

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arcara, Richard J.	2. Court or Organization U.S. District Court W.D.N.Y.	3. Date of Report 5/10/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 68 Court Street, Room 609 Buffalo, New York 14202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

RECEIVED
 2009 MAY 15 A 11:31
 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Name of Person Reporting

Arcara, Richard J.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	AAA Western and Central New York Board Member - Stipend
2. 2008	Executive Dimensions - Salary
3. 2008	Health Now Board Member - Stipend
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	3/27 - 3/28/2008	New York, NY	Attendance at Dinner	Reimbursement for lodging, meals, transportation for attendance at Annual Dinner in Honor of Federal Judiciary, N.Y. March 27 and 28, 2008
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cash		None	J	T					
2. Tamarack Inv. Fds. Prime	A	Dividend	J	T					
3. Aim Basic Value Fund C	B	Dividend	J	T					
4. AllianceBern Large Cap Growth A		None	J	T					
5. Allianz NFJ Dividend Val. Cl. C	B	Dividend	K	T					
6. Davis NY Venture Fund	A	Dividend	K	T					
7. DWS Dreman High Rtn Cl.C	A	Dividend	J	T					
8. Fidelity Adv. New Insights Cl A	A	Dividend	K	T					
9. Fidelity Adv New Insight C	A	Dividend	J	T					
10. GS Core U.S. Equity-C		None	J	T					
11. Jennison-Growth Cl.A (Prudential Inv)	A	Dividend	K	T					
12. Kinetics Paradigm Fund Cl C		None	J	T					
13. Royce Fund - PA	A	Dividend	K	T					
14. Royce Fund - Total Return Con	A	Dividend	J	T					
15. Europacific Growth Cl. A	B	Dividend	K	T					
16. Putnam Intl Equity C		None	J	T					
17. Templeton Foreign A	D	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Templeton Frowth Cl. A	A	Dividend	J	T					
19. Templeton Growth Cl. C	A	Dividend	J	T					
20. Thornburg Intl Value Cl. A	A	Dividend	J	T					
21. Eaton Vance High Income	B	Dividend	J	T					
22. Goldman Sachs HY Cl. A	C	Dividend	K	T					
23. Nuveen Multi-Strategy I & G	A	Dividend	J	T					
24. Pimco High Income Fd.	B	Dividend	J	T					
25. Ivy Asset Strat A	C	Dividend	K	T					
26. Tamarack Invt. Fds Prime	A	Dividend	J	T					
27. AIM Charter - A	A	Dividend	J	T					
28. AllianceBern Large Cap Growth A		None	J	T					
29. DWS Dreman High Rtn. Fnd. A	A	Dividend	J	T					
30. Fidelity Adv New Insight A	A	Dividend	J	T					
31. Royce Fund - Total Return Con	A	Dividend	J	T					
32. Royce Fund - PA	A	Dividend	J	T					
33. New Perspective CL. A	A	Dividend	J	T					
34. Templeton Growth A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Cash		None	J						
36. CTS Treasury Cash	A	Dividend	J	T					
37. Tamarack Inv. Fds. Prime	C	Dividend	M	T					
38. Abbott Laboratories	A	Dividend	J	T					
39. Angiodynamics		None	J	T					
40. Blackrock Real Asset Equity	B	Dividend			Sold	5/29	K	C	
41. Chesapeake Energy Corp	A	Dividend			Sold	2/25	J	C	
42. Cisco Systems		None	J	T	Buy	2/5	J		
