

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SPARKS, SAM	2. Court or Organization DISTRICT COURTS--Texas Western	3. Date of Report 05/15/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE ARTICLE III JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States Courthouse 200 West Eighth St., Suite 100 Austin, Texas 78701-2333	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Texas	January 24-26, 2008	Grapevine, Texas	Board of Directors Mtg.	Transportation, meals, lodging
2. State Bar of Texas	June 25-27, 2008	Houston, Texas	Board of Directors Mtg.	Transportation, meals, lodging
3. Federal Judicial Center	July 15-18, 2008	Boston, Massachusetts	Educational seminar	Transportation, meals, lodging
4. Western District of Texas	December 10-12, 2008	San Antonio, Texas	District judges' meeting	Transportation, meals, lodging
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Condominium (20%) [REDACTED]		None	L	T					
2.									
3. CASH ACCOUNTS:									
4. American Bank of Commerce	B	Interest	M	T					
5. Chase Bank of Texas Accounts	C	Interest	M	T					
6. Dain Rauscher account (Tarmack Investment FDS)	C	Dividend	M	T					
7. Citibank	B	Interest	M	T					
8.									
9. STOCKS:									
10. Occidental Petroleum	A	Dividend	J	T					
11.									
12. TAXABLE FIXED INCOME (CDs):									
13. Doral BKCATAND PR CD	A	Interest			Redeemed	03-02	L		Market
14. First Fed BK Calif FSB	A	Interest			Redeemed	03-02	L		Market
15. Riverside BK of the Gulf	A	Interest			Redeemed	03-02	L		Market
16. Westembank PR CD FDIC	A	Interest			Redeemed	03-02	L		Market
17. Comerica Bk Detroit Mich	A	Interest	L	T	Buy	10-03	L		Market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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SPARKS, SAM

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18. Doral Bk Catano PR	A	Interest	L	T	Buy	08-27	L		Market
19.									
20. BONDS:									
21. Allen TX ISD GO	A	Interest	J	T					
22. Allen TX ISD GO	A	Interest	J	T					
23. Allen TX ISD GO	A	Interest	J	T					
24. Arlington TX ISD	A	Interest	K	T					
25. Austin TX Community College	B	Interest	K	T					
26. Austin Tx GO	A	Interest	K	T					
27. Austin TX Higher Ed Auth	A	Interest	J	T					
28. Barbers Hill TX GO	A	Interest	K	T					
29. Baytown Tx GO	B	Interest	K	T					
30. Bexar Cnty Tx GO	A	Interest	K	T					
31. Canadian River Mun. Tx. Rv.	B	Interest	K	T					
32. Canyon TX ISD GO	A	Interest	K	J					
33. Carrollton TX GO	A	Interest	K	T					
34. Cedar Hill TX ISD	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

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35. Cedar Park TX Util Sys	B	Interest	K	T					
36. Columbia & Brazoria Co. GO	B	Interest	K	T					
37. Columbia & Brazoria GO	B	Interest	K	T					
38. Comal TX ISD	A	Interest	J	T					
39. Coman TX ISD	A	Interest	J	T					
40. Collin Co. TX GO	B	Interest	K	T					
41. Crowley TX ISD GO	A	Interest	K	T					
42. Crowley TX ISD GO	A	Interest	K	T					
43. Dallas TX GO	A	Interest	J	T	Buy	08-28	J		Market
44. Dallas TX ISD	A	Interest	K	T					
45. Del Valle TX ISD GO	A	Interest	K	T					
46. Denton TX Util. Sys.	A	Interest	K	T					
47. Dickinson TX ISD GO	B	Interest	K	T					
48. Duncanville TX ISD	A	Interest	K	T					
49. Eagle Mtn. & Saginaw GO	A	Interest	K	T					
50. El PasoTX GO	A	Interest	K	T					
51. El Paso Tx New Pub	A	Interest			Redeemed	02-14	J	A	Market

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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

