

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                             |                                                           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial)<br><br>Anderson, Stephen H                                                                                                                                        | 2. Court or Organization<br><br>Appeals, Tenth Circuit                                                                                                                                                                                                      | 3. Date of Report<br><br>05/08/2007                       |
| 4. Title (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br><br>Circuit Judge (Senior)                                                                           | 5a. Report Type (check appropriate type)<br><br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br>5b. <input type="checkbox"/> Amended Report | 6. Reporting Period<br><br>01/01/2006<br>to<br>12/31/2006 |
| 7. Chambers or Office Address<br><br>125 South State Street<br>4201 W.F. Bennett Federal Bldg<br>Salt Lake City, UT 84138-1102                                                                                           | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____                             |                                                           |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts,<br/>checking the <b>NONE</b> box for each part where you have no reportable information. Sign on last page.</p> |                                                                                                                                                                                                                                                             |                                                           |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. _____        | _____                              |
| 2. _____        | _____                              |
| 3. _____        | _____                              |
| 4. _____        | _____                              |
| 5. _____        | _____                              |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1. _____    | _____                    |
| 2. _____    | _____                    |
| 3. _____    | _____                    |

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**FINANCIAL DISCLOSURE REPORT**

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |
| 5.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |
| 5.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> |
|---------------|--------------------|
| 1.            |                    |
| 2.            |                    |
| 3.            |                    |
| 4.            |                    |
| 5.            |                    |

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. IRA #1, Pershing LLC-Custodian (Lines 2-9) (See Sec. VIII)                                                               |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 2. Pershing LLC Cash Portfolio Money Market Fund                                                                            | E                                       | Interest                                     | O                                               | T                                         | *IRA distrib                                   | Qtrly                         | K                               |                                | Pershing LLC-IRA custodian                                        |
| 3. U.S. Freightways Debenture Mat: 4/15/10                                                                                  | D                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 4. Bryant Collard - Secured Note; Mat: 6/1/17                                                                               | B                                       | Interest                                     |                                                 |                                           | *Loan pd off                                   | 02/06                         | L                               |                                | Bryant Collard                                                    |
| 5. Target Corp. NT (Debenture); Mat: 4/1/07                                                                                 | C                                       | Interest                                     | L                                               | T                                         | Buy                                            | 3/22                          | L                               |                                |                                                                   |
| 6. U.S. Treasury Bills; Mat. 9/21/06                                                                                        | D                                       | Interest                                     | N                                               | T                                         | Buy                                            | 3/22                          | N                               |                                |                                                                   |
| 7. U.S. Treasury Bills; Mat. 9/21/06                                                                                        | D                                       | Interest                                     | N                                               | T                                         | Notes mature                                   | 9/21                          | N                               |                                | Interest - See Col. B                                             |
| 8. U.S. Treasury Bills; Mat. 3/22/07                                                                                        | D                                       | Interest                                     | N                                               | T                                         | Buy                                            | 9/21                          | N                               |                                | Interest - See Col. B                                             |
| 9. Zions Bancorporation SR. NT. Fltg. Int. Rate; Mat 9/15/08                                                                | A                                       | Interest                                     | L                                               | T                                         | Buy                                            | 9/28                          | L                               |                                | Interest reported Col. B                                          |
| 10. IRA #2, Pershing LLC-Custodian (line 11) (See Sec. VIII)                                                                |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 11. Pershing LLC Money Market Account #2                                                                                    | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 12. Interest-bearing checking account - Wells Fargo Bank                                                                    | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 13. Coin collection (including silver)                                                                                      |                                         | None                                         | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 14.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                                               |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000         | D = \$5,001 - \$15,000<br>I = \$15,001 - \$50,000            | E = \$15,001 - \$50,000                       |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | H2 = More than \$5,000,000<br>T = Cash Market |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated |                                                              |                                               |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 19.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 20.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 21.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 22.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Lines 1 and 10: In March 2006, I transferred the IRAs from Wells Fargo Bank to Zions Bank - Zions Direct, with Pershing LLC acting for them, and the accounts, as custodian. All IRA transactions since that time have been through Zions Direct/Pershing LLC - Custodian.

Lines 5-9: Gain code in Column (D)(4) is NONE for all transactions. As these transactions indicate on their face, there is \$0 gain.

Line 12: Wells Fargo acquired First Security Bank, referred to on Line 8 of the 2005 report.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



5/8/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544