

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herndon, David R.	2. Court or Organization U.S. District Court Southern District of Illinois	3. Date of Report 05/15/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States Courthouse 750 Missouri Ave East St. Louis, Illinois	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1, Assets No 55-152
2. Trustee	Trust #2 Assets No 153-212
3. Trustee	Trust #3 Assets No 213-272
4. Trustee	Trust #4 Assets No 273-307
5. Trustee	Trust #5 Assets No 308-343; Trust #6 Assets 344-358

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of Edwardsville Premium checking a ccount Y		None							
2. Community Educ FCU 2 cash account Y		None							
3. Bank of America regular checking account Y		None							
4. Bank of America regular checking account Y		None							
5. Anheuser Busch X	A	Int/Div.	J	T			J		
6. IRA Account Bear Stearns Brokerage IRA account	C	Dividend	M	T					assets listed below
7. - (IRA) Altria Group Inc									
8. - (IRA) Altria Group Inc					Buy (add'l)	02/22	K		
9. - (IRA) Altria Group Inc					Sold	04/04	J		
10. - (IRA) Apple Inc					Sold	01/09	K	A	
11. - (IRA) Apple Inc					Buy	04/25	K		
12. - (IRA) Cisco Systems					Sold	07/08	J		
13. - (IRA) CocaCola					Buy	02/15	K		see below for sale in sa me
14. - (IRA) Coca Cola					Sold	04/17	K	A	
15. - (IRA) Companhia Vale DoRio Doce ADR					Sold	01/10	K		
16. - (IRA) First Horizon Natl Corp					Buy	02/04	K		
17. - (IRA) First Horizon Natl Corp					Buy (add'l)	04/30	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - (IRA) First Horizon Natl Corp					Buy (add'l)	10/15	J		
19. - (IRA) First Horizon Natl Corp					Buy (add'l)	10/29	J		
20. - (IRA) Focus Media Hldg Ltd					Buy	01/28	K		
21. - (IRA) Focus Media Hldg Ltd					Buy (add'l)	06/11	J		
22. - (IRA) Focus Media Hldg Ltd					Buy (add'l)	11/05	J		
23. - (IRA) Focus Media Hldg Ltd					Sold	11/18	J		
24. - (IRA) Fractional Kinder Morgan Mgmt					spin off	02/14	J		dividend pd fractional s hs
25. - (IRA) Fractional Kinder Morgan Mgmt					spin off	05/15			no \$value until fraction =1
26. - (IRA) Fractional Kinder Morgan Mgmt					spinoff	08/14			dividend paid in fractio nal shs
27. - (IRA) Fractional Kinder Morgan Mgmt					spinoff	11/14			dividend paid in fractio nal shs
28. - (IRA) Fractional Kinder Morgan Mgmt					spinoff	11/25			dividend paid in fractio nal shs
29. - (IRA) Kinder Morgan Mgmt									
30. - (IRA) Kayne Anderson MLP Invst Co					Buy	01/14	K		see below for sale in sa me
31. - (IRA) Kayne Anderson MLP Invst Co					Sold	06/11	K	A	
32. - (IRA) MicroSoft Corp					Buy	04/25	K		
33. - (IRA) MicroSoft Corp					Buy (add'l)	07/08	J		
34. - (IRA) Nucor Corp					Sold	02/05	J		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - (IRA) Phillip Morris International					Spinoff (from line 6)	04/03			spinoff from Altria Grp
36. - (IRA) Phillip Morris International					Buy (add'l)	04/04	J		
37. - (IRA) Phillip Morris International					Sold	06/11	K	D	
38. - (IRA) Proshares Trust					Buy	01/10	K		see below for sale in same
39. - (IRA) Proshares Trust					Sold	02/07	K		
40. - (IRA) Proshares Ultra Financials					Buy	10/17	K		
41. - (IRA) Proshares Ultra Financials					Sold	11/17	J		
42. - (IRA) Rowan Companies					Sold	01/09	K	B	
43. - (IRA) Seagate Technology					Buy	06/11	K		see below for sale in same
44. - (IRA) Seagate Technology					Sold	06/18	K		
45. - (IRA) Superior Energy Services Inc					Buy	03/31	K		see below for sale in same
