

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BAER, JR., HAROLD	2. Court or Organization US DISTRICT	3. Date of Report 05/08/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2005 to 12/31/2005
5b. ☐ Amended Report		
7. Chambers or Office Address ROOM 2230 U.S. COURTHOUSE 500 PEARL STREET NEW YORK, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	NEW YORK CITY MANAGEMENT WELFARE FUND
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		MATTHEW BENDER & CO., INC. - BOOK ROYALTIES	\$ 1,020.00
2.		PENSION - NY STATE EMPLOYEES' RETIREMENT SYSTEM	\$ 20,780.00
3.			
4.			
5.			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. NON-FJC EDUCATION SEMINAR OR PROGRAM - FUNDED BY: AMER. LAW INST/AMER BAR ASSOC.	03/01/05 TO 03/04/05 MAUI, HAWAII \$3,499
2. MEETING OR SEMINAR WITH ANOTHER GOVERNMENT ENTITY FUNDED BY: NY STATE JUDICIAL INST	04/21/05 TO 04/21/05 WHITE PLAINS, NY N/A
3. NON-FJC EDUCATIONAL SEMINAR OR PROGRAM FUNDED BY: GEORGE MASON UNIVERSITY	05/13/05 TO 05/14/05 ARLINGTON, VA \$1,841
4. NON-FJC EDUCATIONAL SEMINAR OR PROGRAM FUNDED BY: GEORGE MASON UNIVERSITY FOUNDATION	11/11/05 TO 11/18/05 KEY WEST, FL \$2,646
5.	

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. WACHOVIA SECURITIES	SECURITIES MARGIN ACCOUNT	J
2. WACHOVIA SECURITIES	SECURITIES MARGN ACCOUNT	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GILLETTE CO.	A	Dividend			SELL	6/1	J	B	
2. ROCHESTER FUND	D	Dividend	L	T					
3.									
4. EXXON MOBIL CORP.	A	Dividend	K	T					
5. HOME DEPOT INC.	A	Dividend	K	T					
6. PROCTOR & GAMBLE CO.	A	Dividend	L	T					
7. VANGUARD FUND (IRA) SHORT TERM INV GRADE FUND INV SHS	A	Dividend			SELL	12/31	K	A	
8. SCUDDER GLOBAL FUND	A	Dividend	J	T					
9. VERIZON COMM.	A	Dividend	J	T					
10. BELL SHOUTH CORP	A	Dividend			SELL	6/1	J	D	
11. INTEL CORP.	A	Dividend	K	T					
12. EXXON MOBILE CORP.	A	Dividend	K	T					
13. ROCHESTER MUNICIPAL FUND, INC.	C	Dividend	M	T					
14. FIRSDT ENERGEY CORP.	C	Dividend	L	T					
15. PFIZER, INC.	C	Dividend	L	T					
16. PUBLIC SERVICE ENTERPRISE GROUP	C	Dividend	L	T	PART SALE	6/1	J	C	
17. CYPRESS SEMICONDUCTOR CORP		None	K	T	PART SALE	4/22	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000 Q=Appraisal T=Deeds Value	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. OPPENHEIMER CAPITAL APPREC. FD IRA		None	J	T					
19. QUEST FOR DUAL PURPOSE FUND IRA	A	Dividend	J	T					
20. US SAVINGS BONDS	B	Interest	J	W					
21. ADVANTAGE PRIMARY 40 PD (NAME CHANGE)	A	Dividend	J	T					
22. **MFS CHARTER INCOME TRUST-SBI	A	Dividend	J	T					
23. ** ENTERTAINMENT PROPERTIES TRUST	B	Dividend	K	T					
24. ** ABOVE PROPERTY SOLD 12/05 & FDS DISTR TO DANIEL FREY									BENEFICIARY OF TRUST
25. I HAVE NO BENEFICIARY INTEREST IN THE TRUST									
26. 3.67% INTEREST BUILDING BROOKLYN, NY	B	Rent	J	W					
27. OCC CASH RESERVE	A	Dividend	J	T					
28. OPENHEIMER QUEST CAPITAL VALUE FUND		None	J	T					
29. PLAINS ALL AMERICAN PIPELINE LP	B	Dividend	K	T					
30. SMJCKER J.M. CO	A	Dividend	J	T					
31. MEDCO HEALTH SOLUTIONS	A	Dividend	J	T					
32. GENERAL ELECTRIC	A	Dividend	J	T					
33. ALLIANCE RESOURCES PARTNERS	A	Dividend			SELL	3/24	J	D	
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/15/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544