

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) REINHARDT, STEPHEN R.	2. Court or Organization US COURT OF APPEALS - 9TH CIRCUIT	3. Date of Report 08/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US CIRCUIT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 312 NORTH SPRING STREET ROOM 1747 LOS ANGELES, CA 90012	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE	TRUST #1 - [REDACTED] TRUST - EXEMPT C
2. TRUSTEE	TRUST #2 - [REDACTED] TRUST - NON-EXEMPT C
3. TRUSTEE	TRUST #3 - [REDACTED] LIVING TRUST DTD 4/21/95
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 02/25/10	SPEAKING ENGAGEMENT - UNIVERSITY OF CALIFORNIA, DAVIS	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	ACLU FOUNDATION OF SO CALIFORNIA - WAGES
2. 2010	AMERICAN CIVIL LIBERTIES UNION INC OF SO CALIFORNIA - WAGES
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	PENNSYLVANIA LAW SCHOOL - ACS CHAPTER	02/01/10 - 02/03/10	PHILADELPHIA, PA	PROF ASSOC ACTIVITY/ CIVIC ORGANIZATION	AIRFARE, AND TRANSPORTATION
2.	WILLIAMS COLLEGE	02/10/10 - 02/12/10	WILLIAMSTOWN, MA	EDUCATIONAL ACTIVITY, EXCLUSIVE OF JUDICIAL EDUCATION	AIRFARE, LODGING AND TRANSPORTATION
3.	UNIVERSITY OF CALIFORNIA, DAVIS	02/25/10 - 02/25/10	DAVIS, CA	SPEAKING ENGAGEMENT	AIRFARE AND TRANSPORTATION

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4.	YALE LAW SCHOOL	04/26/10 - 04/28/10	NEW HAVEN, CT	EDUCATIONAL ACTIVITY, EXCLUSIVE OF JUDICIAL EDUCATION	AIRFARE AND TRANSPORTATION
5.	STANFORD LAW SCHOOL - AMERICAN CONSTITUTION SOCIETY	05/12/10 - 05/12/10	PALO ALTO, CA	PROF ASSOC ACTIVITY/ CIVIC ORGANIZATION	TRANSPORTATION
6.	UNIVERSITY OF CHICAGO - AMERICAN CONSTITUTION SOCIETY	05/16/10 - 05/17/10	CHICAGO, IL	PROF ASSOC ACTIVITY/ CIVIC ORGANIZATION	AIRFARE AND TRANSPORTATION
7.	AMERICAN CONSTITUTION SOCIETY - ANNUAL CONVENTION	06/16/10 - 06/20/10	WASHINGTON D.C.	PROF ASSOC ACTIVITY/ CIVIC ORGANIZATION	AIRFARE AND TRANSPORTATION
8.	WILLIAMS COLLEGE	10/19/10- 10/23/10	WILLIAMSTOWN, MA	NON-FJC EDUCATIONAL SEMINAR OR PROGRAM	AIRFARE AND TRANSPORTATION

