

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Hanan, Andrew S.	2. Court or Organization United States District Court - Texas	3. Date of Report 05/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Judge Andrew S. Hanan 600 East Harrison St., #301 Brownsville, Texas 78520	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Hanan, Andrew S.

FINANCIAL DISCLOSURE REPORT
Page 2 of 16

Name of Person Reporting
Hanan, Andrew S.

Date of Report
05/10/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Self-employed—Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of TX	5/14 - 5/15	Dallas	CLE Program	Airfare, Hotel, Taxi, Parking
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 16

Name of Person Reporting
Hanen, Andrew S.

Date of Report
05/10/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT
Page 4 of 16

Name of Person Reporting
Hanen, Andrew S.

Date of Report
05/10/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA - Account #1	A	Interest	N	T					
2. -Treasury Strips									
3. -Chase Retirement Money Mkt. Reserves									
4. IRA - Account #2	B	Dividend	J	T					
5. -Aberdeen Developing (See Note 1)					Sold	01/20/09	J	A	
6. -Aberdeen Natural					Sold	01/20/09	J	A	
7. -Aberdeen Opt					Sold	01/20/09	J	A	
8. -Aberdeen Int					Sold	01/20/09	J	A	
9. -Ivy Asset					Sold	01/20/09	J	A	
10. -Cohen & Steers Global					Sold	01/20/09	J	A	
11. -Janus Int					Sold	01/20/09	J	A	
12. -Janus Ad					Sold	01/20/09	J	A	
13. -Janus Long/Short					Sold	01/20/09	J	A	
14. -Janus Forty					Sold	01/20/09	J	A	
15. -Janus Global					Sold	01/20/09	J	A	
16. -Thornburg Global					Sold	01/20/09	J	A	
17. -Blackrock Global					Sold	01/20/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 5 of 16

Name of Person Reporting
Hanen, Andrew S.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Blackrock Int					Sold	01/23/09	J	A	
19. -John Hancock Global					Sold	01/20/09	J	A	
20. -Merrill Lynch Money Mkt Reserves									
21. -Ivy Ltd Term Bond					Buy	01/20/09	J		
22. -Ivy Ltd Term Bond					Sold	08/04/09	J	A	
23. -JPMorgan Highbridge					Buy	01/20/09	J		
24. -JPMorgan Highbridge					Sold	08/04/09	J	A	
25. -Managers AMG FQGlobal					Buy	01/20/09	J		
26. -Managers AMG FQGlobal					Sold	08/04/09	J	A	
27. -Loomis Sayles Invt. Grade					Buy	01/20/09	J		
28. -Loomis Sayles Invt. Grade					Sold	08/04/09	J	A	
29. -Goldman Sachs Short Dur.					Buy	01/20/09	J		
30. -Goldman Sachs Short Dur.					Sold	08/04/09	J	A	
31. -DWS Short Term					Buy	01/20/09	J		
32. -DWS Short Term					Sold (part)	08/04/09	J	A	
33. -DWS Short Term					Sold	11/19/09	J	A	
34. -Aberdeen Equity					Buy	08/04/09	J		

1. Income Gain Codes:
(See Columns B1 and B4)

A = \$1,000 or less
F = \$50,001 - \$100,000

H = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes:
(See Columns C1 and C3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes:
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 6 of 16

Name of Person Reporting
Hanen, Andrew S.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Aberdeen Equity					Sold (part)	11/19/09	J	A	
36. -Putnam Diversified					Buy	08/04/09	J		
37. -Aberdeen Developing					Buy	08/04/09	J		
38. -Janus Forty					Buy	08/04/09	J		
39. -Janus Forty					Sold (part)	11/19/09	J	A	
40. -Aberdeen Natural					Buy	08/04/09	J		
41. -Aberdeen Natural					Sold	11/19/09	J	A	
42. -Ivy Asset Strategic					Buy	08/04/09	J		
43. -John Hancock Global					Buy	08/04/09	J		
44. -John Hancock Global					Buy	11/19/09	J		
45. -Lord Abbett Bond					Buy	08/04/09	J		
46. -Lord Abbett Bond					Sold (part)	11/19/09	J	A	
47. -Calamos Convertible Bond					Buy	08/04/09	J		
48. -Janus Overseas					Buy	08/04/09	J		
49. -Janus Overseas					Buy	11/19/09	J		
50. -Loomis Sayles Strategic					Buy	08/04/09	J		
51. -Loomis Sayles Strategic					Sold (part)	11/19/09	J	A	

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P1 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 7 of 16

