

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the E
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Walter, John F	2. Court or Organization U.S. District Court, CD - CA	3. Date of Report 5/5/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination Date ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. District Court 312 N. Spring Street Los Angeles, CA 90012	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

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FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 6/1/95

John F. Walter and Walter, Finestone & Richter - Employment Agreement

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	1/1/03 -12/31/03	John F. Walter deferred compensation from Walter, Finestone & Richter	\$130,000.00
2.			

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☒ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

Walter, John F

5/5/2004

☒ **NONE** - (No such reportable gifts.)

VALUE

1.

☒ **NONE** - (No reportable liabilities.)

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Fredricks Fund I	A	Distribution	J	U					
2. Fredricks Fund II	D	Distribution	K	U					
3. Fredricks Fund IV	C	Distribution	K	U					
4. Shares of AT & T	A	Dividend	J	T					
5. Shares of Bell South	A	Dividend	J	T					
6. Shares of Lucent	A	Dividend	J	T					
7. Shares of Qwest Intl.	A	Dividend	J	T					
8. Shares of SBC Communications, Inc.	A	Dividend	J	T					
9. Shares of Vodafone	A	Dividend	J	T					
10. Charles Schwab Money Market	D	Interest	N	T					
11. Vanguard Money Market	D	Interest	N	T					
12. T. Rowe Price Money Market	A	Interest	J	T					
13. Vanguard GNMA Fund	D	Interest	M	T					
14. Vanguard Cal Insured Fund	B	Interest	N	T					
15. Vanguard 500 Index Fund	A	Dividend	J	T					
16. Vanguard Intl Growth Fund	A	Dividend	J	T					
17. Vanguard Total Stock Market Fund	A	Dividend	J	T					
18. T. Rowe Price European Stock	A	Dividend	J	T	Exempt				

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Janus Olympic Fund	A	Dividend	J	T					
20. Schwab 1000 Fund	A	Dividend	K	T					
21. IRA - Charles Schwab - Century Business Services	A	Dividend	J	T					
22. IRA - Charles Schwab - Nasdaq 100 Trust	A	Dividend	J	T					
23. IRA - Charles Schwab - Schwab 1000 Fund	A	Dividend	J	T					
24. IRA - Charles Schwab - Schwab Money Market Fund	A	Interest	J	T					
25. IRA - Charles Schwab - NASDAQ 100	A	Interest	J	T					
26. IRA - Charles Schwab - Schwab Money Market Fund	A	Interest	J	T					
27. Walter, Finestone & Richter Profit Sharing Plan	A	Interest			rollover to IRA	1/17	P1	A	Vanguard Rollover Ira
28. Vanguard Rollover IRA - Prime Money Market Fund	D	Interest	P1	T	Rollover from WFR	1/17	P1		
29. Northern Trust Bank	A	Interest	K	T	Profit Sharing				
30. California National Bank	A	Interest	K	T	Acct. Closed	7/21	D	A	
31. Wells Fargo Bank	A	Interest	J	T					
32. Alliance Growth Income	A	Dividend	J	T	Sold	11/28	K	D	
33. Baron Small Cap	A	Dividend	J	T					
34. GMO Growth Fund - Class M	A	Dividend	J	T					
35. Rydex OTC - Inv.	A	Dividend	J	T					
36. T Rowe Price European Stock Fund	A	Dividend	J	T					

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37. Tweedy Brown Global Value	A	Dividend	J	T					
38. Black Rock Municipal Fund Cash Reserve Shares	A	Interest	K	T					
39. Strong Ultra Short Term Muni Inc. Fund	A	Interest	L	T	Sold	3/12	L	A	
40. Baron Growth Fund	A	Dividend	K	T					
41. Villa Camarillo, Ltd.	B	Distribution	J	T					
42. Villa Camarillo 168 Ltd.	B	Distribution	J	T					
43. AGERE Systems	A	Dividend	J	T					
44. Comcast Corp.	A	Dividend	J	T	Buy	11/2/02	J		
45. Fidelity Advisors Equity Income	A	Dividend	K	T	Buy	11/28	K		
46. Federated Max. Cap. Investor Class Shares	A	Dividend	K	T	Buy	3/12	K		
47. Vanguard Total Stock Market Admiral Shares	A	Dividend	K	T	Buy	3/12	K		
48. I Bond	A	Interest	K	T	Buy	4/28	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

VII. Investments and Trusts

Fractional Share of AT&T Wireless - deleted from this report. The fractional share should not have been included on prior reports because it was disposed of in 2001 (line 12 of the 5/12/03 report).

Shares of Avaya - deleted from this report. These shares should not have been included on prior report because shares were disposed of in 2000 (line 5 of the 5/12/03 report).

Comcast - inadvertently omitted from 2002 report (line 45 of the 5/12/03 report).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/5/04

INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544