

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SARIS, PATTI B.	2. Court or Organization U.S.D.C. MASSACHUSETTS	3. Date of Report 05/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address J. J. MOAKLEY U.S. COURTHOUSE 1 COURTHOUSE WAY, SUITE 8110 BOSTON, MA 02210	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. CO-TRUSTEE	TRUST #3
2. CO-TRUSTEE	TRUST #4
3. CO-TRUSTEE	TRUST #5
4. Director	Bottom Line Inc., Boston, MA
5. Director/Officer	Federal Judges Association (FJA)
6. Member Board of Director	21st Century Fund, Brookline High School, Brookline, MA
7. Trustee	Codman Academy Foundation, Dorchester, MA
8. President & founding member	Boston Intellectual Property American Inn of Court, MA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	PRESIDENT AND FELLOWS OF HARVARD COLLEGE, CAMBRIDGE, MA - salary
2. 2010	HARVARD BUSINESS SCHOOL PUBLISHING, MA - Royalties
3. 2010	HIGHVISTA STRATEGIES LLC, MA - Advisory fee
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judicial Center	5/19 - 5/22/2010	Washington, DC	National Workshop for Federal Judges	Transportation and meals
2. FJA	9/15/2010	Washington, DC	Congretional Reception	Transportation and meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass. St Port Authority, Muni Bonds	C	Interest	L	T					
2. Mass. St G/O Cons Ln Series, Muni Bonds	D	Interest	M	T					
3. Mass. Bay Trans Authority, Municipal Bonds	B	Interest	K	T					
4. Strips TNT, Public Security (IRA)	A	Interest	L	T					
5. Venture Investors Fund, LP		None	J	W					
6. Bank of America (formerly Fleet Natl Bank), Bank Accounts	A	Interest	J	T					
7. - Bank of America , PCA Acct	A	Interest			Closed	08/23/10	K		
8. Realty Assoc Fund IV Corp	A	Dividend	J	W					
9. Realty Assoc Fund IV, LLC (y)									
10. Tucker Anthony Private Equity Fund I, LP	E	Distribution	L	U					
11. Mass St Tpk Auth. Municipal Bond	B	Interest			Sold	06/28/10	K		
12. TA Conference LLC	H1	Distribution	P1	W					
13. Realty Associates Fund V, LLC	H1	Distribution	P2	W					
14. Tucker Anthony Private Equity Fund II	F	Distribution	M	W					
15. Mass. In'l Fin Agy Municipal Bonds	C	Interest	L	T					
16. Tucker Anthony Private Equity Fund III	E	Distribution	M	U					
17. Tucker Anthony Private Equity Technology LP	E	Distribution	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Trust # 5	G	Dividend	P2	T					
19. - Baupost Value Partners LP I					Redeemed (part)	12/31/10	M		
20. - Kensico Offshore Fd Ltd LP									
21. - Global Fixed Inc Brandywine Inv Tr Mututal Fd					Sold (part)	07/29/10	J		
22.					Sold (part)	11/23/10	J		
23. - Van Eck Intl Invest Gold-A Mutual Fd					Buy (add'l)	12/21/10	L		
24.					Sold (part)	12/30/10	O	G	
25. - Dodge & Cox Income Fd mutual Fd									
26. - Federated US Teas Cash money market (cash equiv)					Buy (add'l)	01/05/10	J		
27.					Buy (add'l)	02/03/10	J		
28.					Buy (add'l)	03/02/10	J		
29.					Buy (add'l)	03/03/10	J		
30.					Buy (add'l)	03/30/10	J		
31.					Buy (add'l)	05/04/10	J		
32.					Buy (add'l)	05/24/10	N		
33.					Buy (add'l)	06/29/10	J		
34.					Buy (add'l)	07/02/10	J		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$25,000,000 J = \$25,000,001 - \$50,000,000 K = \$50,000,001 - \$100,000,000 L = \$100,000,001 - \$500,000,000 M = \$500,000,001 - \$1,000,000,000 N = \$1,000,000,001 - \$5,000,000,000 O = \$5,000,000,001 - \$25,000,000,000 P = \$25,000,000,001 - \$50,000,000,000 Q = \$50,000,000,001 - \$100,000,000,000 R = \$100,000,000,001 - \$500,000,000,000 S = \$500,000,000,001 - \$1,000,000,000,000 T = \$1,000,000,000,001 - \$5,000,000,000,000 U = \$5,000,000,000,001 - \$25,000,000,000,000 V = \$25,000,000,000,001 - \$50,000,000,000,000 W = \$50,000,000,000,001 - \$100,000,000,000,000

2. Value Codes (See Columns C1 and D3) J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000 R = \$25,000,001 - \$50,000,000 S = \$50,000,001 - \$100,000,000 T = \$100,000,001 - \$250,000,000 U = \$250,000,001 - \$500,000,000 V = \$500,000,001 - \$1,000,000,000 W = \$1,000,000,001 - \$5,000,000,000 X = \$5,000,000,001 - \$25,000,000,000 Y = \$25,000,000,001 - \$50,000,000,000 Z = \$50,000,000,001 - \$100,000,000,000

3. Value Method Codes (See Column C2) Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market U = Book Value V = Other W = Estimated

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/14/10	J		
36.					Buy (add'l)	08/03/10	J		
37.					Buy (add'l)	08/20/10	J		
38.					Buy (add'l)	09/03/10	J		
39.					Buy (add'l)	09/29/10	J		
40.					Buy (add'l)	10/04/10	J		
41.					Buy (add'l)	10/29/10	K		
42.					Buy (add'l)	11/02/10	J		
43.					Buy (add'l)	11/30/10	K		
44.					Buy (add'l)	12/02/10	J		
45.					Buy (add'l)	12/23/10	M		
46.					Buy (add'l)	12/31/10	P1		
47.					Sold (part)	01/12/10	J		
48.					Sold (part)	02/02/10	L		
49.					Sold (part)	02/12/10	J		
50.					Sold (part)	03/01/10	J		
51.					Sold (part)	04/05/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