46. - (IRA) Superior Energy Services Inc					Sold	04/15	K	B	
47. - (IRA) TransOcean Inc					Buy	06/19	K		
48. - (IRA) TransOcean LTD						12/19			name change INC to LTD
49. - (IRA) Yahoo Inc					Sold	02/06	J		
50. - (IRA) XTO Energy Inc					Buy	06/23	K		
51. - (IRA) XTO Energy Inc					Buy (add'l)	07/28	J		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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52. - (IRA) Dreyfus General Money Market Account									
53. Bear Stearns Brokerage Account	A	Interest	J	T					assets listed below
54. - Dreyfus General Money Market Fund (B)									
55. TRUST #1 Bear Stearns Brokerage Account	G	div & int	P1	T					trust assets listed below
56. - Dreyfus General Money Market Fund									
57. - Charlotte-Mecklenberg Hosp Aut due 1/15 /2015 5%									
58. - Jackson Cnty MO Spl Oblig H Truman S ports					Buy	06/30	M		
59. - Clear Lake City Tex Wtr Auth GO Rfdg mun bond									
60. - Austin Tex G/O Pub IMPT 8/15/01 5%									
61. - Deschutes Cnty Ore Sch Dist									
62. - Cook Cnty ILL g/o rfdg-ser D 5.25%					Buy	04/01	M		
63. - Orland Park ILL GO bds 2002A					Buy	12/29	M		
64. - Eagle Garfield & Routt CNTYS Colo Sch Dist									
65. - Eagle Garfield & Routt Cntys Colo Sch Dist									
66. - Hawaii State GO Rfdg Ser Co 03/01/2008					Redeemed	03/03	M		
67. - Norfolk VA G/O RFDG & Cap Impt dtd 6 /15/98 5%									
68. - Lewis Cnty Wash Pub Util Dist					Redeemed	10/01	M		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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69. - Long Island Pwr Auth									
70. - Lower Colo River Auth Trans due 5/15/2011 5.25%									
71. - Puerto Rico Mun Fin Agy GO Ser A 8/01/2011									
72. - Gulf Breeze Fla Rev Var Loc GO 12/01/85 4%					Sold	06/30	L		
73. - American Tower Corp					Buy	10/31	J		
74. - Atlas Pipeline Partners					Sold (part)	03/04	J	C	
75. - Atlas Pipeline Partners					Sold	11/28	J		
76. - Atlas Energy Res LLC					Buy	08/20	J		
77. - Accenture Ltd CI A					Sold (part)	08/28	K	D	
78. - AES Corp					Sold	10/31	J		
79. - Apple Inc					Buy	01/28	K		
80. - Bank of America Corp					Buy	10/16	K		
81. - Boardwalk Pipeline Partners LP					Sold	02/19	K		
82. - CVS Caremark Corporation									fka Caremark RX
83. - Crown Castle Intl Corp					Buy	03/31	K		see below for sale in same
84. - Crown Castle Intl Corp					Sold	10/31	J		
85. - Cisco Systems Inc									

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86. - Colgate Palmolive Co					Sold (part)	08/28	J	C	
87. - Companhia Vale Do Rio Doce ADR									
88. - Companhia Vale Do Rio Doce ADR					Buy (add'l)	06/27	K		
89. - Chesapeake Energy Corp					Buy	01/10	K		
90. - Chesapeake Energy Corp					Buy (add'l)	07/28	J		
91. - Chesapeake Energy Corp					Buy (add'l)	10/31	K		
92. - Walt Disney Co Holding Co					Buy	10/16	K		
93. - Energy Transfer Partners LP					Sold (part)	03/04	J	B	
94. - Energy Transfer Partners LP					Buy (add'l)	05/21	J		
95. - Enterprise Products Partners LP					Sold (part)	03/04	J	D	
96. - Enterprise Products Partners LP					Buy (add'l)	05/21	J		
97. - Exxon Mobil					Sold	06/19	K	E	
98. - General Dynamics Corp					Sold	05/06	K	C	
99. - Goldman Sachs Group Inc					Buy	06/24	K		
100. - Honeywell Intl Inc									
101. - Inergy LP					Sold (part)	03/04	J		
102. - Inergy LP					Sold	05/21	J		

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103. - Ishares Trust S&P Midcap 400 Index Fd I JH					Sold	03/12	M	E	
