade					Sold (part)	03/09/11	J	A	
29. --VGD GNMA					Sold	03/09/11	K	A	
30. -EQUITY MUTUAL FUNDS									
31. --VGD Dev Mkt Index					Sold (part)	03/09/11	K	D	
32. --Vanguard Inst Index FD Inst Shrs (fmly Vanguard 500 Index)					Buy	03/09/11	J		
33. --DFA US Micro Cap					Sold (part)	03/09/11	J		
34. -- DFA US Sml Cap					Sold (part)	03/09/11	J	B	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. -- DFA US Sml Cap Val					Sold (part)	03/09/11	J	A	
36. -- DFA US Large Cap Val					Sold (part)	03/09/11	K		
37. --DFA Intl Val					Sold (part)	03/09/11	K	D	
38. -- DFA Intl Smcap Val					Sold (part)	03/09/11	K		
39. -- DFA Intl Sml CO					Sold (part)	03/09/11	K	A	
40. -- DFA EMERG MKTS VAL					Buy	03/09/11	J		
41.					Sold	11/29/11	K		
42. --DFA EMERG MKTS					Buy	03/09/11	J		
43.					Sold	11/29/11	K		
44. --DFA Emerg Mkts Small Cap					Buy	03/09/11	J		
45.					Sold	11/29/11	K	C	
46. --Pimco Commodity Real Return					Buy	03/09/11	K		
47. -- Northern Global Real Estate					Buy	03/09/11	J		
48. -- Vanguard Growth Index					Sold (part)	03/09/11	J	A	
49. -- AQR Diversified Arbitrage FD I					Buy	03/09/11	L		
50. -- Eaton Vance Global Macro Absolute Return					Buy	03/09/11	L		
51. -- MFS Emerging Markets Debt					Buy	03/09/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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52. -- Third Avenue Focused Credit Fund					Buy	03/09/11	K		
53. -- Eaton Vance Floating Rate HI Income Fund					Buy	03/09/11	L		
54. -- DFA Emerging Markets Core Equity Portfolio					Buy	11/29/11	L		
55. Ozark National Ordinary Life Ins. Policy	A	Int./Div.	J	T					
56. Central Trust and Investment Co Revocable Trust #1	A	Dividend	K	T					
57. - Cash Equivalents									
58. -- Federated Prime Obligations									
59. - Fixed Income Funds									
60. -- Federated Total Return Bond					Sold (part)	03/09/11	J	A	
61.					Sold (part)	03/30/11	J	A	
62.					Sold	03/31/11	J	A	
63. --Federated High Yield Bond					Sold (part)	03/09/11	J	A	
64.					Sold (part)	03/30/11	J	A	
65.					Sold	03/31/11	J	A	
66. --Federated Ultra-Short Bond					Sold (part)	03/09/11	J	A	
67.					Sold	03/31/11	J	A	
68. -- Pimco Foreign Bond-Unhedged					Sold (part)	03/09/11	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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69.					Sold	03/30/11	J	A	
70. -- Vanguard GNMA					Sold (part)	03/09/11	J		
71.					Sold	03/31/11	J	A	
72. -- Vanguard Inflation Protected					Sold (part)	03/09/11	J	A	
73.					Sold (part)	03/30/11	J	A	
74.					Sold	03/31/11	J	A	
75. --Vanguard Sht-Term Inv Grade					Sold (part)	03/09/11	J		
76.					Sold (part)	03/30/11	J		
77.					Sold	03/31/11	J	A	
78. --Vanguard Int-Term Inv Grade					Sold (part)	03/09/11	J		
79.					Sold (part)	03/30/11	J	A	
80.					Sold	03/31/11	J	A	
81. -Equity Mutual Funds									
82. --DFA US Micro Cap					Sold (part)	03/09/11	J	A	
83.					Sold (part)	03/30/11	J	A	
84.					Sold	3/31/11	J	A	
85. --DFA Intl Sml CO					Sold (part)	03/30/11	J	A	

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(See Columns B1 and D4)

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G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

