

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LEE, TOM S.	2. Court or Organization United States District Court, Southern District of MS	3. Date of Report 5/15/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/09 to 12/31/09
7. Chambers or Office Address 245 East Capitol Street Suite 109 Jackson, MS 39201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. General Partner	Tom S. Lee [REDACTED] Limited Partnership
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2009	State Employee Pension Fund; pension upon retirement age 65
2.	
3.	

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 FEDERAL BUREAU OF INVESTIGATION

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Director - Community Bancshares of MS, Inc.
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING NQ VAR		None	J	T					
2. American Skandia NQ VA		None	M	T					
3. Van Kampen Muni Opp (VMO)	A	Div.	J	T					
4. MS O Coupon Bond		None	M	T	Bond fluctu				
5. Eaton Vance MS Fund	B	Div.	K	T					
6. MS Hospital EQ & FAC Bond	A	Int.	K	T					
7. Shares New Perspective		None			Sell	3/20	M	D	
8. Shares of Community Bancshares of MS, Inc.	E	Div.	P1	T					
9. ING NQ VA #...		None	J	T					
10. American Skandia NQ		None	M	T					
11. His Hartford VA IRA...		None	M	T					
12. Land in Scott Co., MS		None	K	W					
13. U.S. Ser. E. Bonds		None	L	T	Bonds Increase				
14. Phoenix Life		None	M	T	Life Ins.				
15. Community Bancshares of MS	A	Int.							
16. His ING VA IRA...		None	M	T	Account fluc.				
17. Lord Abbot Aff.		None			Sell	7/24	J	A	

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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1. Alliance MF		None			Sell	7/24	K	D	
2. Hartford VA NQ (with JS)		None	M	T	Account	Fluc.			
3. ING VA IRA...		None	K	T	Account	Fluc.			
4. Franklin Income	A	Div.	J	T					
5. ABB Limited		None	J	T					
6. VK C&S Muni	D	Div.	M	T					
7. FT Muni	A	Div.	J	T					
8. VK C&S Muni	C	Div.	L	T					
9. VK EAFE		None			Sell	3/10	J	A	
10. Claymore Def. Equities	A	Div.			Sell	7/24	I	D	
11. Thornburg Income Builder	B	Div.	L	T					
12. Claymore Def. Equities	A	Div.			Sell	7/24	K	C	
13. FT Corp. Strength	A	Div.	L	T					
14. VK Utility Income	B	Div.	K	T					
15. Thornburg Income Builder	B	Div.	K	T					
16. Matrix Am. First Income Trends	C	Div.	K	T	Buy	7/27	K		
17. FT Muni Ins.	C	Div.	K	T	Buy	3/10	K		

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1. FT Muni Ins.	D	Div.	M	T	Buy	3/20	M		
2. FT Emerging Markets	A	Div.	K	T	Buy	7/27	K		
3. VK Sector Rotation	A	Div.	K	T	Buy	7/27	K		
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Practically all of my annuities, mutual fund accounts, stocks and bonds are held in my LPL Financial Account.

The proceeds of all sales and redemptions shown in this Report were received in that account and all funds for purchases were disbursed from that account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544