

UNITED STATES DISTRICT COURT

UNITED STATES COURTHOUSE

500 PEARL STREET

NEW YORK, NY 10007

CHAMBERS OF
ROBERT P. PATTERSON, JR.
DISTRICT JUDGE

September 10, 2008

Ortrie D. Smith, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

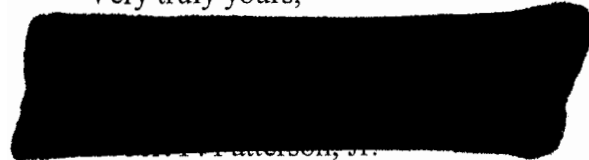
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DISCLOSURE OFFICE

Dear Sir:

Enclosed are the original and three copies of my Amended
Financial Disclosure Report for Calendar Year 2007.

If you have any questions, please call me at 212-805-0238.

Very truly yours,



Robert P. Patterson, Jr.
U.S. District Judge

Enclosures

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Patterson, Jr., Robert P	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 09/10/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. District Court Chambers 2550, 500 Pearl St. New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Managers	The Havens Relief Fund Society
2.	
3.	
4.	
5.	

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

09/10/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

09/10/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. John Hancock

Insurance Policy Loan

J

2. National Life Insurance

Insurance Policy Loan

J

3. New England Life (now Met Life)

Insurance Policy Loan

J

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

09/10/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Vanguard Group (Keogh) Windsor II Fund	E	Dividend	O	T		1/15	K		Partial mandatory redemption
2. Vanguard Group (Keogh) Int. Term Corp. Fund	E	Interest	N	T		1/15	K		Partial mandatory redemption
3. Istar Finl Inc.	B	Dividend	K	T	Partial Sale	12/20	J	A	
4. Metropolitan Life Ins.	A	Dividend	J	T					
5. Byron Bergen NY Sched 2.5% 6/15/08	B	Interest	L	T					
6. Clarkstown School Dist. 4.5% 4/15/10	A	Interest	L	T					
7. Edgemont School Dist. N.Y. 5.50% at 1/15/07 (Greenburgh)	B	Interest			Sale	10/15	J	A	
8. New Rochelle City 4% 9/1/03	B	Interest	K	T					
9. N.Y. State Env. Fac. 5.6% 9/15/13	A	Interest	J	T					
10. N.Y. State Env. Fac. 5.25% 6/15/14	C	Interest	L	T					
11. Otsego Cnty NY Indl. Dev. Agy 5.125% 11/1/12	C	Interest	L	T					
12. Orleans County, NY 4.9% 12/15/14	B	Interest	K	T					
13. Syracuse NY Ref. 3% 10/1/08	A	Interest	K	T					
14. New York Tax Free Income Fund	A	Interest	J	T					
15. Chase Bank IRA Cash Equivalent	A	Interest	J	T					
16. Chase Bank IRA Cash Equivalent	A	Interest	J	T					
17. Green Point Savings Cash Equivalent	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

09/10/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. NY Tax Free Income Fund	A	Interest				1/10			Transfer - NY Money Fund
19. Bear Stearns New York Money Fund	D	Interest	O	T		1/10			Transfer from NY Daily Tax
20. Dover Corp.	A	Dividend			Buy	7/20	K		
21. Dover Corp.					Sale	12/14	K		Loss
22. Life Cell Corp.		None	K	T	Buy	1/9	K		
23. Schlumberger Ltd.	A	Dividend	K	T	Buy	3/19	J		
24. Copart, Inc.		None	K	T					
25. Dril-Quip Corp. CL-B		None	K	T					
26. Harris Stratex Networks, Inc.		None			Sale	12/14	J		Loss
27. Cisco Systems, Inc.		None	K	T	Buy	3/12	J		
28. Corning Inc.	A	Dividend	K	T	Buy	4/26	K		
29. Exxon Mobil Corp.	B	Dividend	L	T					
30. Florida East Coast Inds.	D	Dividend			Sale	7/27	K	D	
31. General Electric	A	Dividend	K	T			J		
32. IBM	A	Dividend	L	T					
33. Istar Financial	C	Dividend	K	T					
34. Helmerich & Payne Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

09/10/2008

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Kimco Realty	A	Dividend			Sale	2/07	K	E	
36. Kimco Realty	A	Dividend			Sale	6/19	K	E	
37. Presstek, Inc.		None	J	T	Buy	8/15	J		
38. Presstek		None	J	T	Buy	10/15	J		
39. Medco Health Solutions		None	K	T					
40. Microsoft Corp.	A	Dividend	K	T					
41. Questar Corp.	A	Dividend	L	T					
42. Proctor & Gamble	A	Dividend	K	T					
43. Teleflex Inc.	A	Dividend	K	T					
44. TESCO Corp.		None	K	T	Buy	1/05	J		
45. (AOL) Time Warner	A	Dividend	J	T					
46. Igene Biotechnology Inc. (WTS)		None		T					No value
47. N.Y.S. Power Authority 5.625% 1/1/10	A	Interest	J	T					
48. Progress Energy, Inc.		None		T					No value
49. Ultra Life Battery		None			Sale	5/8	K	A	
50. Symbol Technologies		None			Sale	1/10	K	C	
51. Zoll Medical		None			Sale	11/15	K	E	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

09/10/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Air Products & Chemicals	A	Dividend			Sale	8/8	K	D	
53. International Coal Group, Inc.		None	K	T	Buy	10/8	J		
54. Brookhaven NY Ind. Devl. 5/6% 12/1/09	C	Interest			Sale	12/3		A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

09/10/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Through an oversight due to change of clearing broker in January 2007, I failed to list a purchase by [REDACTED] of Symbol Technologies in my May 2006 Financial Disclosure Report. Purchase was on 5/8/06 for just over \$15,000. Sale was made on 1/10/07, as reflected in this Report for 2007.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

09/10/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Patterson, Jr., Robert P	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 05/08/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. District Court Chambers 2550, 500 Pearl St. New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION NAME OF ORGANIZATION/ENTITY

1. Board of Managers	The Havens Relief Fund Society
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE PARTIES AND TERMS

1.	
2.	
3.	