104. - Ishares Trust MSCI EAFE Index Fd EFA					Sold (part)	08/25	K		
105. - Ishares Trust MSCI EAFE Index Fd EFA					Sold	10/02	L		
106. - Ishares MSCI Pacific Ex-Japan Index fd EPP					Sold	10/02	K	B	stock split 07/29
107. - Ishares Trust MSCI Emerging Markets Ind ex Fd EEM									stock split 07/29
108. - Ishares Trust MSCI Emerging Markets Ind ex Fd EEM					Buy (add'l)	10/31	J		
109. - Ishares Trust Div Index Fund symbol DVY					Sold (part)	02/29	K	C	
110. - Ishares Trust Ftse China 25 Index fd FXI					Buy	08/25	K		see below for sale in same
111. - Ishares Trust Ftse China 25 Index fd FXI					Sold	10/31	J		
112. - Hiland Partners LP					Buy	03/06	J		see below for sale in same
113. - Hiland Partners LP					Sold	11/28	J		
114. - Humana Inc					Buy	06/24	K		
115. - JPMorgan Chase & Co									
116. - K-Sea Transportation Partners LP					Sold (part)	03/04	J	C	
117. - K-Sea Transportation Partners LP					Sold	08/20	J	A	
118. - Kinder Morgan Energy Partners					Sold (part)	03/04	J	D	
119. - Lowes Companies Inc					Buy	10/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - MetLife Inc.					Sold (part)	08/28	J	D	
121. - MetLife Inc.					Sold	10/02	K	D	
122. - McGraw Hill Companies Inc					Buy	05/29	K		
123. - Microsoft Corp					Buy	03/18	K		
124. - Morgan Stanley					Sold	06/24	K		
125. - Markwest Energy Partners LP					Sold (part)	03/04	J	A	
126. - Nasdaq Stock Market Inc symbol NDAQ									
127. - News Corporation					Sold	08/15	K		
128. - Nucor Corp					Sold	03/18	K	C	
129. - Plains All American Pipeline LTD Partne rship					Sold (part)	03/04	J	C	
130. - Proshares Ultra S&P500					Buy	10/08	K		see below for sale in sa me
131. - Proshares Ultra S&P500					Sold	10/28	K		
132. - Regency Energy Partners LP					Sold (part)	03/04	J	D	
133. - Regency Energy Partners LP					Sold	08/20	J	D	
134. - Research in Motion LTD									
135. - Research in Motion LTD					Buy (add'l)	10/16	K		
136. - Research in Motion LTD					Buy (add'l)	11/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Herndon, David R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Sector SPDR Trust int Energy symbol XLE					Sold	01/10	L	E	
138. - Schlumberger Ltd					Buy	01/24	K		
139. - Target Corp									
140. - TransOcean Inc					Buy	07/28	K		
141. - TransOcean Inc					Buy (add'l)	10/07	K		
142. - TransOcean LTD						12/19	K		name change INC to LTD
143. - Thermo Fisher Scientific Inc									
144. - Thermo Fisher Scientific Inc					Buy (add'l)	01/10	K		
145. - UnitedHealth Group Inc					Sold	06/24	K		
146. - United Technologies Corp					Sold (part)	08/28	K	D	
147. - United Technologies Corp					Sold	10/02	K	D	
148. - Western Asset 2008 Worldwide fka Salomon 2008					Sold	12/02	K		
149. - Weatherford Intl Ltd-Bermuda					Sold	01/24	K	D	
150. - WisdomTree Trust					Buy	02/29	K		
151. - Williams Partners LP					Buy	08/20	J		
152. - Yahoo Inc					Sold	02/06	K	C	
153. TRUST #2 Bear Sterns Brokerage Account	D	Int./Div.	M	T					assets detailed below

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. -Dreyfus General Money Market Fund B									
155. -American Tower Corp					Buy	10/31	J		
156. -Accenture Ltd Cl A									
157. -Apple Inc					Buy	01/28	J		
158. -AES Corporation					Sold	10/31	J		
159. -Bank of America					Buy	10/16	J		
160. -CVS Caremark Corporation									fka Caremark RX
161. -Chesapeake Energy Corp					Buy	01/10	J		
162. -Chesapeake Energy Corp					Buy (add'l)	07/28	J		