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52.					Sold (part)	04/12/10	J		
53.					Sold (part)	04/14/10	J		
54.					Sold (part)	05/11/10	J		
55.					Sold (part)	05/26/10	N		
56.					Sold (part)	06/10/10	J		
57.					Sold (part)	06/23/10	J		
58.					Sold (part)	07/08/10	K		
59.					Sold (part)	07/12/10	J		
60.					Sold (part)	07/15/10	J		
61.					Sold (part)	08/10/10	J		
62.					Sold (part)	08/18/10	J		
63.					Sold (part)	09/10/10	J		
64.					Sold (part)	09/21/10	J		
65.					Sold (part)	09/30/10	J		
66.					Sold (part)	10/12/10	J		
67.					Sold (part)	10/14/10	J		
68.					Sold (part)	11/10/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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B = \$1,001 - \$2,500
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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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R = Cost (Real Estate Only)
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	12/01/10	N		
70.					Sold (part)	12/10/10	J		
71. - Vanguard S/T Invest Gr Inv (Mutual fd)					Sold (part)	02/02/10	M	D	
72.					Sold (part)	03/09/10	O	E	
73.					Sold (part)	04/01/10	K	C	
74.					Sold (part)	05/21/10	N	E	
75.					Sold (part)	07/08/10	L	D	
76.					Sold (part)	09/20/10	M	E	
77.					Sold (part)	11/29/10	N	E	
78.					Sold (part)	12/07/10	L	D	
79.					Buy (add'l)	12/30/10	J		
80. - PIMCO Developing Local Mkt Fd, Mutual Fd (x)					Buy	03/09/10	N		
81. - SPDR Gold Tr, Mutual Fd (ETF) (x)					Open	12/23/10	PI		See Part VIII
82.					Sold (part)	12/30/10	PI	D	
83. Trust # 8	E	Int./Div.	O	T					
84. - MA Commonwealth SR A Muni Bds (formerly MAST Gn'l Oblig)					Sold	08/24/10	K	A	
85. - MA Commonwealth SR B Muni Fds (formerly MA St Grant Antic)					Sold	08/24/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - Chilmark, MA Municipal Bd					Sold	08/24/10	K	A	
87. - MA Bay Trans Auth Municipal Bd					Sold	08/24/10	K	B	
88. - MA St Health & Ed Municipal Bd					Sold	08/24/10	K	C	
89. - MA Water Pollution Municipal Bd					Sold	08/24/10	K	A	
90. - Norwood, MA Municipal Bd					Sold	08/24/10	L	A	
91. - University MA Bldg Auth Municipal Bds					Sold	08/24/10	L	C	
92. - MA St Port Auth Municipal Bds					Sold	08/24/10	K	A	
93. - MA St Tpk Auth Municipal Bds					Sold	08/24/10	L		
94. - UBS RMA TaxFree Fd cash eq mutual fd					Sold	08/27/10	L		
95. - Boston, MA Municipal bd					Sold	08/24/10	K	B	
96. - Ishares MSCI Brazil Index Fd (mutual fd)									
97. - SPDR Gold Trust (mutual fd)									
98. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	03/01/10	J		
99.					Buy (add'l)	05/21/10	J		
100.					Buy (add'l)	08/27/10	N		
101.					Buy (add'l)	12/23/10	J		
102.					Buy (add'l)	12/30/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 I11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)		R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold (part)	09/21/10	M		
104.					Sold (part)	09/22/10	M		
105. - Vanguard Developed Mkts Index, mutual fd (x)					Buy	09/20/10	M		
106. - Vanguard Dividend Appre, mutual fd (x)					Buy	09/21/10	M		
107. - Vanguard Emerging Markets, mutual fd (x)					Buy	09/20/10	M		
108. Trust # 9	E	Int./Div.	N	T					
109. - MA Commonwealth SR A Muni Bds (formerly MAST Gn'l Oblg)					Sold	08/24/10	K	A	
110. - MA Commonwealth SR B Muni Fds (formerly MA St Grant Antic)					Sold	08/24/10	J		
111. - Chilmark, MA Municipal Bd					Sold	08/24/10	K	A	
112. - MA Bay Trans Auth Municipal Bd					Sold	08/24/10	K	B	
113. - MA Health & Ed Municipal Bd					Sold	08/24/10	L	B	
114. - MA Water Pollution Municipal Bd					Sold	08/24/10	K	B	
115. - Norwood, MA Municipal Bd					Sold	08/24/10	K	A	
116. - University MA Bldg Auth Municipal Bds					Sold	08/24/10	K	B	
117. - MA St Port Auth Municipal Bds					Sold	08/24/10	K	A	
118. - MA St Tpk Auth Municipal Bds					Sold	06/28/10	J		
119. - New Bedford MA G/O Municipal Bds					Sold	08/24/10	K	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = \$15,001 - \$50,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - UBS RMA TaxFree Fd cash eq mutual fd					Sold	08/27/10	K		
121. - Boston, MA Municipal bd					Sold	08/24/10	K	B	
122. - Ishares MSCI Brazil Index Fd (mutual fd)									
123. - SPDR Gold Trust (mutual fd)									
124. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	03/01/10	J		
125.					Buy (add'l)	05/21/10	J		
126.					Buy (add'l)	08/27/10	N		
127.					Buy (add'l)	12/23/10	J		
128.					Sold (part)	09/21/10	M		
129.					Sold (part)	09/22/10	M		
130. - Vanguard Developed Mkts Index, mutual fd (x)					Buy	09/20/10	M		
131. - Vanguard Dividend Appre, mutual fd (x)					Buy	09/21/10	M		
132. - Vanguard Emerging Markets, mutual fd (x)					Buy	09/20/10	M		
133. Trust # 10		None	N	W					
134. - Travelers Life & Annuity Ins Co, universal life insurance									
135. Trust # 11	A	Dividend	P1	W					
136. - Manulife Fin'l Corp (formerly JHancock Finl Svcs), common									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Sun Life Assurance, universal life insurance									
138. - Manufacturers Life Ins, universal life (John Hancock)									
139. - Federated USTreas Cash Rsv cash eq mutual fd									
140. MA Water Pollution Municipal Bonds	B	Interest	K	T					
141. Tucker Anthony Private Equity Fund IV	F	Distribution	N	U	Buy (add'l)	03/24/10	J		
142. IRA Rollover			O	W					
143. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend	J	T					
144. - Zoom Information Inc CIB closely held		None	J	W					
145. - Vanguard Inflat Protect mutual fd	E	Dividend	O	T	Buy (add'l)	03/29/10	J		
146.					Buy (add'l)	06/28/10	J		
147.					Buy (add'l)	09/27/10	J		
148.					Buy (add'l)	12/28/10	J		
149. Battery Ventures VI L.P.	E	Distribution	L	U					
150. Friends of Lime Rock II L.P.	G	Distribution	M	U					
151. Park St Capl Natural Res Fd L.P.	F	Distribution	N	U	Buy (add'l)	12/28/10	J		
152. Patron Capital L.P. I	G	Distribution	N	U	Sold (part)	09/22/10	J		
153. US Fidenza LLC		None	K	U					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. US Wertheim LLC		None	M	U					
155. MFB Northern Trust Co Funds Muni MM cash equiv.	A	Dividend	M	T					See Part VIII
156. Northn AZ Univ Revs Municipal Bond	D	Interest	N	T					
157. Phoenix AZ Civic Impt Municipal Bond	D	Interest	N	T					
158. Trust # 14	E	Distribution	O	T					
159. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	02/19/10	J		
160. - Int in MECM Investments LLC (closely held) (x)									
161. Trust # 15	E	Distribution	O	T					
162. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	02/19/10	J		
163. - Int in MECM Investments LLC (closely held) (x)									
164. Trust # 16	E	Distribution	O	T					
165. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	02/19/10	J		
166. - Int in MECM Investments LLC (closely held) (x)									
167. Trust # 17	E	Distribution	O	T					
168. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	02/19/10	J		
169. - Int in MECM Investments LLC (closely held) (x)									
170. Park St Capital V LP	G	Distribution	O	U	Buy (add'l)	12/28/10	J		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H11 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Buenos Aires Residential Co LLC		None			Redeemed	07/21/10	L		See Part VIII
172. Plymouth Rock Co Inc OC Cl A - common stock	G	Dividend	P2	T					
173. CA St Municipal Bds	B	Interest	M	T					
174. Texas Mun Pwr Municipal Bds	D	Interest			Sold (part)	05/06/10	N		
175.					Sold	09/01/10	L		
176. Buenos Aires Residential Co II LLC	E	Distribution	K	U	Redeemed (part)	02/02/10	M		See Part VIII
177. Friends of Lime Rock III LP		None	O	U	Buy (add'l)	04/01/10	K		
178.					Buy (add'l)	08/06/10	J		
179. Highfields Capital II LP		None	P1	U					
180. Millgate Partners II, LP		None	P1	U					
181. WMD, LP		None	K	W					
182. Park St Natural Resource Fund II Combined (Private Equity)	G	Distribution	O	U	Buy (add'l)	02/08/10	J		
183.					Buy (add'l)	02/22/10	J		
184.					Buy (add'l)	04/12/10	J		
185.					Buy (add'l)	05/26/10	J		
186.					Buy (add'l)	09/29/10	J		
187.					Buy (add'l)	10/12/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Park Street Capital VI (Private Equity Fd)	F	Distribution	O	U	Buy (add'l)	03/24/10	J		
189.					Buy (add'l)	05/11/10	J		
190.					Buy (add'l)	06/29/10	J		
191.					Buy (add'l)	09/28/10	J		
192.					Buy (add'l)	10/01/10	J		
193.					Buy (add'l)	12/29/10	J		
194. Patron Capital LP II (private equity)		None	P1	U	Buy (add'l)	07/27/10	K		
195.					Buy (add'l)	04/27/10	K		
196.					Buy (add'l)	12/06/10	K		
197. Orion Eruopean Real Estate Fd II, C.V., LP	G	Distribution	N	U	Buy (add'l)	07/19/10	M		
198. US Dublin LLC		None	L	U					
199. Europa Fund II US LP	E	Distribution	O	W	Buy (add'l)	07/15/10	K		
200.					Buy (add'l)	09/09/10	L		
201. Weston Presidio V LP	G	Distribution	N	U	Buy (add'l)	04/01/10	K		
202. IL St Municipal Bds	D	Interest	N	T					
203. NJ Dev Auth Municipal Bds	D	Interest	M	T	Matured (part)	09/01/10	M		
204. Trust # 18	E	Distribution	P1	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 I2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
(See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000