Name of Person Reporting
Hanen, Andrew S.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Blackrock Int. Opp.					Buy	08/04/09	J		
53. -Blackrock Int. Opp.					Sold (part)	11/19/09	J	A	
54. -Blackrock Global					Buy	08/04/09	J		
55. -Blackrock Global					Sold	11/19/09	J	A	
56. -Jennison Nat.					Buy	11/19/09	J		
57. -RS Emerging Mkts					Buy	11/19/09	J		
58. -Putnam Voyager					Buy	11/19/09	J		
59. IRA Account #3	D	Dividend	L	T					
60. -Aberdeen Developing (See Note 2)					Sold	01/20/09	J	A	
61. -Aberdeen Natural					Sold	01/20/09	J	A	
62. -Aberdeen Opt					Sold	01/20/09	J	A	
63. -Aberdeen Int					Sold	01/20/09	J	A	
64. -Ivy Asset					Sold	01/20/09	J	A	
65. -Cohen & Steers Global					Sold	01/20/09	J	A	
66. -Janus Int					Sold	01/20/09	J	A	
67. -Janus Advisor					Sold	01/20/09	J	A	
68. -Janus Long/Short					Sold	01/20/09	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Hanen, Andrew S.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Janus Forty					Sold	01/20/09	J	A	
70. -Janus Global					Sold	01/20/09	J	A	
71. -Thornburg Global					Sold	01/20/09	J	A	
72. -Blackrock Global					Sold	01/20/09	J	A	
73. -Blackrock Int					Sold	01/20/09	J	A	
74. -John Hancock Global					Sold	01/20/09	J	A	
75. -Merrill Lynch Money Mkt Reserves									
76. -Ivy Ltd Term Bond					Buy	01/20/09	J		
77. -Ivy Ltd Term Bond					Sold	08/04/09	J	A	
78. -DWS Short Term Bond					Buy	01/20/09	J		
79. -DWS Short Term Bond					Sold (part)	08/04/09	J	A	
80. -DWS Short Term Bond					Buy	10/07/09	J		
81. -DWS Short Term Bond					Sold	11/19/09	J	A	
82. -JPMorgan Highbridge					Buy	01/20/09	J		
83. -JPMorgan Highbridge					Sold	08/04/09	J	A	
84. -Managers AMG PQGlobal					Buy	01/20/09	J		
85. -Managers AMG PQGlobal					Sold	08/04/09	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$5,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,001 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

I = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$5,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 9 of 16

Name of Person Reporting
Hansen, Andrew S.

Date of Report
05/10/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period:		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Loomis Sayles Int. Grade					Buy	01/20/09	J		
87. -Loomis Sayles Int. Grade					Sold	08/04/09	J	B	
88. -Goldman Sachs Short Dur.					Buy	01/20/09	J		
89. -Goldman Sachs Short Dur.					Sold	08/04/09	J	A	
90. -Aberdeen Equity					Buy	08/04/09	J		
91. -Aberdeen Equity					Buy	10/07/09	J		
92. -Aberdeen Equity					Sold (part)	11/19/09	J	A	
93. -Aberdeen Developing					Buy	08/04/09	J		
94. -Aberdeen Developing					Sold (part)	10/07/09	J	A	
95. -Aberdeen Developing					Sold (part)	11/19/09	J	A	
96. -Putnam Diversified					Buy	08/04/09	J		
97. -Putnam Diversified					Sold	10/07/09	J	A	
98. -Putnam Diversified					Buy	11/19/09	J		
99. -Janus Forty					Buy	08/04/09	J		
100. -Janus Forty					Buy	10/07/09	J		
101. -Janus Forty					Sold (part)	11/19/09	J	A	
102. -Aberdeen Natural					Buy	08/04/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT
Page 10 of 16

Name of Person Reporting
Hanan, Andrew S.

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05/10/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -Aberdeen Natural					Sold	11/19/09	J	A	
104. -Ivy Asset Strategic					Buy	08/04/09	J		
105. -Ivy Asset Strategic					Buy	10/07/09	J		
106. -Ivy Asset Strategic					Sold (part)	11/19/09	J	A	
107. -John Hancock Global					Buy	08/04/09	J		
108. -John Hancock Global					Sold	10/07/09	J	A	
109. -John Hancock Global					Buy	11/19/09	J		
110. -Lord Abbett Bond					Buy	08/04/09	J		
111. -Lord Abbett Bond					Sold (part)	11/19/09	J	A	
112. -Calamos Convertible Bond					Buy	08/04/09	J		
113. -Calamos Convertible Bond					Buy	11/19/09	J		
114. -Janus Overseas					Buy	08/04/09	J		
115. -Loomis Sayles Strategic					Buy	08/04/09	J		
116. -Loomis Sayles Strategic					Sold (part)	10/07/09	J	A	
117. -Loomis Sayles Strategic					Sold (part)	11/19/09	J	A	
118. -Blackrock Int					Buy	08/04/09	J		
119. -Blackrock Int					Buy	10/07/09	J		

1. Income/Gain Codes:
(See Columns H and D1)

A = \$1,000 or less
F = \$50,001 - \$100,000

H = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Hanen, Andrew S.

