

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Nordberg, John A	2. Court or Organization Northern District of Illinois	3. Date of Report 5/14/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge Senior	5. Report Type (check appropriate type) Nomination, Date Initial ☐ Annual ☒ Final ☐	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address Chambers 1886 219 South Dearborn Street Chicago, Illinois 60604-1706	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee - non-paid

Testamentary Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Nordberg, John A

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	State of Ill.-Judges' Retirement Fund pension payments	46,101.72
2.			

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1.

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Nordberg, John A

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)									
1. Alpharma, Inc. C.S.	A	Dividend	J	T					
2. Mylan Labs, Inc. C.S.	A	Dividend	L	T					
3. Valspar Corp. C.S.	C	Dividend	N	T					
4. Sprint Fon Group C.S.	B	Dividend	K	T	Buy	12/31	J		Div. Reinvestment
5. Sprint PCS Group C.S.		None	J	T					
6. Waste Management C.S.	A	Dividend	J	T					
7. Dreyfus W.W.D.M.M.F.	A	Dividend	K	T	Buy	12/31	J		Div. Reinvestment
8. Fidelity Select Health M.F.	A	Distribution	L	T	Buy	12/31	J		Distr. Reinvestment
9. Nicholas M.F.	A	Distribution	J	T	Buy	12/31	J		Distr. Reinvestment
10. Nicholas II M.F.		None	L	T					
11. Nicholas Ltd. M.F.		None	J	T					
12. T.R. Price Int'l Disc. M.F.	A	Distribution	J	T					
13. T.R. Price Int'l Stk.M.F.	A	Distribution	J	T					
14. T.R. Price Sci-Tech. M.F.		None	K	T					
15. Columbia Mid-Cap Growth F. (name chg-merger Lib. Col. Spec.)		None	M	T	Merger	12/31	M		Merger of Lib. Col. Spec.
16. American Century Ultra M.F.		None	N	T					
17. Fidelity Div. Growth M.F. IRA (chg. Of Fidelity Fund)	A	Distribution	N	T	Partial Sell	12/31	K		Redemption MRD
18. Air Prod. & Chem. C.S.	A	Dividend	K	T	Buy	12/31	J		Div. Reinvestment

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.) -	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Ill.Judges' Retirement Pension	E	Distribution							
20. Invesco Health Sciences M.F.		None	J	T					
21. M.G.I.C. Inv. Corp. C.S.	A	Dividend	K	T					
22. U.S. Bancorp C.S.	A	Dividend	K	T					
23. Strong Common Stock M.F.		None	L	T					
24. Gabelli Global Telecomm. M.F.		None	K	T					
25. Alltel C.S.	A	Dividend	K	T	Buy	12/31	J		Div. Reinvestment
26. Amcore Financial C.S.	A	Dividend	J	T					
27. Gilead Sciences C.S.		None			Sell	1/07	L	E	NASDAQ Close Account
28. Trust - *See VIII									
29. ComCast Corp. New Class A C.S.		None	J	T					
30. ITT Edu. Srves. C.S.		None	K	T					
31. Wells Fargo Corp. C.S.	A	Dividend	K	T					
32. Pfizer Corp. C.S.	A	Dividend	K	T	Buy	9/18	J		NYSE
33. Charles Schwab M.M.F.	A	Interest	K	T					
34. Janus Overseas M.F.	A	Dividend	K	T					
35. Paychex C.S.	A	Dividend	K	T	Buy	9/18	J		NASDAQ
36. First Data Corp.	A	Dividend	J	T	Buy	9/18	J		NYSE

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-E)	(5) Identity of buyer/seller (if private transaction)
37. General Dynamics		None	K	T	Buy	9/18	K		NYSE
38. SBC Com. C.S.	A	Dividend	J	T	Buy	10/29	J		NYSE
39. [REDACTED] INVESTMENTS									
40. Sprint C.S.	A	Dividend	K	T	Buy	12/31	J		Div. Reinvestment
41. Charles Schwab M.M.F.	A	Interest	J	T					
42. BankOne Checking Account	A	Interest	J	T					
43. Evergreen M.M. Trust	A	Dividend	J	T	Buy	12/31	J		Div. Reinvestment
44. Fidelity Div. Growth M.F. IRA (chg. Of Fidelity Fund)	D	Distribution	L	T	Part. Redemp	12/31	J		Min. MRD Requirement
45. Fastenal C.S.	A	Dividend	J	T	Partial Sell	1/10	J	A	NASDAQ
46. Johnson & Johnson C.S.	B	Dividend	L	T					
47. General Electric C.S.	A	Dividend	J	T					
48. Fiserv, Inc. C.S.		None	K	T					
49. U.S. Bank Baird M.M.F. (change of bank)	A	Interest	J	T					
50. Columbia Acom Int'l. F (merger-change of name)	A	Dividend	J	T					
51. John Hancock Financial Trends F.	A	Distribution	J	T	Buy	12/31	J		Distr. Reinvestment
52. Kilroy Realty C.S.	A	Distribution	J	T	Buy	12/31	J		Distr. Reinvestment
53. Eli Lilly & Co. C.S.	A	Dividend	K	T	Buy	12/31	J		Div. Reinvestment
54. DeVry, Inc. C.S.		None	J	T					