3. Value Method Codes P3=\$25,000,001 - \$50,000,000 Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
(See Column C2) U=Book Value V=Other W=Estimated

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code I (A-H)	Identity of buyer/seller (if private transaction)
205. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	01/12/10	J		
206.					Buy (add'l)	04/16/10	K		
207.					Buy (add'l)	06/03/10	J		
208.					Buy (add'l)	09/08/10	J		
209.					Buy (add'l)	10/14/10	J		
210.					Buy (add'l)	12/03/10	J		
211.					Buy (add'l)	12/20/10	J		
212. - Int in MECM Investments LLC (closely held) (x)									
213. Trust # 19	E	Distribution	P1	T					
214. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	01/12/10	J		
215.					Buy (add'l)	04/16/10	K		
216.					Buy (add'l)	06/03/10	J		
217.					Buy (add'l)	09/08/10	J		
218.					Buy (add'l)	10/14/10	J		
219.					Buy (add'l)	12/03/10	J		
220.					Buy (add'l)	12/20/10	J		
221. - Int in MECM Investments LLC (closely held) (x)									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Trust # 20	E	Distribution	PI	T					
223. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	01/12/10	J		
224.					Buy (add'l)	04/16/10	K		
225.					Buy (add'l)	06/03/10	J		
226.					Buy (add'l)	09/08/10	J		
227.					Buy (add'l)	10/14/10	J		
228.					Buy (add'l)	12/03/10	J		
229.					Buy (add'l)	12/20/10	J		
230. - Int in MECM Investments LLC (closely held) (x)									
231. Trust # 21	E	Distribution	PI	T					
232. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	01/12/10	J		
233.					Buy (add'l)	04/16/10	K		
234.					Buy (add'l)	06/03/10	J		
235.					Buy (add'l)	09/08/10	J		
236.					Buy (add'l)	10/14/10	J		
237.					Buy (add'l)	12/03/10	J		
238.					Buy (add'l)	12/20/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 I11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. - Int in MECM Investments LLC (closely held) (x)									
240. TA Investors L.P		None	J	W					
241. Wavemark Inc Ser A pfd stock		None	N	T					
242. Centerbridge Cap Ptnrs LPs (Priv. Eq)	H1	Distribution	P1	U	Buy (add'l)	04/30/10	M		
243. Global Hotel Cap B V (priv Eq) (y)									
244. Lime Rock Partners IV LP (combined) (private equities)	F	Distribution	O	U	Buy (add'l)	07/02/10	J		
245.					Buy (add'l)	04/15/10	K		
246.					Buy (add'l)	08/26/10	M		
247. Park St Cap Priv Eq Fd VII A LP	E	Distribution	N	U	Buy (add'l)	01/19/10	J		
248.					Buy (add'l)	06/22/10	J		
249.					Buy (add'l)	07/01/10	J		
250.					Buy (add'l)	09/27/10	J		
251.					Buy (add'l)	12/27/10	J		
252. Park St Cap Natural Res Fd III LP combined (priv Eq)	F	Distribution	P1	U	Buy (add'l)	02/12/10	K		
253.					Sold (part)	04/15/10	K		
254.					Sold (part)	06/30/10	J		
255.					Buy (add'l)	08/13/10	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	08/19/10	K		
257.					Buy (add'l)	11/18/10	L		
258. Polaris Vent Part Entrep Fd V LP		None	O	U	Buy (add'l)	03/19/10	K		
259.					Buy (add'l)	04/29/10	K		
260.					Buy (add'l)	06/28/10	K		
261.					Buy (add'l)	10/18/10	K		
262. Summit Ptms Priv Eq Fd VII-A	F	Distribution	O	U	Buy (add'l)	02/26/10	K		
263.					Buy (add'l)	04/09/10	K		
264.					Buy (add'l)	04/27/10	J		
265.					Buy (add'l)	05/12/10	K		
266.					Buy (add'l)	05/21/10	J		
267.					Buy (add'l)	10/14/10	K		
268.					Buy (add'l)	12/13/10	K		
269. TA X LP (Priv Eq)	G	Distribution	P1	U	Buy (add'l)	02/04/10	K		
270.					Buy (add'l)	03/26/10	K		
271.					Buy (add'l)	04/01/10	K		
272. SPDR Gold Tr (formerly Streettracks Gold Trust) mutual fd		None	P2	T	Donated (part)				

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Realty Assoc Fd V Corp (REIT)	A	Dividend	J	W					
274. Greenville, SC Municipal bds	D	Interest			Sold	07/13/10	M	E	
275. Maricopa Cty, AZ Municipal bds	D	Interest	N	T					
276. Minneapolis, MN Municipal bds	D	Interest	M	T					
277. San Antonio, TX Municipal bds	D	Interest	N	T					
278. Sweetwater, WY Municipal bds	C	Interest	M	T					
279. University Idaho Municipal bds	D	Interest	M	T					
280. Walled Lake, MI Municipal bds	C	Interest	M	T					
281. UBS RMA TaxFree Fd cash eq mutual fd	A	Dividend			Buy (add'l)	01/19/10	J		
282.					Buy (add'l)	07/01/10	J		
283.					Sold	12/14/10	J		
284. Federated US Treas Cash Rsv cash eq mutual fd	A	Dividend	PI	T	Buy (add'l)	01/04/10	K		
285.					Buy (add'l)	01/05/10	J		
286.					Buy (add'l)	01/07/10	L		
287.					Buy (add'l)	01/08/10	O		
288.					Buy (add'l)	01/15/10	M		
289.					Buy (add'l)	01/19/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H=\$5,001 - \$10,000 I=\$10,001 - \$25,000 J=\$25,001 - \$50,000 K=\$50,001 - \$100,000 L=\$100,001 - \$250,000 M=\$250,001 - \$500,000 N=\$500,001 - \$1,000,000 O=\$1,000,001 - \$5,000,000 P=\$5,000,001 - \$25,000,000 Q=\$25,000,001 - \$50,000,000	D=\$5,001 - \$15,000 E=\$15,001 - \$50,000 F=\$50,001 - \$100,000 G=\$100,001 - \$250,000 H=\$250,001 - \$500,000 I=\$500,001 - \$1,000,000 J=\$1,000,001 - \$5,000,000 K=\$5,000,001 - \$25,000,000 L=\$25,000,001 - \$50,000,000	E=\$15,001 - \$50,000 F=\$50,001 - \$100,000 G=\$100,001 - \$250,000 H=\$250,001 - \$500,000 I=\$500,001 - \$1,000,000 J=\$1,000,001 - \$5,000,000 K=\$5,000,001 - \$25,000,000 L=\$25,000,001 - \$50,000,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	R=Cost (Real Estate Only) V=Other	S=Assessment T=Cash Market W=Estimated	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value				