43. Citigroup, Inc.	A	Dividend	J	T	Buy	1/16	J		
44. Columbus McKinnon Corp NY		None	J	T					
45. Computer Task Group Inc.		None	J	T	Buy	5/27	J		
46. DuPont E I Nemours & Co	A	Dividend	J	T					
47. DWS Dreman High Rt. Fnd.C	A	Dividend			Sold	12/16	K	A	
48. DWS Value Ser Inc. Dreman	A	Dividend	K	T	Buy	12/16	K		
49. Eastman Kodak	A	Dividend	J	T					
50. Ebay Inc		None	J	T					
51. Fidelity Adv New Insight C	A	Dividend			Sold	12/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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52. Fidelity Adv Small Cap Value C	A	Dividend			Sold	12/16	K	A	
53. Fidelity Adv Growth & Inc Fund C		None	K	T	buy	12/16	K		
54. Home Depot Inc.	A	Dividend	J	T					
55. HSBC Holdings PLC	A	Dividend	J	T	Buy	4/2	J		
56. JP Morgan US Large Ca; Core Plus C	A	Dividend	J	T					
57. KeyCorp New	A	Dividend	J	T					
58. Kinetics Paradigm Fund C1 C		None			Sold	12/16	K	A	
59. Kinetics Mut Fnds Inc.		None	J	T	Buy	12/16	J		
60. Liberty Global Inc. A		None	J	T					
61. Liberty Global Inc. C		None	J	T					
62. Liberty Media Interactive A		None	J	T					
63. Liberty Media Hold - Cap Ser A		None	J	T					
64. M & T Bank Corp		None			Sold	9/30	L	D	
65. M & T Bank Corp	B	Dividend	K	T	Buy	10/09	K		
66. Paychex Inc.	A	Dividend	J	T					
67. Proctor & Gamble	A	Dividend	J	T					
68. Prudential Finl Inc	B	Interest	K	T	Buy	6/24	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Royce Fund - PA		None	K	T	Buy	12/16	K		
70. Sector Sp. Fed Energy	A	Dividend			Sold	6/12	J	D	
71. Tenet Healthcare Corp.		None	J	T					
72. Time Warner Inc.	A	Dividend	J	T					
73. Valero Energy Corp.	A	Dividend	J	T					
74. AlpineTotal Dynamic Div Fund	C	Dividend	J	T					
75. Blackrock Intl Growth & Income Fund	B	Dividend	J	T	Buy	1/17	J		
76. Cameco Corp.	A	Dividend	J	T					
77. Eaton Vance Tax-Managed Global	B	Dividend	J	T					
78. Templeton Growth C Franklin	A	Dividend			Sold	12/19	K	A	
79. Blackrock Enhanced Gov't	A	Dividend	J	T					
80. RMK High Income	B	Dividend			Sold	12/16	K	A	
81. General Mtrs Accept Corp	A	Interest	J	T					
82. GMAC Smartnotes	A	Interest	J	T					
83. GMNA REMIC 2003-110-PC	A	Interest	J	T					
84. Goldman Sachs Group, Inc.		None	K	T	Buy	9/24	K		
85. FHLMC REMIC2864-A4 Lottery Bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. FNMA REMIC 03-54-KB	A	Interest	J	T					
87. Residential Asset Sec 20	B	Interest	J	T					
88. FHLMC REMIC 3024-CD	A	Interest	J	T					
89. FHLMC REMIC 3067-CD	A	Interest	K	T					
90. Chase Mortgage FIN Tr 20	B	Interest	J	T					
91. CSMC Mtg-Bkd Pass Thru	B	Interest	K	T					
92. Banc of America FDG Corp	B	Interest	J	T					
93. Countrywide Alt. Ln. Trust		None	J	T					
94. Countrywide Capital V	B	Interest	J	T					
95. Keycorp Capital IX	B	Interest	K	T					
96. CBTCs for JC Penney Co	B	Dividend	J	T					
97. Ivy Asset Strategy C	C	Dividend	K	T					
98. Wells Fargo Mortgage Backed	A	Interest	J	T	Buy	8/26	J		
99. Alternative Loan Trust	A	Interest	J	T					