Date of Report

05/10/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
120. -Blackrock Int					Sold (part)	11/19/09	J	A	
121. -Blackrock Global					Buy	08/04/09	J		
122. -Blackrock Global					Sold	11/19/09	J	A	
123. -Janus Overseas					Buy	11/19/09	J		
124. -Jennison Nat.					Buy	11/19/09	J		
125. -RS Emerging Mkt					Buy	11/19/09	J		
126. -Putnam Voyager					Buy	11/19/09	J		
127. Retirement Account #1	E	Int./Div.	N	T					
128. -Fidelity Short Term Bond Fund									
129. -Marshall Bond Fund									
130. -Pax Balanced Fund					Sold	02/10/09	K	A	
131. -Citizens Bank Flint Mich CD					Redeemed	02/09/09	L	A	
132. -Strategic Cap Bk CD					Redeemed	07/09/09	L	A	
133. -Catapillar Bonds					Buy	02/15/09	L		
134. -Duke Uni. Bonds					Buy	02/15/09	J		
135. -Dartmouth College Bonds					Buy	07/21/09	M		
136. -Fidelity Cash Reserves									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 12 of 16

Name of Person Reporting
Hanen, Andrew S.

Date of Report
05/10/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
137. Brokerage Acct #1									
138. -Houston ISD Municipal Bonds	B	Interest	K	T	Redeemed (part)	02/15/09	L	A	
139. -Pearland ISD Municipal Bonds	C	Interest	M	T					
140. -Killeen Tex. Municipal Bonds	C	Interest	M	T					
141. -Katy Tex. ISD Municipal Bonds	C	Interest	M	T					
142. -Lubbock Tx. Tax & Wtrworks Municipal Bonds	C	Interest	M	T					
143. -Univ. Tex. Revs. Fin. Municipal Bonds	D	Interest	M	T					
144. -Southlake Tex L/T Water Municipal Bonds	D	Interest			Redeemed	02/15/09	L	A	
145. -Georgetown ISD Municipal Bonds	C	Interest	M	T					
146. -Ft. Worth Tex ISD Municipal Bonds	C	Interest	M	T					
147. -Richardson Texas Municipal Bonds	C	Interest	M	T					
148. -Allen Texas Rfdg. Municipal Bonds	C	Interest	M	T					
149. -Plano ISD Municipal Bonds	D	Interest	M	T					
150. -Burleson Tex ISD Municipal Bonds	C	Interest	M	T					
151. -Port Neches Grove ISD Municipal Bonds	C	Interest	M	T					
152. -Smith Barney Tax Free Money Market	A	Interest	N	T					
153. Brokerage Acct #2									

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,001 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 13 of 16

Name of Person Reporting
Hanen, Andrew S.

Date of Report
05/10/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "IX)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Canadian Riv. Mun. Municipal Bonds	A	Interest	M	T	Redeemed (part)	02/17/09	M	C	
155. -Houston Tex Water Municipal Bonds	C	Interest			Redeemed	09/11/09	M	D	
156. -Brownsville Tex Municipal Bonds	C	Interest	L	T					
157. -Lower Col. Riv. Auth. Municipal Bonds	C	Interest	M	T					
158. -Arlington Texas ISD Municipal Bonds	C	Interest			Redeemed	02/17/09	M	A	
159. -Harris County Municipal Bonds	D	Interest	M	T					
160. -University of N. Tex Municipal Bonds	B	Interest	L	T					
161. -Houston Tex ISD Zero Coupon Municipal Bonds	B	Interest			Redeemed	09/11/09	K	B	
162. -Sharyland ISD Municipal Bonds	C	Interest	M	T					
163. -Lancaster ISD Municipal Bonds	A	Interest	M	T	Buy	11/09/09	L		
164. -Merrill Lynch CMA Tax Exempt Money Market	F	Interest	K	T					
165. Compass Bank	A	Interest	K	T					
166. Northwestern Mutual EOL Life Ins #117	A	Dividend	J	T					
167. Northwestern Mutual EOL Life Ins #714	A	Dividend	J	T					
168. Northwestern Mutual Whole Life Ins #642	A	Dividend	J	T					
169. Northwestern Mutual Whole Life Ins #817	C	Dividend	L	T					
170. Northwestern Mutual Whole Life Ins #811	C	Dividend	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

L = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting
Hanen, Andrew S.

Date of Report
05/10/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Northwestern Mutual EOL Life #840	C	Dividend	K	T					

1. Income/Gain Codes (See Columns H1 and D4)	A = \$0.001 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value/Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	Q = Appraisal	V = Other	W = Estimated		
	L = Book Value				

FINANCIAL DISCLOSURE REPORT
Page 15 of 16

Name of Person Reporting

Hanen, Andrew S.

Date of Report

05/10/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. The Nationwide funds in IRA - Account #2 listed on Lines 7, 8, 10, 11, 12, 13, 16, 17, 18 and 19 of the 2008 report were all incorporated into the various Aberdeen funds. See 2008 Explanatory Notes (Sec. VIII; 1-5).
2. The Nationwide funds in IRA Account #3 listed on Lines 59, 60, 61, 63, 64, 67, 68, 71, 72, 73 and 74 of the 2008 report were all incorporated into the various Aberdeen funds. See 2008 Explanatory Notes (Sec. VIII; 1-5).

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Hanen, Andrew S.

Date of Report

05/10/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544