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	01/21/10	M		
291.					Buy (add'l)	01/28/10	O		
292.					Buy (add'l)	01/29/10	K		
293.					Buy (add'l)	02/02/10	J		
294.					Buy (add'l)	02/04/10	N		
295.					Buy (add'l)	02/08/10	K		
296.					Buy (add'l)	02/12/10	J		
297.					Buy (add'l)	02/19/10	L		
298.					Buy (add'l)	03/01/10	K		
299.					Buy (add'l)	03/02/10	L		
300.					Buy (add'l)	03/04/10	L		
301.					Buy (add'l)	03/08/10	K		
302.					Buy (add'l)	03/12/10	N		
303.					Buy (add'l)	03/15/10	L		
304.					Buy (add'l)	03/22/10	L		
305.					Buy (add'l)	03/24/10	J		
306.					Buy (add'l)	03/26/10	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	03/30/10	J		
308.					Buy (add'l)	03/31/10	L		
309.					Buy (add'l)	04/05/10	J		
310.					Buy (add'l)	04/07/10	K		
311.					Buy (add'l)	04/16/10	J		
312.					Buy (add'l)	04/28/10	J		
313.					Buy (add'l)	04/29/10	J		
314.					Buy (add'l)	05/04/10	J		
315.					Buy (add'l)	05/21/10	J		
316.					Buy (add'l)	05/25/10	L		
317.					Buy (add'l)	06/01/10	K		
318.					Buy (add'l)	06/02/10	J		
319.					Buy (add'l)	06/08/10	J		
320.					Buy (add'l)	06/14/10	M		
321.					Buy (add'l)	06/18/10	L		
322.					Buy (add'l)	06/23/10	J		
323.					Buy (add'l)	06/24/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and I3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	06/29/10	L		
325.					Buy (add'l)	06/30/10	L		
326.					Buy (add'l)	07/01/10	N		
327.					Buy (add'l)	07/07/10	J		
328.					Buy (add'l)	07/12/10	K		
329.					Buy (add'l)	07/14/10	K		
330.					Buy (add'l)	07/15/10	M		
331.					Buy (add'l)	07/16/10	K		
332.					Buy (add'l)	07/22/10	J		
333.					Buy (add'l)	07/23/10	L		
334.					Buy (add'l)	08/02/10	K		
335.					Buy (add'l)	08/05/10	J		
336.					Buy (add'l)	08/11/10	M		
337.					Buy (add'l)	08/23/10	K		
338.					Buy (add'l)	09/03/10	K		
339.					Buy (add'l)	09/09/10	L		
340.					Buy (add'l)	09/16/10	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	09/17/10	N		
342.					Buy (add'l)	09/24/10	K		
343.					Buy (add'l)	09/28/10	K		
344.					Buy (add'l)	09/30/10	K		
345.					Buy (add'l)	10/01/10	K		
346.					Buy (add'l)	10/04/10	J		
347.					Buy (add'l)	10/06/10	L		
348.					Buy (add'l)	10/08/10	K		
349.					Buy (add'l)	10/12/10	K		
350.					Buy (add'l)	10/13/10	K		
351.					Buy (add'l)	10/18/10	K		
352.					Buy (add'l)	10/19/10	K		
353.					Buy (add'l)	10/29/10	J		
354.					Buy (add'l)	11/01/10	O		
355.					Buy (add'l)	11/04/10	K		
356.					Buy (add'l)	11/16/10	L		
357.					Buy (add'l)	11/19/10	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	11/23/10	K		
359.					Buy (add'l)	11/24/10	K		
360.					Buy (add'l)	12/01/10	L		
361.					Buy (add'l)	12/03/10	O		
362.					Buy (add'l)	12/10/10	J		
363.					Buy (add'l)	12/15/10	L		
364.					Buy (add'l)	12/16/10	J		
365.					Buy (add'l)	12/20/10	J		
366.					Buy (add'l)	12/21/10	K		
367.					Buy (add'l)	12/23/10	M		
368.					Buy (add'l)	12/27/10	L		
369.					Buy (add'l)	12/28/10	M		
370.					Buy (add'l)	12/29/10	L		
371.					Buy (add'l)	12/30/10	K		
372.					Buy (add'l)	12/31/10	M		
373.					Sold (part)	01/07/10	J		
374.					Sold (part)	01/05/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	01/12/10	J		
376.					Sold (part)	01/14/10	K		
377.					Sold (part)	01/22/10	J		
378.					Sold (part)	01/26/10	J		
379.					Sold (part)	01/28/10	J		
380.					Sold (part)	02/01/10	J		
381.					Sold (part)	02/02/10	L		
382.					Sold (part)	02/03/10	J		
383.					Sold (part)	02/05/10	K		
384.					Sold (part)	02/09/10	J		
385.					Sold (part)	02/10/10	J		
386.					Sold (part)	02/11/10	K		
387.					Sold (part)	02/16/10	K		
388.					Sold (part)	02/18/10	J		
389.					Sold (part)	02/22/10	N		
390.					Sold (part)	02/25/10	J		
391.					Sold (part)	02/26/10	M		

1. Income Gain Codes:
(See Columns B1 and D4)2. Value Codes
(See Columns C1 and D3)3. Value Method Codes
(See Column C2)A = \$1,000 or less
F = \$50,001 - \$100,000J = \$15,000 or less
N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Sold (part)	03/01/10	J		
393.					Sold (part)	03/03/10	K		
394.					Sold (part)	03/04/10	J		
395.					Sold (part)	03/08/10	J		
396.					Sold (part)	03/10/10	K		
397.					Sold (part)	03/16/10	J		
398.					Sold (part)	03/17/10	L		
399.					Sold (part)	03/18/10	L		
400.					Sold (part)	03/25/10	M		
401.					Sold (part)	03/29/10	J		
402.					Sold (part)	04/01/10	L		
403.					Sold (part)	04/08/10	J		
404.					Sold (part)	04/09/10	J		
405.					Sold (part)	04/12/10	M		
406.					Sold (part)	04/14/10	N		
407.					Sold (part)	04/15/10	K		
408.					Sold (part)	04/19/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	04/21/10	K		
410.					Sold (part)	04/22/10	J		
411.					Sold (part)	04/26/10	K		
412.					Sold (part)	04/27/10	K		
413.					Sold (part)	04/30/10	J		
414.					Sold (part)	05/03/10	L		
415.					Sold (part)	05/04/10	J		
416.					Sold (part)	05/06/10	J		
417.					Sold (part)	05/10/10	K		
418.					Sold (part)	05/11/10	J		
419.					Sold (part)	05/12/10	K		
420.					Sold (part)	05/13/10	J		
421.					Sold (part)	05/17/10	J		
422.					Sold (part)	05/18/10	K		
423.					Sold (part)	05/20/10	K		
424.					Sold (part)	05/21/10	J		
425.					Sold (part)	05/24/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 I11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426.					Sold (part)	05/26/10	L		
427.					Sold (part)	05/27/10	N		
428.					Sold (part)	06/01/10	J		
429.					Sold (part)	06/02/10	K		
430.					Sold (part)	06/03/10	J		
431.					Sold (part)	06/04/10	J		
432.					Sold (part)	06/07/10	K		
433.					Sold (part)	06/10/10	J		
434.					Sold (part)	06/11/10	J		
435.					Sold (part)	06/16/10	M		
436.					Sold (part)	06/17/10	J		
437.					Sold (part)	06/21/10	J		
438.					Sold (part)	06/22/10	M		
439.					Sold (part)	06/23/10	J		
440.					Sold (part)	06/24/10	L		
441.					Sold (part)	06/25/10	K		
442.					Sold (part)	06/28/10	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Sold (part)	06/30/10	K		
444.					Sold (part)	07/02/10	P1		
445.					Sold (part)	07/06/10	J		
446.					Sold (part)	07/08/10	J		
447.					Sold (part)	07/09/10	J		
448.					Sold (part)	07/12/10	J		
449.					Sold (part)	07/13/10	J		
450.					Sold (part)	07/16/10	L		
451.					Sold (part)	07/19/10	M		
452.					Sold (part)	07/20/10	K		
453.					Sold (part)	07/21/10	J		
454.					Sold (part)	07/22/10	K		
455.					Sold (part)	07/26/10	M		
456.					Sold (part)	07/27/10	K		
457.					Sold (part)	07/28/10	J		
458.					Sold (part)	07/30/10	J		
459.					Sold (part)	08/02/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460.					Sold (part)	08/03/10	J		
461.					Sold (part)	08/04/10	J		
462.					Sold (part)	08/06/10	J		
463.					Sold (part)	08/09/10	K		
464.					Sold (part)	08/13/10	J		
465.					Sold (part)	08/16/10	J		
466.					Sold (part)	08/18/10	K		
467.					Sold (part)	08/24/10	K		
468.					Sold (part)	08/26/10	M		
469.					Sold (part)	08/30/10	J		
470.					Sold (part)	08/31/10	J		
471.					Sold (part)	09/01/10	M		
472.					Sold (part)	09/02/10	J		
473.					Sold (part)	09/07/10	N		
474.					Sold (part)	09/09/10	L		
475.					Sold (part)	09/10/10	J		
476.					Sold (part)	09/13/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