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKER ACCT #1 - [REDACTED] [REDACTED], TSFR FROM CNB 100									
2. - FEDERATED CLOVER VALUE FD CL C (VFCCX) 1	A	Dividend	L	T					
3. - FDIC LIQUID INSURED DEPOSITS MONEY MARKET 1	A	Interest	J	T					
4. - GOLDMAN SACHS FINL SQR TSRY FD #506 1	A	Dividend			Redeemed	06/08/10	J	A	
5. CITY NATIONAL BANK CHECKING #79, FORMERLY #244		None	J	T					
6. UNION BANK CHECKING		None	K	T					
7. JOHN HANCOCK LIFE INS CO. - ANNUITY	D	Int./Div.	M	W					
8. SYMETRA LIFE INS CO - ANNUITY	B	Int./Div.	K	T					
9. IRA - ACCT #6 - [REDACTED] [REDACTED] FROM M/LYNCH [REDACTED]	C	Int./Div.	L	T					
10. - M/ LYNCH RETIREMENT RSRVS CL 6					Sold	08/04/10	J	A	
11. - BLACKROCK LARGE CAP 6					Sold	04/20/10	J	B	
12. - AMERICAN CENTURY FUND 6					Buy (add'l)	04/20/10	J		
13. - AMERICAN GROWTH FUND 6					Sold	04/20/10	J	B	
14. - CALVERT SHORT DURATION FD 6					Buy (add'l)	04/20/10	J		
15. - DELAWARE CORP BD 6					Buy (add'l)	04/20/10	J		
16. - FPA NEW INCOME FD 6									
17. - HARTFORD CAPITAL FD 6									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - JENNISON VALUE FD 6					Sold	08/04/10	J	A	
19. - METROPOLITAN WEST TTL FD 6					Buy (add'l)	04/20/10	J		
20. - T ROWE PRICE US TSY FD 6					Sold (part)	04/20/10	J	A	
21. - TCW TOTAL RETURN FD 6					Sold (part)	04/20/10	J	A	
22. - MAINSTAY LARGE CAP GRWTH FND 6					Buy	04/20/10	J		
23. - MFS VALUE FUND 6					Buy	04/20/10	J		
24. - PERSHING GOVT MONEY MARKET FUNDS 6									
25. DEFERRED SALARY PLAN/TRUST - ACCOUNT - M/LYNCH #C08	D	Int./Div.	N	T					See Note 3
26. - BIF MONEY FUND 8									See Note 1
27. - BLACKROCK LARGE CAP 8					Sold (part)	06/09/10	J	A	
28. - BLACKROCK LARGE CAP 8					Sold (part)	12/14/10	J	A	
29. - BLACKROCK INFLATION 8					Sold (part)	06/09/10	K	B	
30. - BLACKROCK GLOBAL ALLOC FUND 8					Buy (add'l)	06/09/10	J		
31. - BLACKROCK BD PORTFOLIO 8									
32. - BLACKROCK CAPITAL APPRECIATION FD 8					Buy (add'l)	06/09/10	J		See Note 2
33. - BLACKROCK EQUITY DIVIDEND 8					Sold (part)	06/09/10	J	A	
34. - BLACKROCK GNMA INST 8					Buy (add'l)	06/09/10	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - BLACKROCK MID CAP VALUE 8					Buy	06/09/10	K		
36. - BLACKROCK LOW DURATION BD 8					Buy	06/09/10	K		
37. - BLACKROCK S & P 500 INDEX FUND 8					Buy	12/14/10	K		
38. BROKER ACCT #9 - [REDACTED] [REDACTED], TSFR FROM M/ LYNCH 390									
39. - AMERICAN GROWTH FUND 9		None			Buy (add'l)	01/06/10	J		
40.					Sold	04/20/10	K	C	
41. - BLACKROCK LARGE CAP 9		None			Buy (add'l)	01/06/10	J		
42.					Sold	04/20/10	K	C	
43. - BLACKROCK CALIFORNIA 9	B	Dividend	K	T	Buy (add'l)	01/06/10	J		
44.					Buy (add'l)	04/20/10	J		
45. - BLACKROCK GLOBAL ALLOCATION FUND 9	A	Dividend	L	T	Buy	12/15/10	L		
46. - EATON VANCE NTL LTD 9	A	Dividend			Buy (add'l)	01/06/10	J		
47.					Buy (add'l)	04/20/10	J		
48.					Sold	08/04/10	K	B	
49. - EATON VANCE AMT FREE 9	A	Dividend			Buy (add'l)	01/06/10	J		
50.					Sold	04/20/10	K	B	
51. - FIDELITY ADV MUNI 9	B	Dividend	K	T	Buy (add'l)	01/06/10	J		

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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52. - FRANKLIN FED INTER TERM 9	B	Dividend	K	T	Buy (add'l)	01/06/10	J		
53.					Buy (add'l)	04/20/10	J		
54. - HARTFORD CAPITAL 9		None	K	T	Buy (add'l)	01/06/10	J		
55. - JENNISON VALUE FD 9		None			Buy (add'l)	01/06/10	J		
56.					Sold	08/04/10	K	B	
57. - J P MORGAN TAX AWARE 9	A	Dividend	J	T	Buy (add'l)	01/06/10	J		
58.					Sold (part)	04/20/10	J	A	
59. - DWS INTMDT TAX 9	A	Dividend	K	T	Buy	04/20/10	K		
60. - MAINSTAY LARGE CAP GROWTH FUND 9		None	K	T	Buy	04/20/10	K		
61. - MFS VALUE FUND 9	A	Dividend	K	T	Buy	04/20/10	K		
62. - FDIC LIQUID INSURED DEPOSITS MONEY MARKET 9	A	Int./Div.	L	T					
63. MERRILL LYNCH CHECKING # [REDACTED], CMA MONEY FUND	A	Int./Div.			Closed	05/19/10	J		
64. BANK OF THE WEST CHECKING [REDACTED]	A	Interest	L	T					
65. NATIONWIDE ACHIEVER ANNUITY	E	Int./Div.	N	T					
66. TRUST #1 - EXEMPT C	G	Int./Div.	P1	T					
67. - GOLDMAN SACHS FINL SQR TREAS FD # [REDACTED] (CNB [REDACTED])					Buy (add'l)	11/15/10	P1		
68. - GOLDMAN SACHS FINL SQR TREAS FD # [REDACTED] (CNB [REDACTED])					Redeemed	11/19/10	P1	A	