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55. Alltel C.S.	A	Dividend	K	T	Buy	12/31	J		Div. Reinvestment
56. M.G.I.C. C.S.	A	Dividend	K	T	Buy	12/31	J		Div. Reinvestment
57. Wells Fargo, C.S.	B	Dividend	L	T	Buy	12/31	J		Div. Reinvestment
58. Gabelli Global Telecom M.F.		None	J	T					
59. Nicholas F.	A	Distribution	M	T	Buy	12/31	J		Distr. Reinvestment
60. Strong Comm. Stk. F.		None	K	T					
61. SBC Comm. C.S.	A	Dividend	K	T	Buy	10/29	J		NYSE
62. Bed, Bath & Beyond, C.S.		None			Sell	3/21	J	B	NASDAQ
63. Berkshire Hathaway Cl. B.		None	J	T	Buy	3/06	J		NYSE
64. Fed. H.L. Mtg. C.S.		None			Sell	2/22	J	B	NYSE
65. McGraw-Hill Cos. C.S.	A	Dividend	J	T					
66. State Street Corp.	A	Dividend	J	T					
67. Washington Mutual C.S.	A	Dividend	J	T	Buy	4/22	J		NYSE
68. C.R. Bard, Inc. C.S.	A	Dividend			Sell	7/21	J	A	NYSE
69. Marathon Oil Corp. C.S.	A	Dividend	J	T					
70. Prudential Fin. Inc. C.S.		None			Sell	4/22	J		NYSE
71. Schweitzer-Moduit Int'l C.S.	A	Dividend			Sell	9/30	J	A	NYSE
72. Concord EPS Inc., C.S.		None			Buy	3/31	J		NYSE

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73. Concord EPS Inc. C.S.					Sell	5/21	J	B	NYSE
74. Patterson Dental C.S.		None			Buy	5/15	J		NYSE
75. Patterson Dental C.S.					Sell	10/23	J	B	NYSE
76. Chevron Texaco Corp. C.S.	A	Dividend	J	T	Buy	7/02	J		NYSE
77. Cimarex Energy Co. C.S.		None	J	T	Buy	3/06	J		NYSE
78. Diageo PLC New SPN ADR	A	Dividend	J	T	Buy	5/22	J		NYSE
79. International Flavors C.S.		None	J	T	Buy	10/24	J		NYSE
80. Nokia Corp. SPONS ADR		None	J	T	Buy	7/23	J		NYSE
81. UST INC. C.S.	A	Dividend	J	T	Buy	4/22	J		NYSE
82. Ventana Medical Sys. C.S.		None	J	T	Buy	3/06	J		NASDAQ
83. Westport Res. Corp. NEW C.S.		None	J	T	Buy	9/30	J		NYSE
84. Northern Trust C.S.	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

#28 [REDACTED] Testamentary Trust - sole assets: cash and partial interest in oil and gas rights for 160 acres in Oklahoma. I am one of the [REDACTED] beneficiaries with approximately 1/6 interest in Trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 14, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

CHARLES R. NORGLE, SR.

Date of Report

5/13/04

VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)*

		NONE (No reportable income, assets,							SOLD	OPEN	MKT
1	Yahoo	NONE		J	T	buy	1/03	J	A		
2	SIRI	NONE		K	T	buy	1/03	K	B		
	HYGS			J		buy	8/03	J	A		
3	MSN	NONE		J	T	buy	8/03	J	B		
	F	NONE		J		buy	8/03	J	A		
4	AMR	NONE		J	T	Buy	5/03	J	A		
	SUNW	NONE		J		buy	5/03	J	A		
5	Orcl	NONE		J	T	buy	5/03	J	A		
	NT	NONE		K	T	buy	6/03	K	B		
6	TOY	NONE		J	T	buy	9/03	J	A		
	MSFT	NONE		K	T	buy	6/03	K	A		
7	DYN	NONE		J	T	buy	6/03	J	A		
	STMC	NONE		K	T	buy	4/03	K	E		
8	Ftek	NONE		J	T	buy	5/03	J	A		
	Rbak	NONE		K	T	buy	6/03	K	A		
9	GM	Div	A	J	T	buy	2/03	J	A		
	GE	Div	A	K	T	buy	5/03	K	C		
10	MVL			K	T	buy	8/03	K	C		
	LU			K	T	buy	1/03	K	B		
11											
12	GE	DIV	A	DIV	K	T					
13	LU	NONE		J	T						
14	RBAK	NONE		J	T						
15											
16	(All public transactions)										
17											

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OCT 21 10 54 AM '04

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