163. -Chesapeake Energy Corp					Buy (add'l)	10/31	J		
164. -Chesapeake Energy Corp					Sold (part)	12/26	J		
165. -Cisco Systems									
166. -Colgate Palmolive Co									
167. -Companhia Vale Do Rio Doce ADR									
168. -Companhia Vale Do Rio Doce ADR					Buy (add'l)	06/27	J		
169. -Crown Castle Intl Corp					Buy	03/31	J		see below for sale in same
170. -Crown Castle Intl Corp					Sold	10/31	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. -Walt Disney Co Holding Co					Buy	10/16	J		
172. -Exxon Mobil Corp					Sold	06/19	J	C	
173. -General Dynamics Corp					Sold	05/06	J	A	
174. -Goldman Sachs Group Inc					Buy	06/24	J		
175. -Honeywell Intl Inc									
176. -Ishares Trust Midcap 400 Index Fund sy mbol IJH					Sold	03/12	L	D	
177. -Ishares Trust MSCI EAFE Index Fund sym bol EFA					Sold (part)	08/25	J		
178. -Ishares Trust MSCI EAFE Index Fund sym bol EFA					Sold	10/02	J		
179. -Ishares MSCI Emerging Markets Index Fu nd EEM									
180. -Ishares Tr Sel Div Index Fund symbol D VY					Sold (part)	02/29	K	B	
181. -Ishares Tr Sel Div Index Fund symbol D VY					Sold	06/09	J		
182. -Ishares MSCI Pacific ex-Japan Index Fd sy mbol EPP					Sold	10/02	J	A	
183. -Ishares Tr FTSE China 25 Index FXI					Buy	08/25	J		
184. -Humana Inc					Buy	06/24	J		
185. -JPMorgan Chase & Co									
186. -Kinder Morgan Energy Partners LTD part nership									
187. -Lowes Companies Inc					Buy	10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Metlife					Sold	10/02	J	C	
189. -McGraw Hill Companies					Buy	05/29	J		
190. -Microsoft Corp					Buy	03/18	J		
191. -Morgan Stanley					Sold	06/24	J		
192. -Nasdaq Stock Market Inc symbol NDAQ									
193. -News Corporation					Sold	08/15	J		
194. -Nucor Corp					Sold	03/18	J	A	
195. -ProShares Ultra S&P 500					Buy	10/08	J		see below for sale in same
196. -ProShares Ultra S&P 500					Sold	10/28	J		
197. -Research in Motion LTD									
198. -Research in Motion LTD					Buy (add'l)	10/16	J		
199. -Research in Motion LTD					Buy (add'l)	11/12	J		
200. -Schlumberger LTD					Buy	01/24	J		
201. -Sector SPDR Trust Energy symbol XLE					Sold	01/10	J	C	
202. -Target Corp									
203. -TransOcean Inc					Buy	07/28	J		
204. -TransOcean Inc					Buy (add'l)	10/07	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -TransOcean LTD						12/19			name change INC to LTD
206. -Thermo Fisher Scientific Inc									
207. -Thermo Fisher Scientific Inc					Buy (add'l)	01/10	J		
208. -UnitedHealth Group Inc					Sold	06/24	J		
209. -United Technologies Corp					Sold	10/02	J	B	
210. -Weatherford International Ltd Bermuda					Sold	01/24	J	A	
211. -WisdomTree Trust					Buy	02/29	K		
212. -Yahoo Inc					Sold	02/06	J	A	
213. TRUST #3 Bear Sterns Securities Corp	D	Int/Div.	N	T					assets detailed below
214. -Dreyfus General Money Market Fund CI B									
215. -Accenture Ltd CI A									
216. -AES Corporation					Sold	10/31	J		
217. -American Tower Corp					Buy	10/31	J		
218. -Apple Inc					Buy	01/28	J		
219. -Bank of America Corp					Buy	10/16	J		
220. -CVS Caremark Corporation									fka Caremark RX
221. -Cisco Systems									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Colgate Palmolive									
223. -Crown Castle Intl Corp					Buy	03/31	J		see below for sale in same
224. -Crown Castle Intl Corp					Sold	10/31	J		
225. -Companhia Vale Do Rio Doce ADR									
226. -Companhia Vale Do Rio Doce ADR					Buy (add'l)	06/27	J		