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. - UNIVERSITY CALIF REV 5.000% 5/15/10 (CNB 8110)					Matured	05/15/10	L	A	
70. - GLENDALE CALIF MUN UTIL 11/15/12 (CNB 8110)					Sold	02/01/10	M	A	
71. - SACRAMENTO CALIF MUN UTIL 11/15/12 (CNB 8110)					Sold	11/15/10	L	A	
72. - GOLDEN ST TOB CALIF 5.500% 6/1/43 (CNB 8110)					Sold	11/15/10	L	A	
73. - OPPENHEIMER INTL BOND FD CL A (CNB 8110)					Sold	11/12/10	O	G	
74. - L A COUNTY CA SANTND DST 5% 10/1/1 (CNB 8110)					Sold	11/15/10	L	A	
75. - PUERTO RICO CMWLTH 5.125% 7/1/30 (CNB 8110)					Sold	11/15/10	K	A	
76. - ARIZ SCH FACS BRD CTFN TN 5% 9/1/12 (#4486)									
77. - INVESCO EMERG MKT LOCAL FUND (#4486)					Buy	12/14/10	M		
78. - BLACKROCK HIGH YIELD BOND FUND (#4486)					Buy	12/14/10	O		
79. - BLACKROCK GLOBAL ALLOC FUND (#4486)					Buy	12/01/10	P1		
80. - FDIC LIQUID INSURED DEPOSITS (#4486)					Buy	11/19/10	P1		
81. - ACACIA PARTNERS, L.P.					Distributed (part)	12/31/10	J	A	
82. - POETIC LICENSE PARTNERS, LP									
83. - CITY NATIONAL BANK CHECKING , FORMERLY 279									
84. TRUST #2 - NON-EXEMPT C	A	Int./Div.	L	T					
85. - GOLDMAN SACHS FINL SQR TREAS FD (CNB 8010)					Redeemed	11/15/10	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86. - FDIC LIQUID INSURED DEPOSITS (#4478)					Buy	11/20/10	L		
87. - CITY NATIONAL BANK CHECKING [REDACTED], FORMERLY #287									
88. TRUST #3 - LVG TRUST	D	Int/Div.	O	T					
89. - GOLDMAN SACHS FINL SQR TREAS FD [REDACTED] (CNB 200)					Buy (add'l)	04/08/10	O		
90. - GOLDMAN SACHS FINL SQR TREAS FD [REDACTED] (CNB 200)					Redeemed	06/08/10	O	A	
91. - ORANGE CNTY CALIF SALE TAX 2/15/10 [REDACTED] (CNB 200)					Matured	02/15/10	K	A	
92. - SAN DIEGO COMM COLLEGE 8/1/10 [REDACTED]} (#4395)					Matured	08/02/10	K	A	
93. - FDIC LIQUID INSURED DEPOSITS (#4395)					Buy	05/29/10	M		
94. - BLACKROCK HIGH YLD BOND FUND [REDACTED] (#4395)					Buy	06/18/10	K		
95. - CALAMOS CONV FUND CLS 1 [REDACTED] (#4395)					Buy	06/23/10	K		
96. - G SACHS DYNAMIC ALLOC FUND [REDACTED] (#4395)					Buy	06/23/10	M		
97. - G SACHS ABS RETURN FUND [REDACTED] (#4395)					Buy	06/23/10	K		
98. - IVY GLOBAL NAT RSRCS FUND [REDACTED] (#4395)					Buy	06/18/10	K		
99. - IVY ASSET STRAT FUND CLS 1 [REDACTED] (#4395)					Buy	06/18/10	M		
100. - LAZARD EMERG MKT FUND [REDACTED] (#4395)					Buy	06/18/10	K		
101. - PIMCO EMERG MKTS FUND [REDACTED] (#4395)					Buy	06/18/10	K		
102. - CITY NATIONAL BANK CHECKING [REDACTED], FORMERLY #260									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

REINHARDT, STEPHEN R.

Date of Report

08/11/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE 1: Part VII, line 26 - The CMA money fund name was changed in connection with Bank of America's acquisition of Merrill Lynch. It's now the BIF money fund.

NOTE 2: Part VII, line 32 - This fund changed it's name in 2010 from Balckrock Fundamental Growth to Blackrock Capital Appreciation.

NOTE 3: Part VII, lines 25 through 37 - This account is a deferred salary plan, held by Merrill Lynch as trustee, sponsored by [REDACTED] employer, the ACLU.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

REINHARDT, STEPHEN R.

Date of Report

08/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ STEPHEN R. REINHARDT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reiss, Christina C.	2. Court or Organization United States District Court District of Vermont	3. Date of Report 05/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Chief Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Federal Building 111 Elmwood Avenue P.O. Box 953 Burlington, VT 05401	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2004	State of Vermont Employees Pension Fund (upon retirement age 65)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reiss, Christina C.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Self-employed - Commercial and Residential Mill Working Company
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reiss, Christina C.

