

FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                           |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>MARSH, MALCOLM F.                                                                                                                 | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT, OREGON                                                                                                                                                                                                                        | <b>3. Date of Report</b><br><br>04/28/2010                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. DIST. JUDGE, SR. STATUS                                               | <b>5a. Report Type</b> (check appropriate type)<br><br><input type="checkbox"/> Nomination,                      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2009<br>to<br>12/31/2009 |
| <b>7. Chambers or Office Address</b><br><br>1000 S. W. THIRD AVENUE<br>SUITE 1507<br>PORTLAND, OR 97204                                                                                                | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                                          |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                                           |                                                                  |

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

| POSITION                               | NAME OF ORGANIZATION/ENTITY |
|----------------------------------------|-----------------------------|
| 1. Grantor/Trustee of Intervivos Trust | Pioneer Trust Bank          |
| 2.                                     |                             |
| 3.                                     |                             |
| 4.                                     |                             |
| 5.                                     |                             |

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

| DATE | PARTIES AND TERMS |
|------|-------------------|
| 1.   |                   |
| 2.   |                   |
| 3.   |                   |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

MARSH, MALCOLM F.

Date of Report

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income** – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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Name of Person Reporting

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1. _____      | _____              | _____        |
| 2. _____      | _____              | _____        |
| 3. _____      | _____              | _____        |
| 4. _____      | _____              | _____        |
| 5. _____      | _____              | _____        |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1. _____        | _____              | _____             |
| 2. _____        | _____              | _____             |
| 3. _____        | _____              | _____             |
| 4. _____        | _____              | _____             |
| 5. _____        | _____              | _____             |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
MARSH, MALCOLM F.

Date of Report  
04/28/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. SMITH BARNEY FINANCIAL<br>MANAGEMENT ACCOUNT                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. West. Asset Muni. Money Mkt Fund                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. MUNICIPAL BONDS                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. Lane County Ore Sch Dist 4J, Due 7/1/2018                                                                                | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/04/09                | K                               |                                | 20,000-MM, 10,000<br>SM JTWR                                      |
| 5. Forest Grove Ore Rev Rfdg & Campus, Due<br>5/1/2036                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         | J                               |                                |                                                                   |
| 6. Oregon St. Bd Bk Rev Ore Eco Comm Dev<br>Dept, 01/01/2025,                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Umatilla Co. Ore. Hosp., 12-01-2030                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Salem-Keizer Ore. S.D., 6-1-09, orig.<br>maturity 06/01/2013                                                             | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 06/01/09                | J                               |                                | 10,000                                                            |
| 9. Ore St. Health HSF Edl & Cultural,<br>11-15-2032                                                                         | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Portland OR Housing Auth Rev<br>Rfdg-Pooled 01/01/2027                                                                  | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 01/02/09                | J                               |                                | 10,000                                                            |
| 11. Clack Cnty Ore Sch Dist (Lk Osw),<br>06/01/2026                                                                         | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. OHSU Rev Ser A MSF MBIA, 07/01/2032                                                                                     | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Ore. St. Dept. Admin Svcs, due 05/01/2028                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Washington & Clackamas Cnty SD#23, Due<br>06/15/2020                                                                    | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/19/09                | K                               |                                | 20,000                                                            |
| 15. OR St Vets Welfare, Ser. B, Due 10/01/2042                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. OR St. Bd Higher Ed G/O, Due 08/01/2028                                                                                 | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Portland City OR Ltd/Tax Conv. Ctr, Ser. A,<br>Due 06/01/2030                                                           | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MARSH, MALCOLM F.