I11 = \$1,000,001 - \$5,000,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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86.					Sold	03/31/11	J	A	
87. --DFAIntl SM CAP Val					Sold (part)	03/09/11	J	A	
88.					Sold (part)	03/30/11	J	A	
89.					Sold	03/31/11	J	A	
90. --DFA US SM CAP VAL					Sold (part)	03/09/11	J	A	
91.					Sold (part)	03/30/11	J	A	
92.					Sold	03/31/11	J	A	
93. --DFA US LARGE CAP VAL					Sold (part)	03/30/11	J	A	
94.					Sold	03/31/11	J	A	
95. --DFA US SML CAP					Sold (part)	03/30/11	J	A	
96.					Sold	03/31/11	J	A	
97. --DFA INTL VALUE					Sold (part)	3/09/11	J	A	
98.					Sold (part)	3/30/11	J	A	
99.					Sold	3/31/11	J		
100. --DFA Emerging Mkt Value					Sold (part)	3/9/11	J	A	
101.					Sold (part)	3/30/11	J	A	
102.					Sold	3/31/11	J	A	

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(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

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N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
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V = Other

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

3. Value Method Codes
(See Column C2)

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103. --DFA Emerging Mkts					Sold (part)	3/09/11	J	A	
104.					Sold	3/31/11	J	A	
105. --VGD Developed Mkt Index					Sold (part)	3/09/11	J	A	
106.					Buy	3/30/11	J		
107.					Sold	3/31/11	J	A	
108. -- Vanguard Institutional Index Fund					Buy	3/09/11	J		
109.					Sold (part)	3/30/11	J		
110.					Sold	3/31/11	J	A	
111. -- Pimco Commodity Real Return					Buy	3/09/11	J		
112.					Sold (part)	3/30/11	J	A	
113.					Sold	3/31/11	J	A	
114. -- Northern Global Real Estate					Sold (part)	3/30/11	J	A	
115.					Sold	3/31/11	J	A	
116. -- Vanguard Growth Index					Sold	3/31/11	J	A	
117. -- AQR Diversified Arbitrage Fund					Buy	3/09/11	J		
118.					Sold (part)	3/30/11	J		
119.					Sold	3/31/11	J		

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(See Columns B1 and D4)

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D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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R = Cost (Real Estate Only)
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S = Assessment
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Dorr, Richard E.

Date of Report

7/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
120. -- AQR Momentum Fund					Buy	3/09/11	J		
121.					Sold (part)	3/30/11	J	A	
122.					Sold	3/31/11	J	A	
123. -- AQR Small Cap Momentum Fund					Buy	3/09/11	J		
124.					Buy	3/30/11	J		
125.					Sold	3/31/11	J	A	
126. -- DFA Emerging Markets Core Equity					Buy	3/09/11	J		
127.					Sold (part)	3/30/11	J	A	
128.					Sold	3/31/11	J	A	
129. -- Eaton Vance Global Macro Absolute Return					Buy	3/09/11	J		
130.					Sold	3/30/11	J		
131. -- Loomis Sayles Global Bond Fund					Buy	3/09/11	J		
132.					Sold	3/31/11	J	A	
133. -- MFS Emerging Markets Debt					Buy	3/09/11	J		
134.					Sold	3/30/11	J	A	
135.					Sold	3/31/11	J	A	
136. -- Managers Fixed Income Fund					Buy	3/09/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-II)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-II)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	3/30/11	J	A	
138.					Sold	3/31/11	J	A	
139. -- Pimco Total Return Fund					Buy	3/09/11	J		
140.					Sold (part)	3/30/11	J		
141.					Sold	3/31/11	J	A	
142. -- Third Avenue Focused Credit Fund					Buy	3/09/11	J		
143.					Sold (part)	3/30/11	J		
144.					Sold	3/31/11	J		
145. -- Eaton Vance Floating Rate HI Income Fund					Buy	3/09/11	J		
146.					Sold (part)	3/30/11	J		
147.					Sold	3/31/11	J		
148. Central Trust and Investment Company Trust #2	A	Interest	O	T					
149. -Cash and Equivalents									
150. -- Goldman Sachs Treasury Obligation #468 (X)									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Richard E. Dorr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544