227. -Chesapeake Energy Corp					Buy	01/10	J		
228. -Chesapeake Energy Corp					Buy (add'l)	07/28	J		
229. -Chesapeake Energy Corp					Buy (add'l)	10/31	J		
230. -Walt Disney Co Holding Co					Buy	10/16	J		
231. -Exxon Mobil Corp					Sold	06/19	J	D	
232. -General Dynamics Corp					Sold	05/06	J	A	
233. -Goldman Sachs Group Inc					Buy	06/24	J		
234. -Honeywell Intl Inc									
235. -Humana Inc					Buy	06/24	J		
236. -Ishares Trust MSCI EAFE Index Fd symbol EFA					Sold (part)	08/25	J		
237. -Ishares Trust MSCI EAFE Index Fd symbol EFA					Sold	10/02	K		
238. -Ishares Trust Midcap 400 Index Fd symbol IJH					Sold	03/12	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. -Ishares Inc MSCI Pacific ex-Japan Index Fd symbol EPP					Sold	10/02	J	A	
240. -Ishares Trust MSCI Emerging Markets EE M									
241. -Ishares Tr Sel Div Index Fd symbol DVY					Sold (part)	02/29	L	B	
242. -Ishares Tr FTSE China 25 Index FXI					Buy	08/25	J		
243. -Ishares Tr FTSE China 25 Index FXI					Buy (add'l)	12/26	J		
244. -JPMorgan Chase & Co									
245. -Kinder Morgan Energy Partners LTD Part nership									
246. -Lowes Companies					Buy	10/16	J		
247. -Metlife Inc					Sold	10/02	J	C	
248. -McGraw Hill Companies Inc					Buy	05/29	J		
249. -Microsoft Corp					Buy	03/18	J		
250. -Morgan Stanley					Sold	06/24	J		
251. -Nasdaq Stock Market Inc symbol NDAQ									
252. -News Corporation					Sold	08/15	J		
253. -Nucor Corp					Sold	03/18	J	B	
254. -Proshares Ultra S&P 500					Buy	10/08	J		see below for same in same
255. -Proshares Ultra S&P 500					Sold	10/28	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. -Research In Motion Ltd									
257. -Research In Motion Ltd					Buy (add'l)	10/16	J		
258. -Research In Motion Ltd					Buy (add'l)	11/12	J		
259. -Select SPDR Trust Energy symbol XLE					Sold	01/10	J	D	
260. -Schlumberger LTD					Buy	01/24	J		
261. -Target Corp									
262. -TransOcean Inc					Buy	07/28	J		
263. -TransOcean Inc					Buy (add'l)	10/07	J		
264. -TransOcean LTD						12/19			name change Inc to LT D
265. -Thermo Fisher Scientific Inc									
266. -Thermo Fisher Scientific Inc					Buy (add'l)	01/10	J		
267. -UnitedHealth Group					Sold	06/24	J		
268. -United Technologies Corp					Sold	10/02	J	B	
269. -Weatherford International Ltd Bermuda					Sold	01/24	J	B	
270. -WisdomTree Trust					Buy	02/29	L		see below for sale in sa me
271. -WisdomTree Trust					Sold	12/26	L		
272. -Yahoo Inc					Sold	02/06	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Herndon, David R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. TRUST #4 Bear Sterns Brokerage Account	D	Dividend	M	T					assets detailed below
274. -Dreyfus General Money Market Fund B									
275. -Claymore BNY Bric ETF					Buy	01/30	J		
276. -Ishares Trust S&P Midcap 400 Barra Value index IJJ					Sold (part)	01/30	J	A	
277. -Ishares Trust S&P Midcap 400 Barra Value index IJJ					Sold	03/12	J	A	
278. -Ishares Trust US Telecomm Sector Index FD IYZ									
279. -Ishares Trust US Telecomm Sector Index FD IYZ					Buy (add'l)	01/30	J		
280. -Ishares Trust MSCI EAFE Index Fd symbol EFA					Sold (part)	08/25	J		
281. -Ishares Trust MSCI EAFE Index Fd symbol EFA					Sold	10/02	J		
282. -Ishares Inc MSCI Ex Japan Index Fd EPP						07/29			stock split
283. -Ishares Inc MSCI Ex Japan Index Fd EPP					Sold	10/02	J	A	
284. -Ishares Trust MSCI Emerging Markets Index EEM						07/29			stock split