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05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.					Sold (part)	09/14/10	J		
478.					Sold (part)	09/16/10	J		
479.					Sold (part)	09/21/10	J		
480.					Sold (part)	09/22/10	M		
481.					Sold (part)	10/04/10	J		
482.					Sold (part)	10/05/10	J		
483.					Sold (part)	10/06/10	J		
484.					Sold (part)	10/07/10	K		
485.					Sold (part)	10/12/10	K		
486.					Sold (part)	10/14/10	K		
487.					Sold (part)	10/18/10	K		
488.					Sold (part)	10/21/10	J		
489.					Sold (part)	10/22/10	J		
490.					Sold (part)	10/25/10	N		
491.					Sold (part)	10/28/10	L		
492.					Sold (part)	11/01/10	J		
493.					Sold (part)	11/02/10	O		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I1 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494.					Sold (part)	11/03/10	M		
495.					Sold (part)	11/05/10	L		
496.					Sold (part)	11/09/10	K		
497.					Sold (part)	11/10/10	K		
498.					Sold (part)	11/18/10	M		
499.					Sold (part)	11/24/10	L		
500.					Sold (part)	11/26/10	L		
501.					Sold (part)	12/06/10	L		
502.					Sold (part)	12/08/10	L		
503.					Sold (part)	12/09/10	K		
504.					Sold (part)	12/10/10	K		
505.					Sold (part)	12/13/10	K		
506.					Sold (part)	12/15/10	J		
507.					Sold (part)	12/17/10	J		
508.					Sold (part)	12/23/10	J		
509.					Sold (part)	12/30/10	L		
510. Local Motors Series A pfd closely held		None	J	W					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code I (A-H)	Identity of buyer/seller (if private transaction)
511. PIMCO Developing Local Mkt Fd mutual fd	F	Dividend	P2	T	Buy (add'l)	03/19/10	O		
512.					Buy (add'l)	07/01/10	P1		
513. Van Eck Intl Invest Gold A mutual fd	H1	Dividend	P2	T	Buy (add'l)	12/21/10	O		
514.					Donated (part)				
515. Hephaestion II LP priv eq	F	Distribution	P1	U	Buy (add'l)	03/25/10	M		
516.					Buy (add'l)	06/24/10	M		
517.					Buy (add'l)	11/02/10	O		
518.					Buy (add'l)	12/22/10	N		
519. Hephaestion Retail LP priv eq	E	Distribution	N	U	Buy (add'l)	03/25/10	K		
520.					Buy (add'l)	05/27/10	K		
521.					Buy (add'l)	06/24/10	K		
522.					Buy (add'l)	11/03/10	M		
523.					Buy (add'l)	12/30/10	M		
524. Portland Global Real Est Sec Fd LP	H1	Distribution	K	U					See Part VIII
525. Blackstone Distressed Securities Fd LP	G	Distribution	K	U					See Part VIII
526. Penta Asia Domestic Partners LP		None	P1	U	Buy	02/26/10	P1		
527. PSC Luxembourg Hldgs LLC	E	Distribution	K	U	Buy (add'l)	02/08/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528.					Buy (add'l)	05/26/10	J		
529.					Buy (add'l)	10/12/10	J		
530. Trust # 22	G	Int./Div.	P2	W					
531. - Int in MECM Assoc II LLC closely held									
532. - Hephaestion LP					Buy (add'l)	03/25/10	L		
533.					Buy (add'l)	06/29/10	J		
534.					Buy (add'l)	12/23/10	K		
535. - Hephaestion Co-invest I LP					Buy (add'l)	03/25/10	J		
536.					Buy (add'l)	12/23/10	J		
537. - Porus Invt Hldg Co Ltd LP									
538. - Xander Master Fund LLC									
539. - Federated US Treas Cash reserve money mrkt mutual fd					Buy (add'l)	01/08/10	J		
540.					Buy (add'l)	01/11/10	K		
541.					Buy (add'l)	02/24/10	J		
542.					Buy (add'l)	04/05/10	K		
543.					Buy (add'l)	08/24/10	J		
544.					Buy (add'l)	10/14/10	L		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000

2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Buy (add'l)	10/21/10	J		
546.					Buy (add'l)	11/01/10	J		
547.					Sold (part)	01/11/10	J		
548.					Sold (part)	03/25/10	K		
549.					Sold (part)	06/22/10	J		
550.					Sold (part)	09/27/10	K		
551.					Sold (part)	12/23/10	K		
552. Trust # 23	E	Int./Div.	P1	T					
553. - SPDR Gold Tr mutual fund									
554. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/16/10	J		
555.					Buy (add'l)	06/03/10	J		
556.					Buy (add'l)	09/08/10	J		
557.					Buy (add'l)	12/20/10	J		
558. - Realty Associates Fd IV LLC									
559. - Int in MECM Investments LLC (closely held)									
560. Trust # 24	D	Int./Div.	P1	T					
561. - SPDR Gold Tr mutual fund									