Date of Report

04/28/2010

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. OR St Dept Transn Hwy User Tax Rev, Bk Entry Due 11/15/2028                                                             | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. Ore St Dpt of Transportation, Lien A, Due 11/15/2028                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. Umatilla Co OR Hsp Fax Au Rev Cath, Due 5/1/2034                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/12/09                | K                               |                                | 20,000                                                            |
| 21. Salem Ore Hosp Fac Auth Rev, Due 8/15/2030                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. Portland, OR Swr Sys Rev 1st Lien Ser A, 6-15-2027                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. Ore. St. Dept of Transp Hwy User, Lien A, Due 11/15/2030                                                                | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. Ptlid OR Swr Sys Rev Rfdg, 1st Lien-Ser A, Due 6-15-2033                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. Clackamas Co OR Sch Dist #12, North Clack, Due 6-15-2030                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. UST First TR Spl 1679 Dow Target May 2008                                                                               | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/14/09                | J                               |                                | 15                                                                |
| 27. Clackamas Cnty Ore Hosp Facs, Due 5/1/2021                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 01/05/09                | J                               |                                | 10,000                                                            |
| 28. State of OR, Board of Education, Due 8/1/2023                                                                           | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 05/12/09                | K                               |                                | 20,000                                                            |
| 29. State of Oregon, Board of Education, Due 8/1/2027                                                                       | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 06/01/09                | J                               |                                | 15,000                                                            |
| 30. Jackson County Ore Schl District, Due 6/15/2023                                                                         | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/19/09                | K                               |                                | 25,000                                                            |
| 31.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 32. JTWROS PURCHASES ; these 3 assets are now in the sole                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 33. name of the filer                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 34. Puerto Rico Electric Power, Due 7/1/2019                                                                                | A                                       | Interest                                      | L                                               | T                                         | Buy                                             | 08/20/09                | L                               |                                | 55,000                                                            |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

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Name of Person Reporting

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Oregon St. Dept Admin Services, Due 11/1/2026                                                                           | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 11/04/09                | J                               |                                | 10,000                                                            |
| 36. Washing County Ore Rfdg, Due 7/1/2039                                                                                   | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 11/04/09                | K                               |                                | 35,000                                                            |
| 37.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 38. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 39. Citibank NA Bank Deposit Program                                                                                        | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 40. MUNICIPAL BONDS                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 41. Lane County Ore Sch Dist 4J, Due 7/1/2018                                                                               | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/04/09                | J                               |                                | MFJ & SM shares, JTWROS                                           |
| 42. Ore. St. Hsg & Cmnty Svcs Dept, Due 1/1/2023                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. Forest Grove Ore Ref Rfdg & Campus, 05/01/2036                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. ORE St. Hlth HSF Edl & Cultural 11/15/2032                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 45. Ptlid Or Hsg Auth Rev RFDG 01/01/2027                                                                                   | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 01/02/09                | J                               |                                |                                                                   |
| 46. Wash Cnty Ore Sch Dist (Forest Grove) 06/15/2021                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/04/09                | K                               |                                | 15,000, JTWROS                                                    |
| 47. Clack Cnty Ore Sch Dist (Lk Osw), 06/01/2026                                                                            | C                                       | Interest                                      |                                                 |                                           | Sold                                            | 02/12/09                | L                               |                                | 55,000                                                            |
| 48. OHSU Rev Ser. A. MSF, MBIA, 07/01/2032                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. Ore. St. Veterans Welfare Service, Due 10/01/2042                                                                       | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 50. Washington/Clackamas Cnty S.D. 23 Tigard, Due 6/15/2020                                                                 | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 06/19/09                | K                               |                                | 25,000                                                            |
| 51. Bend OR full faith & credit obligs, due 12/1/2022                                                                       | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Deschutes Cnty, OR, Hosp Facs, due 1/1/2022                                                                             | B                                       | Interest                                      |                                                 |                                           | Sold                                            | 08/20/09                | L                               |                                | 50,000, JTWROS                                                    |
| 53. OR St Bd Higher Ed G/O Ser. B Due 8/1/2028                                                                              | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. Portland City OR Ltd. Tax Conv. Ctr Ser A, Due 6-1-2030                                                                 | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. Umatilla Co. OR Hsp Fac Au Rev Cath Due 5/1/2034                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 05/12/09                | K                               |                                | 30,000                                                            |
| 56. OR St Dept Transn Hwy User, Due 11-15-2028                                                                              | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. Ore St Dpt of Transp., Lien A Due 11/15/2028                                                                            | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Ptlid OR Swr Sys Rev First Lien, Due 6/15/2029                                                                          | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Ptlid OR Swr Sys Rev 1st Lien, Ser A, 6/15/2027                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. Salem OR Hosp Fac Auth Rev, Due 8/15/2030                                                                               | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. Oregon State Ser 87A B/E, Due 12/1/2026                                                                                 | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Ore St Dept of Transp Hwy User, Due 11/15/2030                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. Ptlid OR Swr Sys Rev A Rfdg, due 6/15/2033                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. Clackamas Co OR Sch Dist #12, North Clack, due 6-15-2030                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. UTS First Tr Spcl Situations 1679 Dow Target May 2008                                                                   | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 01/05/09                | J                               |                                | 15                                                                |
| 66. Clackamas Cnty Ore Hosp Facs, Due 5/1/2021                                                                              | B                                       | Interest                                      | J                                               | T                                         | Buy                                             | 01/05/09                | J                               |                                | 10,000                                                            |
| 67. Puerto Rico Hwys & Trnsptn Au, Due 7/1/2036                                                                             | A                                       | Interest                                      | L                                               | T                                         | Buy                                             | 02/12/09                | L                               |                                | 60,000                                                            |
| 68. State of Ore, St. Bd of Education, Due 8/1/2031                                                                         | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 05/12/09                | K                               |                                | 30,000                                                            |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MARSH, MALCOLM F.