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SPARKS, SAM

Date of Report

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52. Forney TX ISD GO	A	Interest	J	T					
53. Forney Tx ISD GO	A	Interest	J	T					
54. Ft. Worth TX ISD	A	Interest			Redeemed	02-15	K	A	Market
55. Ft. Worth TX ISD GO	A	Interest	K	T					
56. Frisco Tx ISD GO	A	Interest	K	T					
57. Galveston TX Wtrwks	A	Interest	K	T					
58. Grapevine TX GO	A	Interest	J	T					
59. Grapevine TX Wtr Swr	A	Interest	J	T					
60. Harlandale TX ISD GO	A	Interest	J	T					
61. Harris Cnty TX Flood GO	A	Interest	K	T					
62. Hawkins TX ISD GO	A	Interest	K	T					
63. Highland Park TX ISD	A	Interest	J	T					
64. Houston Tx Wtr Sys	B	Interest			Redeemed	12-01	K	A	Market
65. Humble TX ISD	A	Interest	K	T	Buy	08-28	J		Market
66. Huntsville TX Ind GO	A	Interest	J	T					
67. Hurst-Euless-Bedford TX	A	Interest			Redeemed	08-15	K	A	Market
68. Irving TX Wtr Wk Swr	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

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69. Judson TX ISD	A	Interest	J	T					
70. Katy TX ISD	A	Interest			Redeemed	02-15	K	A	Market
71. Lampasas TX ISD	A	Interest	L	T	Buy	06-13	J		Market
72. Lancaster TX ISD GO	A	Interest	K	T					
73. Leander TX ISD GO	A	Interest			Redeemed	08-15	J	A	Market
74. Leander TX ISD GO	A	Interest	K	T					
75. Lewisville TX ISD GO	A	Interest	J	T					
76. Lindale TX ISD GO	A	Interest	J	T					
77. Lower Colo. Riv. Auth. Tex. Rev.	A	Interest	L	T	Buy	05-20	J		Market
78. Lubbock TX Elec. Lt & Pwr.	A	Interest	J	T					
79. Lubbock TX GO	A	Interest	K	T					
80. Lufkin TX Wtrwks	A	Interest	J	T					
81. Meridan TX ISD GO	A	Interest	J	T					
82. Midcountry Bk Metropolis, Ill	A	Interest	L	T	Buy	08-27	J		Market
83. New Caney TX ISD GO	A	Interest	K	T					
84. North Forest ISD GO	A	Interest	J	T					
85. Orange TX GO	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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SPARKS, SAM

Date of Report

05/15/2009

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86. Pearland TX ISD GO	A	Interest	K	T					
87. Pflugerville TX GO	A	Interest	K	T					
88. Plano TX GO	A	Interest	K	T					
89. Prosper TX ISD GO	A	Interest			Redeemed	09-16	K	B	Market
90. Sam Rayburn TX Mun Pwr	A	Interest	J	T					
91. San Angelo TX GO	A	Interest	K	T					
92. San Antonio TX Rev	A	Interest	K	T					
93. San Marcos TX GO	B	Interest	K	T					
94. San Marcos TX GO PSF	A	Interest	K	T					
95. Sealy TX ISD GO	A	Interest	K	T					
96. Seguin TX GO	B	Interest	K	T					
97. Sheldon TX ISD GO	A	Interest	J	T	Buy	08-29	J		Market
98. Southlake TX GO	A	Interest	K	T					
99. Splendora TX ISD	A	Interest	K	T	Buy	08-29	J		Market
100. Spring TX ISD GO	A	Interest			Redeemed	02-15	J	A	Market
101. Texas St GO	A	Interest	K	T					
102. Travis Co. TX	A	Interest			Redeemed	03-03	J	A	Market