285. -Ishares Tr Sel Div Index Fd-DVY					Sold (part)	01/30	K		
286. -Ishares Tr Sel Div Index Fd-DVY					Sold (part)	02/29	J		
287. -Ishares Tr china 25 Index FXI					Buy	08/25	J		
288. -Kayne Anderson MLP INVT Co					Buy	01/30	K		
289. -Select Sector SPDR Amex Utilities Index symbol XLU									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Herndon, David R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -Select Sector SPDR Amex Utilities Index s symbol XLU					Buy (add'l)	01/30	J		
291. -Sector SPDR Trust-Industrial-symbol XLI									
292. -Sector SPDR Trust-Industrial-symbol XLI					Buy (add'l)	01/30	J		
293. -Sector SPDR Trust-Technology-XLK									
294. -Sector SPDR Trust-Technology-XLK					Buy (add'l)	01/30	J		
295. -Sector SPDR Trust-Energy-symbol XLF									
296. -Sector SPDR Trust-Energy-symbol XLF					Buy (add'l)	01/30	J		
297. -Sector SPDR Trust-Energy-XLE									
298. -Sector SPDR Trust-Energy-XLE					Buy (add'l)	01/30	J		
299. -Select Sector SPDR Fund-Consumer symb XLY									
300. -Select Sector SPDR Fund-Consumer symb XLY					Buy (add'l)	01/30	J		
301. -Select Sector SPDR Fund - Health Care - X LV									
302. -Select Sector SPDR Fund - Health Care - X LV					Buy (add'l)	01/30	J		
303. -Sector SPDR Trust-Consumer Staples-XLP fka Select Spdr Trst									
304. -Sector SPDR Trust-Consumer Staples-XLP fka Select Spdr Trst					Buy (add'l)	01/30	J		
305. -Select Sector SPDR Fd Int Materials XLB									
306. -Select Sector SPDR Fd Int Materials XLB					Buy (add'l)	01/30	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Herndon, David R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. -WisdomTree Trust					Buy	02/29	J		
308. TRUST #5 Bear Stearns Brokerage Account	C	Dividend	L	T					Assets detailed below.
309. -Dreyfus General Money Market Account									
310. -Claymore BNY Bric ETF					Buy	01/30	J		
311. -Ishares Trust S&P Midcap 400 Barra Value IJJ					Sold	03/12	J	A	
312. -Ishares Trust Dow Jones US Telecomm Sector IYZ									
313. -Ishares Trust Dow Jones US Telecomm Sector IYZ					Buy (add'l)	01/30	J		
314. -Ishares Trust MSCI EAFE Index Fd symbol EFA					Sold (part)	01/30	J	A	
315. -Ishares Trust MSCI EAFE Index Fd symbol EFA					Sold (part)	08/25	J		
316. -Ishares Trust MSCI EAFE Index Fd symbol EFA					Sold	10/02	J		
317. -Ishares Inc MSCI Pacific Ex-Japan Index EPP					Sold (part)	01/30	J	A	
318. -Ishares Inc MSCI Pacific Ex-Japan Index EPP						07/29			stock split
319. -Ishares Inc MSCI Pacific Ex-Japan Index EPP					Sold	10/02	J	A	
320. -Ishares Trust MSCI Emerging Markets Index Fd EEM					Sold (part)	01/30	J	B	
321. -Ishares Trust MSCI Emerging Markets Index Fd EEM						07/29			stock split
322. -Ishares Tr Dow Jones Sel Div Index Fd DVY					Sold (part)	01/30	K		
323. -Ishares Tr Dow Jones Sel Div Index Fd DVY					Sold (part)	02/29	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Herndon, David R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. -Ishares Tr FTSE China 25 Index FXI					Buy	08/25	J		
325. -Kayne Anderson MLP INVT Co					Buy	01/30	J		
326. -Select Sector SPDR Amex Utilities XLU									
327. -Select Sector SPDR Amex Utilities XLU					Buy (add'l)	01/30	J		
328. -Sector SPDR Trust Shs ben int Industrial XLI									
329. -Sector SPDR Trust Shs ben int Industrial XLI					Buy (add'l)	01/30	J		
330. -Sector SPDR Trust Shs ben int Technology XLK									
331. -Sector SPDR Trust Shs ben int Technology XLK					Buy (add'l)	01/30	J		