- | | | | | | |
|--|--|--|---|---|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/16/10	J		
563.					Buy (add'l)	06/03/10	J		
564.					Buy (add'l)	09/08/10	J		
565.					Buy (add'l)	12/20/10	J		
566. - Realty Associates Fd IV LLC									
567. - Int in MECM Investments LLC (closely held)									
568. Trust # 25	E	Int./Div.	PI	T					
569. - SPDR Gold Tr mutual fund									
570. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/16/10	J		
571.					Buy (add'l)	06/03/10	J		
572.					Buy (add'l)	09/08/10	J		
573.					Buy (add'l)	12/20/10	J		
574. - Realty Associates Fd IV LLC									
575. - Int in MECM Investments LLC (closely held)									
576. Trust # 26	E	Int./Div.	PI	T					
577. - SPDR Gold Tr mutual fund									
578. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/16/10	J		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H11 = \$1,000,001 - \$5,000,000 H12 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579.					Buy (add'l)	06/03/10	J		
580.					Buy (add'l)	09/08/10	J		
581.					Buy (add'l)	12/20/10	J		
582. - Realty Associates Fd IV LLC									
583. Liveprocess Crop Ser A pfd stock		None	J	T					
584. Neurophage Pharms Inc Series A cv pfd stock		None	J	T					
585. Wavemark Inc Ser B pfd stock		None	M	T					
586. Vanguard Inflat Protected mutual fund	F	Dividend	P1	T	Sold (part)	03/09/10	P1	F	
587.					Sold (part)	10/29/10	O	G	
588. Meridia Capital LP		None	O	U	Buy (add'l)	06/02/10	L		
589. Meta4 Group Ltd LP		None	J	U					
590. Elliott Associates, LP		None	P2	U					
591. Hony Capital Fund 2008, LP		None	O	U	Buy (add'l)	02/02/10	K		
592.					Buy (add'l)	02/25/10	M		
593.					Buy (add'l)	06/07/10	K		
594.					Buy (add'l)	06/25/10	K		
595.					Buy (add'l)	09/01/10	M		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Esti

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code I (A-H)	Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	10/25/10	N		
597.					Buy (add'l)	11/24/10	L		
598. Line Rock Partners V, LP combined	C	Distribution	P1	U	Buy (add'l)	03/18/10	L		
599.					Buy (add'l)	04/21/10	K		
600.					Buy (add'l)	05/20/10	K		
601.					Buy (add'l)	06/10/10	K		
602.					Buy (add'l)	07/16/10	K		
603.					Buy (add'l)	09/01/10	K		
604.					Buy (add'l)	12/23/10	K		
605. Lone Star Fund VI (US), LP	G	Distribution	P1	U	Buy (add'l)	08/26/10	M		
606. Lone Star Europe Holdings (US), LP	C	Distribution	N	U					
607. Lone Star Real Estate Fund (US), LP	G	Distribution	P1	U	Buy (add'l)	04/14/10	M		
608.					Buy (add'l)	06/21/10	M		
609. Middle East North Africa Opportunities Fd, LP		None	L	U	Sold (part)	01/27/10	O		
610. Portland Capital LLP	A	Distribution	L	W					
611. MA Health & Ed Municipal Bds	C	Interest	L	T					
612. MECM Associates LLC closely held			P3	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - Abrams Capital Partners II LP		None	P1	U					
614. - Baupost Value Partners LP II		None	P1	U					
615. - Brookside Cap Partners Fd LP		None	P1	U					
616. - Kensico Associates LP		None	P2	U					
617. Auburndale FL Municipal bds	E	Interest	N	T					
618. Berkeley Cty SC Municipal bd	E	Interest	N	T					
619. Birmingham AL Municipal Bds	D	Interest	M	T					
620. Dallas TX Municipal bds	D	Interest			Sold	02/11/10	N	D	
621. Hawaii St Municipal Bds	E	Interest	O	T	Buy (add'l)	02/10/10	M		
622. Intermountain Pwr Agy UT Municipal bds	D	Interest	N	T	Buy	02/02/10	N		
623. Met Trans Auth NY Municipal Bds	E	Interest	N	T					
624. Washington St Municipal Bds	C	Interest	M	T					
625. Michigan St Municipal bds	C	Interest			Sold	09/28/10	M	D	
626. NY St Municipal Bds	D	Interest			Sold	06/23/10	N	C	
627. Anchorage AK Municipal Bds	D	Interest	N	T					
628. Chatham Cty GA Municipal Bds	C	Interest	M	T					
629. MA St College Bds Municipal Bds	D	Interest	N	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. Trust # 27	E	Int./Div.	O	T					
631. - Boston, MA Bunicipal Bds					Sold	08/24/10	K	B	
632. - Chilmark MA Municipal Bds					Sold	08/24/10	K	A	
633. - MA Bay Transit Municipal Bds					Sold	08/24/10	K	B	
634. - MA Commonwealth SR A Municipal Bds					Sold	08/24/10	K	A	
635. - MA Commonwealth SR B Municipal Bds					Sold	08/24/10	J		
636. - MA HEFA Municipal Bds					Sold	08/24/10	L	C	
637. - MA Port Auth Municipal Bds					Sold	08/24/10	K	A	
638. - MA Water Polltn Municipal Bds					Sold	08/24/10	K	A	
639. - Norwood MA Municipal Bds					Sold	08/24/10	L	A	
640. - Town of Foxborough MA Municipal Bds					Sold	08/24/10	K	B	
641. - University MA Bldg Municipal Bds					Sold	08/24/10	M	D	
642. - Ishares MSCI Brazil Index Fd					Buy	06/11/10	L		
643. - Int in MECM Investments LLC (closely held)									
644. - UBS Bank USA deposit account					Sold	08/27/10	J		
645. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	02/19/10	K		
646.					Buy (add'l)	03/01/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	03/03/10	J		
648.					Buy (add'l)	04/16/10	J		
649.					Buy (add'l)	05/21/10	J		
650.					Buy (add'l)	07/23/10	J		
651.					Buy (add'l)	08/27/10	N		
652.					Buy (add'l)	12/23/10	J		
653.					Buy (add'l)	12/30/10	J		
654.					Sold (part)	01/05/10	J		
655.					Sold (part)	01/06/10	J		
656.					Sold (part)	01/25/10	J		
657.					Sold (part)	02/25/10	J		
658.					Sold (part)	03/17/10	K		
659.					Sold (part)	03/25/10	J		
660.					Sold (part)	04/26/10	J		
661.					Sold (part)	06/10/10	J		
662.					Sold (part)	06/16/10	L		
663.					Sold (part)	07/06/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Sold (part)	09/21/10	M		
665.					Sold (part)	09/22/10	M		
666. - Vanguard Developed Mkts Index, mutual fd (x)					Buy	09/20/10	M		
667. - Vanguard Dividend Appre, mutual fd (x)					Buy	09/21/10	M		
668. - Vanguard Emerging Markets, mutual fd (x)					Buy	09/20/10	M		
669. Trust # 28	E	Int./Div.	P1	T					
670. - Boston, MA Bunicipal Bds					Sold	08/24/10	K	B	
671. - Chilmark MA Municipal Bds					Sold	08/24/10	K	A	
672. - MA Bay Transit Municipal Bds					Sold	08/24/10	K	B	
673. - MA Commonwealth SR A Municipal Bds					Sold	08/24/10	K	A	
674. - MA Commonwealth SR B Municipal Bds					Sold	08/24/10	J		
675. - MA HEFA Municipal Bds					Sold	08/24/10	L	B	
676. - MA Port Auth Municipal Bds					Sold	08/24/10	K	A	
677. - MA Tpk Auth Municipal Bds					Sold	08/24/10	K		
678. - MA Water Polltn Municipal Bds					Sold	08/24/10	K	C	
679. - Norwood MA Municipal Bds					Sold	08/24/10	L	A	
680. - University MA Bldg Municipal Bds					Sold	08/24/10	L	C	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681. - Ishares MSCI Brazil Index Fd					Buy	06/11/10	L		
682. - Int in MECM Investments LLC (closely held)									
683. - UBS Bank USA deposit account					Sold	08/27/10	J		
684. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	02/19/10	K		
685.					Buy (add'l)	03/01/10	J		
686.					Buy (add'l)	04/16/10	J		
687.					Buy (add'l)	05/21/10	J		
688.					Buy (add'l)	07/23/10	J		
689.					Buy (add'l)	08/27/10	N		
690.					Buy (add'l)	12/23/10	J		
691.					Buy (add'l)	12/30/10	J		
692.					Sold (part)	01/05/10	J		
693.					Sold (part)	03/17/10	K		
694.					Sold (part)	06/16/10	L		
695.					Sold (part)	07/12/10	J		
696.					Sold (part)	09/21/10	M		
697.					Sold (part)	09/22/10	M		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
698. - Vanguard Developed Mkts Index, mutual fd (x)					Buy	09/20/10	M		
699. - Vanguard Dividend Appre, mutual fd (x)					Buy	09/21/10	M		
700. - Vanguard Emerging Markets, mutual fd (x)					Buy	09/20/10	M		
701. Chilton Gobal Natural Res Partners LP		None	P1	U					
702. CX Partners Fd Limited (private eq)	F	Distribution	M	U	Buy (add'l)	02/10/10	K		
703.					Buy (add'l)	09/22/10	L		
704.					Buy (add'l)	11/24/10	L		
705. Hephaestion III LP		None	O	U	Buy (add'l)	05/27/10	N		
706.					Buy (add'l)	09/07/10	N		
707.					Buy (add'l)	11/02/10	M		
708. Mondrian Intl Fixed Income Fd LP	E	Distribution	P2	U	Sold (part)	08/15/10	P1	E	
709.					Sold (part)	10/22/10	J	A	
710. Orion European Real Estate Fd III CV (priv eq)	E	Distribution	O	U	Buy (add'l)	07/26/10	M		
711. RBC (Royal Bank of Canada), bank accounts	B	Interest	P1	T					
712. RBC Dominion Securities Inc	G	Interest	P2	T					
713. - Government of Canada Provincial Treasury Bills					Buy (add'l)	07/30/10	M		
714.					Buy (add'l)	08/03/10	M		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
(See Column C2) Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
U = Book Value V = Other W = Estimated