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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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5/10/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF NEW YORK

United States Courthouse
68 Court Street
Buffalo, New York 14202-3493

Chambers of
RICHARD J. ARCARA
Chief Judge

(716) 332-7810

June 18, 2009

Hon. Bobby R. Baldock, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
2009 JUN 24 A 10:43
FINANCIAL
DISCLOSURE OFFICE

Re: Calendar Year 2008 Filing

Dear Judge Baldock:

Enclosed is the original and 3 copies of my 2008 Amended Financial Disclosure Report. I apologize for any inconvenience this has caused the Committee.

Very truly yours,



Richard J. Arcara
Chief United States District Judge

Enclosures

AMENDED

AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Arcara, Richard J.	2. Court or Organization U.S. District Court W.D.N.Y.	3. Date of Report 6/18/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 68 Court Street, Room 609 Buffalo, New York 14202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

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☒ NONE (No reportable positions.)

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5.	_____	_____

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6/18/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	AAA Western and Central New York Board Member - Stipend
2. 2008	Executive Dimensions - Salary
3. 2008	Health Now Board Member - Stipend
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	3/27 - 3/28/2008	New York, NY	Attendance at Dinner	Reimbursement for lodging, meals, transportation for attendance at Annual Dinner in Honor of Federal Judiciary, N.Y. March 27 and 28, 2008
2.				
3.				
4.				
5.				

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Name of Person Reporting

Arcara, Richard J.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Arcara, Richard J.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. RBC Wealth Management Acct. Cash (X)		None	J	T					
2. Tamarack Invst. Fds. Prime	A	Dividend	J	T					
3. Aim Basic Value Fund C	B	Dividend	J	T					
4. AllianceBern Large Cap Growth A		None	J	T					
5. Allianz NFJ Dividend Val. Cl. C	B	Dividend	K	T					
6. Davis NY Venture Fund	A	Dividend	K	T					
7. DWS Dreman High Rtn Cl. C	A	Dividend	J	T					
8. Fidelity Adv. New Insights Cl. A	A	Dividend	K	T					
9. Fidelity Adv New Insight C	A	Dividend	J	T					
10. GS Core U.S. Equity-C		None	J	T					
11. Jennison-Growth Cl. A (Prudential Inv)	A	Dividend	K	T					
12. Kinetics Paradigm Fund Cl. C		None	J	T					
13. Royce Fund - PA	A	Dividend	K	T					
14. Royce Fund - Total Return Con	A	Dividend	J	T					
15. Europacific Growth Cl. A	B	Dividend	K	T					
16. Putnam Intl Equity C		None	J	T					
17. Templeton Foreign A	D	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Templeton Frowth Cl. A	A	Dividend	J	T					
19. Templeton Growth Cl. C	A	Dividend	J	T					
20. Thornburg Intl Value Cl. A	A	Dividend	J	T					
21. Eaton Vance High Income	B	Dividend	J	T					
22. Goldman Sachs HY Cl. A	C	Dividend	K	T					
23. Nuveen Multi-Strategy I & G	A	Dividend	J	T					
24. Pimco High Income Fd.	B	Dividend	J	T					
25. Ivy Asset Strat A	C	Dividend	K	T					
26. Tamarack Invt. Fds Prime	A	Dividend	J	T					
27. AIM Charter - A	A	Dividend	J	T					
28. AllianceBern Large Cap Growth A		None	J	T					
29. DWS Dreman High Rtn. Fnd.A	A	Dividend	J	T					
30. Fidelity Adv New Insight A	A	Dividend	J	T					
31. Royce Fund - Total Return Con	A	Dividend	J	T					
32. Royce Fund - PA	A	Dividend	J	T					
33. New Perspective CL. A	A	Dividend	J	T					
34. Templeton Growth A	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