Date of Report

05/10/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. People's United Bank	Loan - Business Mortgage	N
2. People's United Bank	Loan - Business Equipment	M
3. People's United Bank	Loan - Business Equipment	K
4. People's United Bank	Loan - Business - Line of Credit	M
5. People's United Bank	Loan - Business Refinance	L
6. People's United Bank	Loan - Business Mortgage	N
7. Vermont Economic Development Authority	Loan - Business Mortgage	N
8. Vermont Economic Development Authority	Loan - Equipment	M

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reiss, Christina C.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. TD Bank North Accounts	A	Interest	J	T					
2. Chittenden Bank Accounts	A	Interest	J	T					
3. Amoskeag Woodworking	A	Distribution	P1	W					
4. HRS, LLC	A	Distribution	N	W					
5. Merchants Bank CD	C	Dividend	J	T					
6. State Farm Life Insurance	A	Interest	J	T					
7. National Life Insurance	A	Interest	J	T					
8. IRA #1	E	Dividend	M	T					
9. - Davis NY Venture Fund	A	Dividend	J	T					
10. - Diamond Hill Large Cap Fund	A	Dividend	K	T					
11. - First Eagle Global Fund	A	Dividend	K	T					
12. - Janus Balanced Fund	A	Dividend	K	T					
13. - MFS Value Fund	A	Dividend	K	T					
14. - Oppenheimer Gold & Special Minerals Funds	A	Dividend	J	T					
15. - Pimco Total Return Fund	A	Dividend	J	T					
16. - RS Global Natural Resources Fund	A	Dividend	J	T					
17. - Sentinel Small Company Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Reiss, Christina C.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - The Home Depot	A	Dividend	J	T					
19. AT&T, Inc. **		None			Sold		J	A	
20. General Electric Co. **		None			Sold		J	A	
21. IRA #2	C	Dividend	K	T					
22. - IShares Russell 2000 Growth Fund	A	Dividend	J	T					
23. - Vodafone Group PLC ADR Fund **		None			Sold		J	A	
24. - Columbia Acorn Z Fund	A	Dividend	J	T					
25. - Fidelity Diversified Intl Fund	A	Dividend	J	T					
26. - ING Intl Small Cap Fund					Sold	02/02/10	J	A	
27. - ING Real Estate Fund					Sold	02/02/10	J	A	
28. - T Rowe Price Intl Fund	A	Dividend	J	T					
29. - T Rowe Price Emerging Markets	A	Dividend	J	T					
30. - Virtus Foreign Opportunities I Fund	A	Dividend	J	T					
31. - Virtus Short Intermediate Bond I Fund	A	Dividend	J	T					
32. - Virtus Intermediate Tax Exempt I Fund	A	Dividend	J	T					
33. - Cisco Systems, Inc.					Sold	02/02/10	J	A	
34. - Zhong Technologies, Inc.					Sold	02/02/10	J	A	

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
(See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
(See Column C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reiss, Christina C.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. IRA #3	E	Dividend	L	T					
36. - New Perspective Fund - A					Sold	01/20/10	J	A	
37. - New World Fund - A	A	Dividend	J	T					
38. - SMALL CAP World Fund - A	A	Dividend	J	T					
39. - Capital World Growth and Income Fund - A	A	Dividend	J	T					
40. - Capital Income Builder					Sold	01/20/10	J	D	
41. - The Cash Management Trust of America					Sold	01/20/10	J	A	
42. - The Growth Fund of America - A					Buy	01/20/10	J		
43. - The Income Fund of America - A					Buy	01/20/10	J		
44. - American High Income Trust - A					Buy	01/20/10	J		
45. - The Bond Fund of America - A					Buy	01/20/10	J		
46. - Capital World Bond Fund - A					Buy	01/20/10	J		
47. - American Funds Money Market Fund - A					Sold	01/20/10	J	A	
48. IRA # 4	A	Dividend	J	T					
49. - Capital World Growth & Income Fund - A					Buy	01/20/10	J		
50. Vermont Higher Education Investment Plan #1	B	Dividend	J	T					
51. Vermont Higher Education Investment Plan #2	B	Dividend	J	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000

2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reiss, Christina C.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. VC SP / College America Plan #1	A	Dividend	J	T					
53. VC SP / College America Plan #2	A	Dividend	J	T					
54. IRA #5	C	Dividend	J	T					
55. - Cisco Systems, Inc.	A	Dividend	J	T					
56. - Zhone Technologies New	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$30,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Reiss, Christina C.

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05/10/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

** - AT&T, Inc. stock, General Electric Company stock and Vodafone Group ADR Fund were all sold on December 3, 2009. This date is between the date of the Nomination Financial Report (last report) and this 2010 Report. The program will not allow entry of a date in 2009. These entries should not be included on this report.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Reiss, Christina C.

Date of Report

05/10/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christina C. Reiss**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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