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Redeemed (part)	09/01/10	O		
716. - Canada Housing Trust Mtge Bds					Redeemed (part)	03/15/10	M		
717.					Buy (add'l)	08/03/10	M		
718.					Buy (add'l)	09/23/10	O		
719. - RBC Bankers' Acceptances					Matured (part)	01/08/10	M		
720.					Buy (add'l)	01/08/10	M		
721.					Matured (part)	02/09/10	M		
722.					Buy (add'l)	02/10/10	M		
723.					Redeemed (part)	04/05/10	M		
724.					Matured	04/12/10	J		
725. - Bank of Montreal GIC									
726. - HSBC Bank GIC									
727. - National Bank of Canada GIC									
728. - Royal Bank of Canada GIC					Buy (add'l)	07/26/10	M		
729. - Province of Ontario Notes					Buy (add'l)	04/07/10	M		
730. - Province of Quebec Notes									
731. - Province of British Columbia Notes (semi-annual package)									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. - Hydro Quebec notes (int only package)									
733. - RBC Mortgage Corp GIC									
734. - RBC Covered Bds (x))					Buy	04/07/10	N		
735.					Sold	11/30/10	N	A	
736. - Alberta Cap Fin Auth Bonds (x)					Buy	08/03/10	M		
737. - Financement Quebec Bonds (x)					Buy	11/30/10	N		
738. Gold boullion		None	P1	T					
739. US Treas TIPS Bds	G	Interest	P1	T					
740. MECM Investments LLC (closely held)	G	Int./Div.	P2	W					
741. - Weston MA Municipal Bds					Sold	03/01/10	M		
742. - Westford MA Municipal Bds					Sold	04/01/10	M		
743. - Northampton MA Municipal Bds					Sold	06/15/10	M		
744. - MA Commonwealth SR A Municipal Bds					Sold	06/16/10	K	A	
745. - MA Port Auth Municipal Bds					Sold	06/16/10	L	A	
746. - MA Water Polltn Municipal Bds					Sold	06/16/10	K	A	
747. - MA Cons Ln Municipal Bds					Sold	06/16/10	L	A	
748. - Auburndale FL Municipal Bds									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
749. - Berkeley Cty SC Municipal Bds									
750. - Easley SC Municipal Bds									
751. - IL St Municipal Bds									
752. - Maine St Municipal Bds									
753. - Met Trans Auth NY Municipal Bds									
754. - Mississippi St Municipal Bds									
755. - NY St Dorm Auth Municipal Bds					Sold	09/02/10	M	E	
756. - Reg Trans Dist Co Municipal Bds									
757. - Pimco Foreign Bd Fd mutual fd					Sold (part)	06/11/10	O	F	
758.					Sold (part)	06/25/10	P1	G	
759.					Sold (part)	12/27/10	P1	G	
760. - US Treas TIPS Bds					Sold	06/11/10	O		
761. - Vanguard Inflat Protected mutual fd					Sold (part)	03/09/10	P1	F	
762.					Sold (part)	03/23/10	P1	G	
763.					Sold	06/11/10	O	F	
764. - Ishares MSCI Brazil Index Fd mutual fd									
765. - SPDR Gold Trust mutual fd									

- | | | | | | |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. - Int in MECM Associates II LLC (closely held)									
767. - Anchorage AK Municipal Bds									
768. - Chatham Cty GA Municipal Bds									
769. - MA St College Bldg Auth Municipal Bds									
770. - NJ St Trans Municipal Bds					Sold (part)	03/19/10	M	B	
771.					Buy (add'l)	10/06/10	N		
772. - NY St Municipal Bds					Sold (part)	06/23/10	N	B	
773. - RMA Tax-Free Fund Inc money mrkt (mutual fd)					Buy (add'l)	01/05/10	J		
774.					Buy (add'l)	02/02/10	J		
775.					Sold (part)	02/26/10	M		
776.					Buy (add'l)	03/02/10	M		
777.					Buy (add'l)	04/05/10	M		
778.					Sold (part)	05/04/10	N		
779.					Buy (add'l)	06/16/10	M		
780.					Sold	06/21/10	M		
781. - Chilton Global Natural Res Prtners LP									
782. - Pimco Developing Local Mkt Fd (mutual fund)					Buy (add'l)	03/09/10	PI		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
783.					Buy (add'l)	06/11/10	O		
784. - Gold Boullion									
785. - Federated US Treas Cash Rsv money markt (mutual fd)					Buy (add'l)	01/05/10	J		
786.					Buy (add'l)	01/11/10	J		
787.					Buy (add'l)	02/02/10	J		
788.					Buy (add'l)	03/01/10	M		
789.					Buy (add'l)	03/02/10	J		
790.					Buy (add'l)	03/24/10	P1		
791.					Buy (add'l)	03/31/10	J		
792.					Buy (add'l)	04/05/10	J		
793.					Buy (add'l)	04/15/10	J		
794.					Buy (add'l)	05/04/10	J		
795.					Buy (add'l)	05/17/10	N		
796.					Buy (add'l)	05/24/10	K		
797.					Buy (add'l)	06/02/10	J		
798.					Buy (add'l)	06/14/10	O		
799.					Buy (add'l)	06/16/10	O		

