

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) SEYMOUR, STEPHANIE K.	2. Court or Organization U.S. COURT OF APPEALS	3. Date of Report 05/10/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE--SENIOR	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 4-562 U.S. COURTHOUSE 333 WEST FOURTH TULSA, OK 74103-3877	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executive Board Member	Oklahoma City University School of Law, Oklahoma City, OK
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

RECEIVED
MAY 12 A 10:41
DISCLOSURE OFFICE

Seymour, Stephanie K.

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/10/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2009	self-employed - lawyer
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/10/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/10/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Okla. Accts (see note part VIII)	A	Int./Div.	M	T					
2. F&M Bank Accounts (see note part VIII)	A	Interest	K	T					
3. Condo, Tulsa, OK (purchased 11/27/1995 for \$116,000)		None			Sold	12/23/09	M	E	Drick S. Hendris
4. Lot in Mt. Crested Butte, CO (purchased 8/2004 for \$875,000)		None	O	R					
5. IRA, Merrill Lynch, Tulsa	A	Int./Div.	M	T					
6. -Merrill Lynch Cash Fund									
7. -Abbott Labs					Sold	02/17/09	K	D	
8. -iShares MSCI EAFE					Sold	02/17/09	K		
9. -Rydex ETF TR					Sold	02/17/09	J		
10. Brokerage #1									
11. -ML Bank Deposit Program	A	Interest	L						
12. -Heinz HJ Co. (HNZ)	A	Dividend			Sold	02/17/09	K		
13. -iShares MSCI EAFE (EFA)		None			Sold	02/17/09	J		
14. Brokerage #2									
15. -Merrill Lynch Cash Fund	A	Int./Div.	N	T					
16. -BOK Fincl. Corp. New (BOKF)	A	Dividend	K	T					
17. -Hewlett Packard Co. Del. (HPQ)	A	Dividend			Sold	02/17/09	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/10/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -iShares MSCI EAFE (EFA)		None			Sold	02/17/09	J		
19. -Kellogg Co PV 25CT (K)		None			Sold	02/17/09	J	B	
20. -MLP & Strategic Equity Fund	A	Dividend			Sold	02/17/09	L		
21. -Royal Dutch Shell PLC (RDSA)	A	Dividend			Sold	02/17/09	K	C	
22. -Starbucks Corp (SBUX)		None			Sold	02/17/09	K		
23. Brokerage #3									
24. -M-L Cash Funds	A	Interest	P1	T					
25. -Amex Technology Select SPDR (XLK)		None			Sold	02/17/09	O		
26. -Bank of America		None			Sold	02/17/09	J		
27. -BOK Financial Corp.	C	Dividend	O	T					
28. -Duke Energy (DUK)	B	Dividend			Sold	02/17/09	L		
29. -General Electric (GE)	A	Dividend			Sold	02/17/09	K		
30. -Greenbrier Cos.	A	Dividend			Sold	02/17/09	K		
31. -Health Care Select SPDR (XLV)		None			Sold	02/17/09	O		
32. -HSBC Hldg. (HBC)	A	Dividend			Sold	02/17/09	K		
33. -iShares MSCI EAFE Index (EFA)		None			Sold	02/17/09	M		
34. -iShares Russell 1000 (IWF)		None			Sold	02/17/09	O		

1. Income: Gain Codes:

(See Columns B1 and D4)

2. Value Codes:

(See Columns C1 and D3)

3. Value Method Codes:

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P1 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/10/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Johnson & Johnson (JNJ)		None			Sold	02/17/09	L		
36. -Kellogg Co. PV 25 CT (K)		None			Sold	02/17/09	L		
37. -MLP & Strategic Equity	A	Dividend			Sold	02/17/09	L		
38. -Pfizer Inc. (PFE)	B	Dividend			Sold	02/17/09	L		
39. -Procter & Gamble (PG)	A	Dividend			Sold	02/17/09	L		
40. -Standard & Poors Dep Rept (SPY)	D	Dividend			Sold	02/17/09	O		
41. -York Tot Access II LLC Class C		None	N	T					
42. IRA	A	Dividend	P1	T					
43. -Blackrock Balanced					Sold	02/17/09	J		
44. -M-L Cash Funds (X)									
45. -BOK Financial New (BOKF)						12/23/09	M		
46. -York Access Ltd Class C						12/14/09	N		
47. Keogh Plan, Merrill Lynch, Tulsa	D	Int./Div.			Closed	12/23/09	P1		
48. -Merrill Lynch Cash Fund					Closed	12/23/09	L		
49. -BOK Financial Corp. (BOKF)					Merged (with line 45)	12/23/09	M		
50. -Caterpillar Inc. (CAT)					Sold	02/17/09	K		
51. -Coca Cola (KO)					Sold	02/17/09	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/10/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Currency Shares Euro TR					Sold	02/17/09	M	D	
53. -iShares MSCI EAFE (EFA)					Sold	02/17/09	L		
54. -iShares MSCI Emerging (EEM)					Sold	02/17/09	L		
55. -Oneok Inc.					Sold	02/17/09	K	D	
56. -Rydex Inverse Gov't					Sold	02/17/09	M		
57. -3m Co. (MMM)					Sold	02/17/09	L		
58. -Wms.Comm Grp SrRdmbleNtes (no value LIQ) (Y)									
59. -York Access LTD.					Merged (with line 46)	12/14/09	N		
60. Brokerage #4									
61. -Sch Muni Money Fund (SWXXX) (cash equivalent)	A	Dividend	J	T					
62. Brokerage #5									
63. -Cavalal Hill TX Fr MM -Stct (cash equivalent)	E	Int/Div.	K	T					

1. Income Gain Codes

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

T = Cash Market

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/10/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII, Line 1

Bank of Oklahoma Money Markets which previously were reported separately are now reported on one line. This asset also now includes a long-held account that by itself has not met the reporting requirements. The asset name has been changed to more accurately reflect multiple accounts are included. See Filing Instruction, p. 37

Part VII, Line 2

Changed asset name to more accurately reflect that multiple accounts are included. See Filing Instruction, p. 37. The F&M Accounts were combined on the 1998 report, dated May 17, 1999. Prior to that, the accounts were listed separately whenever the reporting requirement was met.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/10/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544