



TROY RANDALL BREEDING
MARY MELINDA KARNs
JAMES KENTON PLEASANTS

August 20, 2007

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, NE
Washington, DC 20544

RECEIVED
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DISCLOSURE OFFICE

RE: Jennifer B. Coffman
U.S. District Judge
2006 Financial Disclosure Report

Dear Sir/Madam:

This letter is in response to your inquiry regarding disclosure of the "Vanguard Wellington Admiral (Investor) Mutual Fund" on Part VII of Judge Coffman's 2006 Financial Disclosure Report. This asset was listed in 2005 but was omitted for 2006 because the fund belongs to [REDACTED] Coffman, [REDACTED] who was a nondependent child over age 21 in 2006. [REDACTED] investments were not required to be disclosed on the 2006 FDR.

Please advise if additional information is needed.

Sincerely,

[REDACTED]
D. Copeland

cc: Jennifer Coffman [REDACTED]

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FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) COFFMAN, JENNIFER B	2. Court or Organization E.D. AND W.D./KY	3. Date of Report 05/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 306 US COURTHOUSE 101 BARR STREET LEXINGTON, KY 40588-2228	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

Date of Report

05/15/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	DENTAL PRACTICE - SELF-EMPLOYED - NOTE: DENTAL PRACTICE WAS SOLD 7/1/06
2. 2006	HEALTH CENTERED LIVING BUSINESS - SELF-EMPLOYED
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. BOSTON COLLEGE LAW SCHOOL	BOSTON, MA APRIL 19-20, 2006, MOOT COURT, MEALS, HOTEL, TRANSPORTATION
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

Date of Report

05/15/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. GLEN AND SANDY BROMAGEN	BUSINESS TRIP TO CHICAGO 2/23-2/25/06. LODGING AT FRIENDS CONDO. EXACT VALUE UNKNOWN	
2.	BUT BELIEVES WOULD EXCEED \$250. NO GIFT RECIPROCATED IN KIND.	
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. PARAMOUNT BANK	DENTAL PRACTICE LOAN - LOAN WAS PAID OFF DURING 2006	None
2. COUNTRYWIDE HOME LOANS	EQUITY LOAN SECURED BY RENTAL PROPERTY(PART VII, LINE 2) - LOAN WAS PAID OFF DURING 2006	None
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

Date of Report

05/15/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIZENS BANK-COMMON STOCK	A	Dividend	J	U					
2. RENTAL PROPERTY, EARLINGTON(HOPKINS COUNTY), KENTUCKY	C	Rent	K	U					
3. IRA #1(UNIFIED TRUST)									
4. -FEDERATED PRIME CASH	A	Dividend	J	T	BUY	1/23	L		
5.					PARTIAL SALE	1/24	L	A	
6.					PARTIAL SALE	3/16	J	A	
7.					PARTIAL SALE	6/16	J	A	
8.					PARTIAL SALE	9/20	J	A	
9.					BUY	12/14	J		
10.					PARTIAL SALE	12/20	J	A	
11. -AMERICAN FUNDS INTERMEDIATE BOND FUND F -MUTUAL FUND	B	Dividend			BUY	1/24	J		
12.					SOLD	11/9	L	A	
13. -AMERICAN FUNDS BOND FUND OF AMERICA-MUTUAL FUND	A	Dividend	L	T	BUY	11/9	L		
14. -AMERICAN CENTURY INCOME & GROWTH ADV- MUTUAL FUND	A	Dividend	J	T	PARTIAL SALE	1/23	J	A	
15. -AMERICAN FUNDS GROWTHFUND OF AMERICA F-MUTUAL FUND	A	Dividend	J	T	BUY	1/24	J		
16. -SELECTED AMERICAN SHS INC COM-COMMON STOCK	A	Dividend	K	T	PARTIAL SALE	1/23	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

Date of Report

05/15/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. -COLUMBIA ACORN Z- MUTUAL FUND		None			SOLD	1/23	K	D	
18. -KEELEY SMALL CAP VALUE FUND-MUTUAL FUND	A	Dividend	K	T	BUY	9/1	K		
19. -PHOENIX SMALL CAP(FORMERLY HARRIS INSIGHT)-MUTUAL FUND	A	Dividend			BUY	1/24	K		
20.					SOLD	8/31	K	A	
21. -FEDERATED MID CAP FUND-MUTUAL FUND	B	Dividend	K	T	BUY	1/24	J		
22. -MUNDER MID-CAP CORE GROWTH-MUTUAL FUND	A	Dividend	J	T	BUY	1/24	J		
23. -FIRST AMERICAN MID CAP VALUE FUND-MUTUAL FUND	B	Dividend	K	T	BUY	1/24	K		
24. -FIRST AMERICAN REAL ESTATE SECURITIES FUND-MUTUAL FUND	C	Dividend	K	T	PARTIAL SALE	1/23	J	B	
25. IRA #4(THE VANGUARD GROUP)									
26. -WASHINGTON MUTUAL INC-COMMON STOCK	A	Dividend	J	T					
27. -WILLIAMS SONOMA-COMMON STOCK	A	Dividend	J	T					
28. -PRIME MONEY MARKET FUND	A	Dividend	J	T					
29. IRA #2(UNIFIED TRUST)									
30. -FEDERATED PRIME CASH	A	Dividend	J	T	PARTIAL SALE	3/15	J	A	
31.					PARTIAL SALE	6/16	J	A	
32.					PARTIAL SALE	9/19	J	A	
33.					PARTIAL	12/19	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