Date of Report

04/28/2010

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Jackson County Ore. School District, Due 6/15/2023                                                                      | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 06/19/09                | K                               |                                | 30,000                                                            |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 71. Key Bank Private Bank                                                                                                   | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. U.S. Bank Checking Acct                                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. U.S. Bank Money Market Acct                                                                                             | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 75. Pioneer Trust I.R.A., TRANSFERRED TO MORGAN STANLEY SMITH                                                               | E                                       | Interest                                      | N                                               | T                                         | Open                                            | 01/31/09                | N                               |                                |                                                                   |
| 76. BARNEY CGM IRA CUSTODIAN, SELF DIRECTED                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 77. NO DISTRIBUTION TAKEN IN 2009                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 78. =Citibank NA South Dakota Bank Dep                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. = COMMON STOCKS                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 80. =Accenture Ltd,                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | 200                                                               |
| 81. =Altria Group                                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | 229                                                               |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 02/11/09                | J                               |                                | 471                                                               |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | -700                                                              |
| 84. =Apple Inc.                                                                                                             |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | 50                                                                |
| 85. =BP PLC Spons ADR                                                                                                       |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | K                               | A                              | 375                                                               |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
MARSH, MALCOLM F.

Date of Report  
04/28/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                            | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value,<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. =Berkshire Hathaway Inc CL B                                                                                            |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | K                               | A                              | 12                                                                |
| 87. =Cisco Sys Inc                                                                                                          |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | K                               | A                              | 1123                                                              |
| 88. =Citigroup Inc                                                                                                          |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | 416                                                               |
| 89. =Costco Wholesale Corp New                                                                                              |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | 375                                                               |
| 90. =Exxon Mobil Corp                                                                                                       |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | K                               | A                              | 416                                                               |
| 91. =Genentech Inc.                                                                                                         |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | 90                                                                |
| 92. =General Electric Co                                                                                                    |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | 1227                                                              |
| 93. =Hewlett Packard Co                                                                                                     |                                         |                                               |                                                 |                                            |                                                 |                         |                                 |                                | 100                                                               |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                            | Buy<br>(add'l)                                  | 02/11/09                | J                               |                                | 225                                                               |
| 95.                                                                                                                         |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | -325                                                              |
| 96. =Intel Corp                                                                                                             |                                         |                                               |                                                 |                                            |                                                 |                         |                                 |                                | 400                                                               |
| 97.                                                                                                                         |                                         |                                               |                                                 |                                            | Buy<br>(add'l)                                  | 02/11/09                | J                               |                                | 525                                                               |
| 98.                                                                                                                         |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | -925                                                              |
| 99. =Johnson & Johnson                                                                                                      |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | 312                                                               |
| 100. =Lowe's Companies, Inc.                                                                                                |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | 583                                                               |
| 101. =Microsoft Corp                                                                                                        |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | 380                                                               |
| 102. =Nike Inc CL B                                                                                                         |                                         |                                               |                                                 |                                            | Sold                                            | 03/04/09                | J                               | A                              | 170                                                               |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MARSH, MALCOLM F.