332. -Sector SPDR Trust shs ben int Financial XLF									
333. -Sector SPDR Trust shs ben int Financial XLF					Buy (add'l)	01/30	J		
334. -Sector SPDR Trust shs ben int Energy symbol XLE									
335. -Sector SPDR Trust shs ben int Energy symbol XLE					Buy (add'l)	01/30	J		
336. -Select Sector SPDR Fund Consumer symbol XLY									
337. -Select Sector SPDR Fund Consumer symbol XLY					Buy (add'l)	01/30	J		
338. -Select Sector SPDR Fund Health Care symbol XLV									
339. -Select Sector SPDR Fund Health Care symbol XLV					Buy (add'l)	01/30	J		
340. -Sector SPDR Trust Consumer Staples XLP									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Herndon, David R.

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05/15/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. -Sector SPDR Trust Consumer Staples XLP					Buy (add'l)	01/30	J		
342. -Select Sector SPDR Fund Materials XLB					Buy	01/30	J		
343. -WisdomTree Trust					Buy	02/29	J		
344. TRUST #6 Bear Stearns Brokerage Account	B	Dividend	K	T					
345. -Dreyfus General Money Market Account									
346. -Claymore BNY Bric ETF					Buy	06/13	J		
347. -Ishares S&P Midcap 400 Value symbol IJJ					Sold	01/30	J		
348. -Ishares MCSCI Eafe Index Fd symbol EFA									
349. -Ishares MCSCI Eafe Index Fd symbol EFA					Buy (add'l)	01/30	J		
350. -Ishares MCSCI Eafe Index Fd symbol EFA					Sold (part)	08/25	J		
351. -Ishares MCSCI Eafe Index Fd symbol EFA					Sold	10/02	J		
352. -Ishares MSCI Emerging Markets Index sym EEM									
353. -Ishares MSCI Emerging Markets Index sym EEM					Buy (add'l)	01/30	J		
354. -Ishares Dow Sel Index Fd symbol DVY					Sold (part)	01/30	J		
355. -Ishares Dow Sel Index Fd symbol DVY					Sold (part)	06/13	J		
356. -Ishares TrFTSE China 25 Index FXI					Buy	08/25	J		
357. -Kayne Anderson MLP INVT Co					Buy	01/30	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Herndon, David R.

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05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -Standard & Poors Depository SPY					Buy	01/30	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Lines 24-28 fractional shares of Kinder Morgan Mgmt: Instead of paying cash dividends, Kinder Morgan issued fractional shares of stock. The fractional shares have no monetary value and no market until they accumulate to equal a full share.

Lines 54, 56, 154, 214, 274, 309, and 345, Dreyfus General Money Market Fund was used as a vehicle to hold cash equivalent assets for purchase of other investments and to place sale proceeds pending other investments. Consequently, money moved in and out of these funds with great frequency and no attempt was made to distinguish each transaction unless specifically requested.

Lines 48, 142, 205, and 264; On December 19, 2008, TransOcean Inc changed the location of its place of Incorporation from its group holding company in the Cayman Islands to Switzerland. The name changed from TransOcean Inc to TransOcean LTD

All trust assets are accounted for herein. According to your instructions, the aggregate income and values were reported. Identification of the trusts was omitted for security purposes, but will be provided if requested. Naturally the records of each individual trust are available for the committee's inspection upon request.

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Herndon, David R.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544