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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103. Travis Co TX GO	A	Interest	J						
104. Weatherford TX Util. Sys.	B	Interest			Redeemed	09-12	J	A	Market
105. Whitehouse TX ISD GO Rev	A	Interest			Redeemed	02-15	K	A	Market
106. Williamson Cnty TX Rd	A	Interest	K	T					
107. Willis ISD GO	A	Interest			Redeemed	02-15	J	A	Market
108. Willis TX ISD GO	A	Interest			Redeemed	02-15	J	A	Market
109. Willis TX ISD GO	A	Interest			Redeemed	02-15	K	A	
110. UT Austin Rev.	B	Interest	K	T					
111.									
112. DAIN, RAUSCHER IRA:									
113. American Balance	E	Dividend	O	T	Buy	01-24	N		Market. See Note in VIII.
114. Bristol Myers Squibb Co.	B	Dividend	K	T					
115. Chevron-Texaco Corp.	D	Dividend	N	T					
116. Friede Goldman Halter		None	J	T					
117. Genitope Corp.		None	J	T					
118. Gov't Nat'l MTG Assn. II Pool 3663	B	Interest	K	T					
119. Gov't Nat'l MTG Assn. II Pool 622080	B	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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120. Gov't Nat'l MTG Assn. II Pool 3700	C	Interest	L	T					
121. Gov't Nat'l MTG Assn. II Pool 3709	C	Interest	L	T					
122. Gov't Nat'l MTG Assn. II Pool 3772	C	Interest	L	T					
123. Gov't Nat'l MTG Assn. II Pool 3664	D	Interest	M	T					
124. Gov't Nat'l MTG Assn. II Pool 3747	D	Interest	M	T					
125. Gov't Nat'l MTG Assn II Pool #1 (Reassigned Pool 579546)	A	Interest	J	T					
126. Gov't Nat'l MTG Assn II Pool #2 (Reassigned Pool 3228)	A	Interest	J	T					
127. Gov't Nat'l MTG Assn II Pool #3 (Reassigned Pool 3542)	A	Interest	K	T					
128. Gov't Nat'l MTG Assn II Pool #4 (Reassigned Pool 3554)	A	Interest	K	T					
129. Gov't Nat'l MTG Assn II Pool #5 (Reassigned Pool 3556)	D	Interest	N	T					
130. Gov't Nat'l MTG Assn II Pool #6 (Reassigned Pool 003595)	D	Interest	M	T					
131. Gov't Nat'l Mtg Assn Pool #3851	D	Interest	M	T					
132. Gov't Nat'l Mrg Assn Pool #003830	C	Interest	K	T					
133. Gov't Nat'l Mtg Assn Pool #003795	B	Interest	K	T					
134. Halliburton	D	Dividend	K	T					
135. Spanish Broadcasting, Inc.		None	J	T					
136. Steris Corp.		None	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Tamarack Investment FDS	A	Interest	J	T					
138.									
139. MISCELLANEOUS:									
140. LRF Limited Pship	A	Royalty	J	W					
141.									
142. [REDACTED] SEPARATE PROPERTY									
143. EDWARD JONES --									
144. Capital Income Builder Fund	B	Dividend	L	T					
145. Goldman Sachs Cap-A		None	J	T					
146. Growth Fund of Am.	A	Dividend	L	T					
147. Tax Exempt Bond Fd of Am	E	Interest	K	T					
148. Van Kampen Comstock		None	J	T					
149.									
150. BENEFICIARY-CB&T Trustee IRA [REDACTED]	C	Distribution	M	T					
151. American Mutual Fund Inc	A	Dividend	K	T					
152. Bond Fund of American Inc	A	Dividend	K	T					
153. Capital World Growth	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155. IRA-EDWARD JONES CUSTODIAN									
156. Hartford Cap. Appreciation CLA	D	Dividend	K	T					
157. Hartford Global Leaders CLA	D	Dividend	K	T					
158. Hartford Stock Fund CLA	D	Dividend	J	T					
159. Annuity-Sunamerican Life Insurance Polaris Variable Annuity	A	Dividend	L	T					
160. Property - DeBaca Co, New Mexico		None	J	W					
161. Property - Brewster Co, TX		None	J	W					
162. Royalty Interest - Upton Co, TX	A	Royalty	J	W					
163. Royalty Interest - Palo Pinto Co, TX	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 113. Transactions during period 01-24 through 12-22 where earned dividend was reinvested each month.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544