Date of Report

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. ==Oracle Corp                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | 400                                                               |
| 104. ==Pepsico Inc.                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | 229                                                               |
| 105. ==Pfizer Inc                                                                                                           |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | 479                                                               |
| 106. ==Philip Morris Intl Inc.                                                                                              |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | 229                                                               |
| 107. ==Procter & Gamble Co.                                                                                                 |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | 250                                                               |
| 108. ==Schlumberger Ltd                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | 874                                                               |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/26/09                | K                               | A                              | -374                                                              |
| 110.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | K                               | A                              | -500                                                              |
| 111. ==Stryker Corp                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | 166                                                               |
| 112. ==United Technologies Corp                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | 458                                                               |
| 113.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/06/09                | J                               | A                              | -258                                                              |
| 114.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/09                | J                               | A                              | -200                                                              |
| 115. ==CORPORATE BONDS                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 116. ==HSBC Fin Corp HSBC Fin Internotes;<br>MAT: 2/15/2015                                                                 |                                         |                                               |                                                 |                                           | Buy                                             | 08/10/09                | J                               |                                | 15,000                                                            |
| 117. ==SBC Communications Inc. Mat:<br>6/15/2016                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 25,000                                                            |
| 118. ==Goldman Sachs Group Inc Mat:<br>1/15/2017                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 09/02/09                | K                               |                                | 25,000                                                            |
| 119. ==General Elec Cap Corp; Mat: 2/15/2017                                                                                |                                         |                                               |                                                 |                                           | Buy                                             | 09/02/09                | K                               |                                | 25,000                                                            |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
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| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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| 120. <del>H</del> Hartford Finl Svcs Group SR NTS; Mat: 3/15/2017                                                           |                                         |                                               |                                                 |                                           | Buy                                             | 12/16/09                | K                               |                                | 50,000                                                            |
| 121. <del>C</del> Coca Cola Co Notes Reg; Mat: 11/15/2017                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 25,000                                                            |
| 122. <del>C</del> Con Edison Notes, Mat: 12/01/2018                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 25,000                                                            |
| 123.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/09                | K                               | B                              | -25,000                                                           |
| 124. Conocophillips BDS, Mat: 02/01/2019                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 25,000                                                            |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/02/09                | K                               | B                              | -25,000                                                           |
| 126. <del>D</del> DuPont E I De Nemou, Mat: 07/15/2018                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 25,000                                                            |
| 127.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/02/09                | K                               | B                              | -25,000                                                           |
| 128. <del>W</del> Walmart Stores Inc; Mat: 2/1/2019                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 25,000                                                            |
| 129. <del>T</del> Textron Inc; Mat: 10/01/2019                                                                              |                                         |                                               |                                                 |                                           | Buy                                             | 10/15/09                | K                               |                                | 35,000                                                            |
| 130. <del>B</del> Bank of America Corp Sub Internotes; Mat: 3/15/2020                                                       |                                         |                                               |                                                 |                                           | Buy                                             | 12/16/09                | K                               |                                | 50,000                                                            |
| 131. <del>D</del> Dow chem Co. Medium term; Mat:09/15/2020                                                                  |                                         |                                               |                                                 |                                           | Buy                                             | 10/15/09                | K                               |                                | 35,000                                                            |
| 132. <del>I</del> Ishares Barclays Treas Inflation Protected Secs FD                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 02/06/09                |                                 |                                | 275                                                               |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 02/11/09                |                                 |                                | 100                                                               |
| 134.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/09                | K                               | A                              | -375                                                              |
| 135. <del>G</del> GOVT & GSE BONDS                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 136. <del>F</del> Fed Home Loan Bank Cons; Mat: 5/18/2016                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 20,000                                                            |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

MARSH, MALCOLM F.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. ==Fed Home Loan Bank Cons.; Mat:<br>12/16/2016                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 20,000                                                            |
| 138. ==Fed. Nat'l Mtg Assn Debs; Mat:<br>5/11/2017                                                                          |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 25,000                                                            |
| 139. ==Fed. Nat'l Mtg Assn Debs; Mat:<br>6/12/2017                                                                          |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/09                | K                               |                                | 25,000                                                            |
| 140. ==Federal Farm Credit Bank Cons BDS,<br>Due 01/29/2018                                                                 |                                         |                                               |                                                 |                                           | Buy                                             | 02/26/09                | L                               |                                | 100,000                                                           |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/16/09                | M                               | A                              | -100,000                                                          |
| 142. ==US Treasury Notes Ser W-2009, Mat:<br>02/28/2009                                                                     |                                         |                                               |                                                 |                                           | Matured                                         | 02/28/09                | L                               | C                              | 100,000                                                           |
| 143.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 144. Pioneer Trust Bank, Money Market                                                                                       | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 06/17/09                | K                               |                                |                                                                   |
| 145. Pioneer Trust Bank (See P. 1, Positions)                                                                               | A                                       | Interest                                      | K                                               | T                                         | Open                                            | 06/23/09                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Spouse died 7/14/2009; survivorship rights moved to the filer

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

MARSH, MALCOLM F.

Date of Report

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544