

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dawson, Robert T.	2. Court or Organization U.S. District Court	3. Date of Report 04/28/2011
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address P O Box 1624 Fort Smith, AR 72902-1624	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL
DISCLOSURE OFFICE

Dawson, Robert T.

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA-CREF	C	Interest	M	T					
2. Enterprise Growth Fund CI A 215	A	Dividend	J	T					
3. Stephens Production Company (oil & gas)	A	Royalty	J	W					
4. XTO Energy (oil & gas)	A	Royalty	J	W					
5. Cypress Production (oil & gas)	A	Royalty	J	W					
6. Tellus Operating (oil & gas)	A	Royalty	J	W					
7. ACXIOM	A	Dividend	K	T					
8. Simon Property Group	B	Dividend	K	T					
9. USA Truck	A	Dividend	J	T					
10. Arkansas St College Svgs B	B	Interest	K	T					
11. Arkansas St College Svgs A	B	Interest			Sold	06/01/10	K	A	
12. Cisco Sys Inc.	A	Dividend	J	T					
13. Coca Cola	A	Dividend	J	T					
14. Wachovia/Wells Fargo	A	Dividend			Sold	08/23/10	J	A	
15. General Electric	A	Dividend	J	T	Sold (part)	11/19/10	J	A	
16. Procter & Gamble Co.	A	Dividend	J	T					
17. Johnson & Johnson	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
18. McDonalds Corp	A	Dividend	J	T					
19. Southwest Airlines	A	Dividend	J	T					
20. Chevron Texaco Corp	A	Dividend	J	T					
21. Intel Corp	A	Dividend	J	T					
22. Walt Disney	A	Dividend	J	T					
23. Sun Microsystems	A	Dividend			Sold	01/28/10	J	A	
24. American Small Cap World	A	Dividend	J	T					
25. Vanguard 500 Index Fund	A	Dividend	K	T					
26. NASDAQ 100 Unit Trust Series I/Power Shares QQQ	A	Dividend	M	T					
27. Vanguard 500 Index Fund	A	Dividend	K	T	Sold (part)	07/01/10	K	A	
28. Merck & Co.	A	Dividend	J	T					
29. Univ of Ark Bond	A	Interest	J	T					
30. Cinergy Corp/Duke Energy	B	Dividend	J	T					
31. Ameren Corp	A	Dividend	J	T					
32. Consolidated Edison	A	Dividend	J	T					
33. Progress Energy Inc.	A	Dividend	J	T					
34. Pub Svc Ent	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Little River Cty AR Rev.	A	Interest	J	T					
36. Entergy Corp	A	Dividend	J	T					
37. Phoenix Rising/Virtus Growth	A	Dividend			Sold	04/23/10	J	A	
38. Murphy Oil	A	Dividend	J	T					
39. Bank of America	A	Dividend	J	T					
40. Ark St Fed Hwy Grant	A	Interest	K	T					
41. Blackrock Global	A	Interest	J	T					
42. Windstream/Alltel	A	Dividend	J	T					
43. Little Rock AR New Pub	A	Interest	J	T					
44. Amer Funds Cap Inc.	A	Dividend			Sold	09/14/10	J	A	
45. Amer Funds Euro Pacific	A	Dividend			Sold	12/15/10	J	A	
46. Amer funds Washington Mutual	A	Dividend			Sold	12/15/10	J	A	
47. Janus Worldwide Fund (IRA)	E	Int./Div.	L	T					
48. Spectra	A	Dividend	J	T					
49. Goldman Sachs CD	A	Interest	K	T					
50. Bentonville, AR Sch	A	Interest			Sold	06/01/10	K	A	
51. Hartford Life Ins. Bond	A	Interest			Sold	06/15/10	K	A	

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Crittenden County Bond	A	Interest	J	T					
53. Conway AR Sch Bond	A	Interest	J	T					
54. Nuveen Prem Ins.	A	Interest	J	T					
55. Kinder Morgan	A	Interest			Sold	08/23/10	J	A	
56. Ark St Higher Educ	A	Interest	K	T					
57. J P Morgan Chase	A	Interest			Sold	07/07/10	J	A	
58. Hartford Growth bond	A	Interest			Sold	10/25/10	J	A	
59. Fed Kaufman Small Cap	A	Interest	J	T					
60. Julius Baer Int'l/Artio Int'l	A	Interest			Sold	10/25/10	J	A	
61. Neuberger & Berman Bond	A	Interest			Sold	10/25/10	J	A	
62. Crocs	A	Interest			Sold	02/09/10	J	A	
63. Hillcrest CD	B	Interest			Matured	09/09/10	L	A	
64. Buckeye Ohio Bond	A	Interest	J	T					
65. New York City Bond	A	Interest	J	T					
66. Bentonville AR Sales	A	Interest	K	T					
67. Little Rock AR Sewer	A	Interest	J	T					
68. Baron Partners	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