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DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

05/08/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting
Patterson, Jr., Robert P

Date of Report
05/08/2008

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	John Hancock	Insurance Policy Loan	J
2.	National Life Insurance	Insurance Policy Loan	J
3.	New England Life (now Met Life)	Insurance Policy Loan	J
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

05/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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1. Vanguard Group (Keogh) Windsor II Fund	E	Dividend	O	T		1/15	K		Partial mandatory redemption
2. Vanguard Group (Keogh) Int. Term Corp. Fund	E	Interest	N	T		1/15	K		Partial mandatory redemption
3. Istar Finl Inc.	B	Dividend	K	T	Partial Sale	12/20	J	A	
4. Metropolitan Life Ins.	A	Dividend	J	T					
5. Byron Bergen NY Sched 2.5% 6/15/08	B	Interest	L	T					
6. Clarkstown School Dist. 4.5% 4/15/10	A	Interest	L	T					
7. Edgemont School Dist. N.Y. 5.50% at 1/15/07 (Greenburgh)	B	Interest			Sale	10/15	J	A	
8. New Rochelle City 4% 9/1/03	B	Interest	K	T					
9. N.Y. State Env. Fac. 5.6% 9/15/13	A	Interest	J	T					
10. N.Y. State Env. Fac. 5.25% 6/15/14	C	Interest	L	T					
11. Otsego Cnty NY Indl. Dev. Agy 5.125% 11/1/12	C	Interest	L	T					
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13. Syracuse NY Ref. 3% 10/1/08	A	Interest	K	T					
14. New York Tax Free Income Fund	A	Interest	J	T					
15. Chase Bank IRA Cash Equivalent	A	Interest	J	T					
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17. Green Point Savings Cash Equivalent	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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R = Cost (Real Estate Only)
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FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Patterson, Jr., Robert P

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19. Bear Stearns New York Money Fund	D	Interest	O	T		1/10			Transfer from NY Daily Tax
20. Dover Corp.	A	Dividend			Buy	7/20	K		
21. Dover Corp.					Sale	12/14	K		Loss
22. Life Cell Corp.		None	K	T	Buy	1/9	K		
23. Schlumberger Ltd.	A	Dividend	K	T	Buy	3/19	J		
24. Copart, Inc.		None	K	T					
25. Dril-Quip Corp. CL-B		None	K	T					
26. Harris Stratex Networks, Inc.		None			Sale	12/14	J		Loss
27. Cisco Systems, Inc.		None	K	T	Buy	3/12	J		
28. Corning Inc.	A	Dividend	K	T	Buy	4/26	K		
29. Exxon Mobil Corp.	B	Dividend	L	T					
30. Florida East Coast Inds.	D	Dividend			Sale	7/27	K	D	
31. General Electric	A	Dividend	K	T			J		
32. IBM	A	Dividend	L	T					
33. Istar Financial	C	Dividend	K	T					
34. Helmerich & Payne Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 ● = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

05/08/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Kimco Realty	A	Dividend			Sale	2/07	K	E	
36. Kimco Realty	A	Dividend			Sale	6/19	K	E	
37. Presstek, Inc.		None	J	T	Buy	8/15	J		
38. Presstek		None	J	T	Buy	10/15	J		
39. Medco Health Solutions		None	K	T					
40. Microsoft Corp.	A	Dividend	K	T					
41. Questar Corp.	A	Dividend	L	T					
42. Proctor & Gamble	A	Dividend	K	T					
43. Teleflex Inc.	A	Dividend	K	T					
44. TESCO Corp.		None	K	T	Buy	1/05	J		
45. (AOL) Time Warner	A	Dividend	J	T					
46. Igene Biotechnology Inc. (WTS)		None		T					No value
47. N.Y.S. Power Authority 5.625% 1/1/10	A	Interest	J	T					
48. Progress Energy, Inc.		None		T					No value
49. Ultra Life Battery		None			Sale	5/8	K	A	
50. Symbol Technologies		None			Sale	1/10	K	C	
51. Zoll Medical		None			Sale	11/15	K	E	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

05/08/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Air Products & Chemicals	A	Dividend			Sale	8/8	K	D	
53. International Coal Group, Inc.		None	K	T	Buy	10/8	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

05/08/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Through an oversight due to change of clearing broker in January 2007, I failed to list a purchase by [REDACTED] of Symbol Technologies in my May 2006 Financial Disclosure Report. Purchase was on 5/8/06 for just over \$15,000. Sale was made on 1/10/07, as reflected in this Report for 2007.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Patterson, Jr., Robert P

Date of Report

05/08/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544