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05/15/2007

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
					SALE				
34. -AMERICAN FUNDS INTERMEDIATE BOND FUND- MUTUAL FUND	A	Dividend			BUY	1/24	J		
35.					SOLD	11/9	K	A	
36. -AMERICAN FUNDS BOND FUND OF AMERICA-MUTUAL FUND	A	Dividend	K	T	BUY	11/9	K		
37. -AMERICAN CENTURY INCOME & GROWTH-MUTUAL FUND	A	Dividend	J	T	PARTIAL SALE	1/23	J	A	
38. -AMERICAN FUNDS GROWTH FUND OF AMERICA-MUTUAL FUND	A	Dividend	J	T	BUY	1/24	J		
39. -SELECTED AMERN SHS INC-COMMON STOCK	A	Dividend	J	T	PARTIAL SALE	1/23	J	B	
40. -COLUMBIA ACORN Z- MUTUAL FUND		None			SOLD	1/23	J	C	
41. -PHOENIX INSIGHT SMALL CAP(FORMERLY HARRIS)-MUTUAL FUND	A	Dividend			BUY	1/24	J		
42.					SOLD	8/31	J	A	
43. -KEELEY SMALL CAP VALUE FUND-MUTUAL FUND	A	Dividend	J	T	BUY	9/1	J		
44. -FEDERATED MID CAP FUND-MUTUAL FUND	A	Dividend	J	T	BUY	1/24	J		
45. -FIRST AMERICAN MID CAP VALUE FUND-MUTUAL FUND	A	Dividend	J	T	BUY	1/24	J		
46. -MUNDER MID-CAP CORE GROWTH-MUTUAL FUND	A	Dividend	J	T	BUY	1/24	J		
47. -FIRST AMERICAN REAL ESTATE SECURITIES FUND- MUTUAL FUND	A	Dividend	J	T	PARTIAL SALE	1/23	J	A	
48. KENTUCKY TAX-FREE INCOME SERIES	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

Date of Report

05/15/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
49.	A	Distribution							
50. DENTAL PRACTICE PROFIT SHARING PLAN									
51. -HARBINGER FUND, LP		None	L	U					
52. -ALIGN TECHNOLOGY INC- COMMON STOCK		None			SOLD	10/27	K	A	
53. -LUVVO INT INC(FORMERLY - EDOLLARS INC)- COMMON STOCK		None	J	T					
54. -NU SKIN ENTERPRISES INC-COMMON STOCK	A	Dividend			SOLD	10/27	K	A	
55. -AIM SECTOR FDS INC- MUTUAL FUND	B	Distribution	J	T	PARTIAL SALE	5/17	J	A	
56. -BLACKROCK FDS US OPPORTUNITIES-MUTUAL FUND		None	J	T	BUY	5/17	J		
57. -COLUMBIA FUNDS TRUST I TECHNOLOGY-MUTUAL FUND		None	J	T	BUY	5/3	J		
58. -EATON VANCE SPLIT INV TR EV GRTR INDIA FD CL A-MUTUAL FUND	B	Distribution	L	T	BUY	5/3	K		
59.					BUY	5/17	K		
60. -KELMOORE STRATEGIC TR EAGLE FD CL A-MUTUAL FUND	D	Dividend	K	T					
61. -SOUTHWEST AIRLINES INC-COMMON STOCK(SOLD 12/13/2005)	A	Dividend							
62. -EVERGREEN INTL TR PRECIOUS METALS FD-MUTUAL FUND	A	Distribution	J	T	BUY	5/3	J		
63.					BUY	5/17	J		
64. -GARTMORE GLOBAL NATURAL RESOURCE-MUTUAL FUND	B	Distribution	K	T	BUY	5/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

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05/15/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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65. -ING MUT FDS RUSSIA FD-MUTUAL FUND	A	Distribution	J	T	BUY	5/3	J		
66.					BUY	5/18	J		
67. -ROWE T PRICE INTL LATIN AMERICA FD-MUTUAL FUND	A	Distribution	J	T	BUY	5/3	J		
68. -BURNHAM INVSTR FINL SVCS-MUTUAL FUND		None			BUY	2/16	J		
69.					SOLD	2/16	J	A	
70. -PREMIER MONEY MARKET FUND	A	Dividend	L	T	BUY	1/6	J		
71.					PARTIAL SALE	1/10	J	A	
72.					BUY	2/17	J		
73.					BUY	3/23	J		
74.					PARTIAL SALE	4/11	J	A	
75.					BUY	4/19	K		
76.					PARTIAL SALE	5/8	K	A	
77.					PARTIAL SALE	5/22	J	A	
78.					BUY	6/22	J		
79.					PARTIAL SALE	7/11	J	A	
80.					BUY	9/21	J		
81.					PARTIAL	10/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 3-4-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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					SALE				
82.					BUY	10/30	J		
83.					BUY	11/1	K		
84.					BUY	11/15	K		
85. CHASE BANK - CERTIFICATE OF DEPOSIT		None	L	T	BUY	07/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

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05/15/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

ACCOUNTS ROTH IRA #3(UNIFIED TRUST) AND CENTRAL BANK & TRUST(CHARLES SCHWAB) ARE EXCLUDED FROM THIS YEARS REPORT. THE ACCOUNTS BELONG TO A [REDACTED] OVER AGE 21.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

COFFMAN, JENNIFER B

Date of Report

05/15/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE:
AND C

6/3/07

LE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544