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K = \$15,001 - \$50,000

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R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Cambiar Opp.	A	Int./Div.	J	T					
70. Ivy Asset	A	Interest	J	T					
71. DN Lehman Bro CD	A	Interest	K	T					
72. General Electric Cap	A	Interest	K	T					
73. Verizon	A	Interest	J	T					
74. Amer Exp CD	B	Interest	J	T					
75. SunAmerica CD	A	Interest	J	T					
76. Citigroup 5.125%	C	Interest			Sold	02/09/10	L	A	
77. Franklin Mutual Growth	D	Dividend			Sold	10/25/10	J	A	
78. Third Ave Value Fund	D	Dividend			Sold	10/25/10	J	A	
79. Thornburg Int'l	D	Dividend			Sold	10/25/10	J	A	
80. Baxter County Bond	D	Interest	J	T					
81. Ark State Univ Rev	D	Interest	J	T					
82. Beaver Water Bond	D	Interest	J	T					
83. Little Rock Ark Libr	D	Interest	J	T					
84. White Hall Bond	D	Interest	J	T					
85. Ark Best	D	Dividend	J	T	Buy	01/11/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

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G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. T Rowe Price	E	Dividend	K	T	Buy	02/18/10	K		
87. Black Rock Global	E	Dividend	K	T	Buy	02/23/10	K		
88. Bank of America 5.25	G	Dividend	M	T	Buy	09/13/10	M		
89. Puerto Rico Bond	A	Interest	K	T	Buy	08/31/10	K		
90. Univ of Ark Revs	A	Interest	J	T	Buy	09/15/10	J		
91. Puerto Rico Hotel Bond	A	Interest	J	T	Buy	07/22/10	J		
92. Puerto Rico Infrastructure Bond	A	Interest	K	T	Buy	06/18/10	K		
93. Rogers Ark Schl Dist	A	Interest	K	T	Buy	06/16/10	K		
94. Fayetteville Ark Schl	A	Interest	J	T	Buy	11/27/10	J		
95. Little Rock AR Schl	A	Interest	J	T	Buy	11/19/10	J		
96. Booneville Ar Schl	A	Interest	K	T	Buy	08/13/10	K		
97. Puerto Rico Mun. Bond	A	Interest	K	T	Buy	06/16/10	K		
98. Siloam Springs Schl	A	Interest	J	T	Buy	09/24/10	J		
99. Bentonville Ark Schl	A	Interest	K	T	Buy	06/03/10	K		
100. Mountain Home Schl	A	Interest	K	T	Buy	07/30/10	K		
101. Altria Group	A	Dividend	J	T	Buy	04/23/10	J		
102. Apple	A	Dividend	J	T	Buy	08/23/10	J		

- | | | | | | |
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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
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| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
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| 3. Value Method Codes
(See Column C2) | | | | | |

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103. Goldman Sachs	A	Dividend	J	T	Buy	12/15/10	J		
104. Nuveen Prem Ins Muni	A	Dividend	J	T	Buy	01/27/10	J		
105. Pulaski Cnty AR SPL	A	Interest	J	T	Buy	12/15/10	J		
106. Energy Transfer	A	Dividend	J	T	Buy	04/23/10	J		
107. 1 Shares Trust Dow Jones	A	Dividend	J	T	Buy	04/23/10	J		
108. Cullen High Dividend	A	Dividend	J	T	Buy	07/13/10	J		
109. Eaton Vance Structured	A	Dividend	J	T	Buy	10/25/10	J		
110. Oppenheimer Rising	A	Dividend	J	T	Buy	10/25/10	J		
111. American Centruy Small	A	Dividend	J	T	Buy	10/25/10	J		
112.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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2. Value Codes
(See Columns C1 and D3)

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O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

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H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

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P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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Dawson, Robert T.

Date of Report

04/28/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dawson, Robert T.

Date of Report

04/28/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: !



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544