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6/18/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. RBC Wealth Management Acct. Cash (X)		None	J						
36. CTS Treasury Cash (X)	A	Dividend	J	T					
37. Tamarack Inv. Fds. Prime	C	Dividend	M	T					
38. Abbott Laboratories	A	Dividend	J	T					
39. Angiodynamics		None	J	T					
40. Blackrock Real Asset Equity	B	Dividend			Sold	5/29	K	C	
41. Chesapeake Energy Corp	A	Dividend			Sold	2/25	J	C	
42. Cisco Systems		None	J	T	Buy	2/5	J		
43. Citigroup, Inc.	A	Dividend	J	T	Buy	1/16	J		
44. Columbus McKinnon Corp NY		None	J	T					
45. Computer Task Group Inc.		None	J	T	Buy	5/27	J		
46. DuPont E I Nemours & Co	A	Dividend	J	T					
47. DWS Dreman High Rt. Fnd.C	A	Dividend			Sold	12/16	K	A	
48. DWS Value Ser Inc. Dreman	A	Dividend	K	T	Buy	12/16	K		
49. Eastman Kodak	A	Dividend	J	T					
50. Ebay Inc		None	J	T					
51. Fidelity Adv New Insight C	A	Dividend			Sold	12/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Fidelity Adv Small Cap Value C	A	Dividend			Sold	12/16	K	A	
53. Fidelity Adv Growth & Inc Fund C		None	K	T	buy	12/16	K		
54. Home Depot Inc.	A	Dividend	J	T					
55. HSBC Holdings PLC	A	Dividend	J	T	Buy	4/2	J		
56. JP Morgan US Large Ca; Core Plus C	A	Dividend	J	T					
57. KeyCorp New	A	Dividend	J	T					
58. Kinetics Paradigm Fund Cl C		None			Sold	12/16	K	A	
59. Kinetics Mut Fnds Inc.		None	J	T	Buy	12/16	J		
60. Liberty Global Inc. A		None	J	T					
61. Liberty Global Inc. C		None	J	T					
62. Liberty Media Interactive A		None	J	T					
63. Liberty Media Hold - Cap Ser A		None	J	T					
64. M & T Bank Corp (X)		None			Sold	9/30	L	D	
65. M & T Bank Corp (X)	B	Dividend	K	T	Buy	10/09	K		
66. Paychex Inc.	A	Dividend	J	T					
67. Proctor & Gamble	A	Dividend	J	T					
68. Prudential Finl Inc	B	Interest	K	T	Buy	6/24	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Royce Fund - PA		None	K	T	Buy	12/16	K		
70. Sector Sp. Fed Energy	A	Dividend			Sold	6/12	J	D	
71. Tenet Healthcare Corp.		None	J	T					
72. Time Warner Inc.	A	Dividend	J	T					
73. Valero Energy Corp.	A	Dividend	J	T					
74. AlpineTotal Dynamic Div Fund	C	Dividend	J	T					
75. Blackrock Intl Growth & Income Fund	B	Dividend	J	T	Buy	1/17	J		
76. Cameco Corp.	A	Dividend	J	T					
77. Eaton Vance Tax-Managed Global	B	Dividend	J	T					
78. Templeton Growth C Franklin	A	Dividend			Sold	12/19	K	A	
79. Blackrock Enhanced Gov't	A	Dividend	J	T					
80. RMK High Income	B	Dividend			Sold	12/16	K	A	
81. General Mtrs Accept Corp	A	Interest	J	T					
82. GMAC Smartnotes	A	Interest	J	T					
83. GMNA REMIC 2003-110-PC	A	Interest	J	T					
84. Goldman Sachs Group, Inc.		None	K	T	Buy	9/24	K		
85. FHLMC REMIC2864-A4 Lottery Bond	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. FNMA REMIC 03-54-KB	A	Interest	J	T					
87. Residential Asset Sec 20	B	Interest	J	T					
88. FHLMC REMIC 3024-CD	A	Interest	J	T					
89. FHLMC REMIC 3067-CD	A	Interest	K	T					
90. Chase Mortgage FIN Tr 20	B	Interest	J	T					
91. CSMC Mtg-Bkd Pass Thru	B	Interest	K	T					
92. Banc of America FDG Corp	B	Interest	J	T					
93. Countrywide Alt. Ln. Trust		None	J	T					
94. Countrywide Capital V	B	Interest	J	T					
95. Keycorp Capital IX	B	Interest	K	T					
96. CBTCs for JC Penney Co	B	Dividend	J	T					
97. Ivy Asset Strategy C	C	Dividend	K	T					
98. Wells Fargo Mortgage Backed	A	Interest	J	T	Buy	8/26	J		
99. Alternative Loan Trust (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Arcara, Richard J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



June 18, 2009

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544