- | | | | | | |
|--|--|--|---|---|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Buy (add'l)	06/22/10	N		
801.					Buy (add'l)	06/24/10	P1		
802.					Buy (add'l)	06/28/10	P1		
803.					Buy (add'l)	07/02/10	J		
804.					Buy (add'l)	08/02/10	K		
805.					Buy (add'l)	08/03/10	J		
806.					Buy (add'l)	08/05/10	J		
807.					Buy (add'l)	08/09/10	J		
808.					Buy (add'l)	08/13/10	K		
809.					Buy (add'l)	08/16/10	K		
810.					Buy (add'l)	08/17/10	J		
811.					Buy (add'l)	08/19/10	J		
812.					Buy (add'l)	08/31/10	K		
813.					Buy (add'l)	09/02/10	J		
814.					Buy (add'l)	09/27/10	J		
815.					Buy (add'l)	09/29/10	L		
816.					Buy (add'l)	10/04/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817.					Buy (add'l)	10/29/10	K		
818.					Buy (add'l)	11/02/10	J		
819.					Buy (add'l)	11/05/10	J		
820.					Buy (add'l)	11/08/10	J		
821.					Buy (add'l)	11/12/10	K		
822.					Buy (add'l)	11/15/10	J		
823.					Buy (add'l)	11/16/10	J		
824.					Buy (add'l)	11/17/10	J		
825.					Buy (add'l)	11/19/10	J		
826.					Buy (add'l)	11/30/10	J		
827.					Buy (add'l)	12/02/10	J		
828.					Buy (add'l)	12/06/10	J		
829.					Buy (add'l)	12/16/10	J		
830.					Buy (add'l)	12/23/10	J		
831.					Buy (add'l)	12/28/10	J		
832.					Buy (add'l)	12/30/10	K		
833.					Sold (part)	03/29/10	P1		

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834.					Sold (part)	04/16/10	J		
835.					Sold (part)	06/03/10	K		
836.					Sold (part)	06/29/10	N		
837.					Sold (part)	07/01/10	N		
838.					Sold (part)	07/06/10	N		
839.					Sold (part)	07/13/10	PI		
840.					Sold (part)	07/14/10	O		
841.					Sold (part)	07/15/10	K		
842.					Sold (part)	08/04/10	K		
843.					Sold (part)	08/13/10	J		
844.					Sold (part)	09/08/10	K		
845.					Sold (part)	09/20/10	J		
846.					Sold (part)	09/28/10	K		
847.					Sold (part)	09/30/10	K		
848.					Sold (part)	10/25/10	J		
849.					Sold (part)	11/18/10	K		
850.					Sold (part)	12/01/10	J		

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|--|--|--|---|---|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
III = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
II2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851.					Sold (part)	12/03/10	K		
852.					Sold (part)	12/10/10	K		
853.					Sold (part)	12/20/10	K		
854. - Vanguard Developed Mkts Index, mutual fd (x)					Buy	06/28/10	N		
855. - Vanguard Dividend Appre, mutual fd (x)					Buy	12/27/10	P1		
856. - Vanguard Emerging Mkts, mutual fd (x)					Buy	07/02/10	N		
857. - Vanguard 500 Index Fd, mutual fd (x)					Buy	06/03/10	P1		
858. - SCP Atlantic Fd, L.P. (x)					Buy	03/29/10	P1		
859. - Boardwalk Pipeline Partners LP, PTP (x)					Buy	07/08/10	K		
860.					Buy (add'l)	07/09/10	K		
861. - Buckeye Partners LP, PTP (x)					Buy	07/08/10	L		
862.					Buy (add'l)	07/09/10	K		
863. - Chesapeake Midstream Partners LP, PTP (x)					Buy	07/28/10	J		
864. - Copano Energy LLC, PTP (x)					Buy	07/08/10	K		
865.					Buy (add'l)	07/09/10	K		
866.					Buy (add'l)	09/27/10	K		
867. - DCP Midstream Partners LP, PTP (x)					Buy	07/08/10	K		

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|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
868.					Buy (add'l)	07/09/10	K		
869. - Duncan Energy Partners LP, PTP (x)					Buy	07/08/10	J		
870.					Buy (add'l)	07/09/10	J		
871.					Sold	12/06/10	K	C	
872. - El Paso Pipeline Partners LP, PTP (x)					Buy	07/08/10	K		
873.					Buy (add'l)	07/09/10	K		
874.					Buy (add'l)	09/15/10	K		
875.					Buy (add'l)	11/16/10	K		
876. - Enbridge Energy Partners LP, PTP (x)					Buy	07/08/10	K		
877.					Buy (add'l)	07/09/10	K		
878.					Sold (part)	09/15/10	K		
879. - Energy Transfer Partners LP, PTP (x)					Buy	07/08/10	L		
880.					Buy (add'l)	07/09/10	K		
881. - Energy Transfer LP, PTP (z)					Buy	07/08/10	K		
882.					Buy (add'l)	07/09/10	L		
883. - Enterprise Prods Partners LP, PTP (x)					Buy	07/08/10	L		
884.					Buy (add'l)	07/09/10	L		

1. Income Gain Codes:
(See Columns B1 and D4)A = \$1,000 or less
F = \$50,001 - \$100,000B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,0003. Value Method Codes
(See Column C2)Q = Appraisal
U = Book ValueR = Cost (Real Estate Only)
V = OtherS = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
885.					Buy (add'l)	12/09/10	K		
886. - Holly Energy Partners LP, PTP (x)					Buy	07/08/10	K		
887.					Buy (add'l)	07/09/10	K		
888.					Sold (part)	12/01/10	K	B	
889. - Inergy LP, PTP (x)					Buy	07/08/10	K		
890.					Buy (add'l)	07/09/10	K		
891. - Kinder Morgan Energy Partners LP, PTP (x)					Buy	07/08/10	L		
892.					Buy (add'l)	07/09/10	L		
893.					Sold (part)	09/24/10	L	B	
894. - Kinder Morgan Management LLC, PTP (z)					Buy	07/08/10	K		
895.					Buy (add'l)	07/09/10	K		
896.					Sold (part)	07/28/10	J		
897.					Buy (add'l)	11/15/10	J		
898. - Magellan Midstream Partners, PTP (x)					Buy	07/08/10	L		
899.					Buy (add'l)	07/09/10	L		
900.					Buy (add'l)	11/30/10	K		
901. - Markwest Energy LP, PTP (x)					Buy	07/08/10	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902.					Buy (add'l)	07/09/10	K		
903.					Sold (part)	12/13/10	J	A	
904. - Niska Gass Storage, PTP (x)					Buy	07/08/10	J		
905.					Buy (add'l)	07/09/10	J		
906. - Nustar Energy LP, PTP (x)					Buy	07/08/10	K		
907.					Buy (add'l)	07/09/10	K		
908. - Oneok Partners LP, PTP (x)					Buy	07/08/10	K		
909.					Buy (add'l)	07/09/10	K		
910. - PAA Natural Gas Storage LP, PTP (x)					Buy	07/08/10	J		
911.					Buy (add'l)	07/09/10	J		
912. - Plains All American Pipeline LP, PTP (x)					Buy	07/08/10	L		
913.					Buy (add'l)	07/09/10	L		
914. - Regency Energy Partners LP, PTP (x)					Buy	07/08/10	K		
915.					Buy (add'l)	07/09/10	K		
916.					Buy (add'l)	09/23/10	K		
917. - Spectra Energy Partners LP, PTP (x)					Buy	07/08/10	K		
918.					Buy (add'l)	07/09/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919.					Sold (part)	09/15/10	K		
920. - Sunoco Logistics Partners LP, PTP (x)					Buy	07/08/10	K		
921.					Buy (add'l)	07/09/10	L		
922. - TC Pipeline LP, PTP (x)					Buy	07/08/10	K		
923.					Buy (add'l)	07/09/10	K		
924.					Sold (part)	11/16/10	K	C	
925. - Targa Resources Partners LP, PTP (x)					Buy	07/08/10	K		
926.					Buy (add'l)	07/09/10	K		
927. - Targa Resources PTP (x)					Buy	12/06/10	J		
928. - Weston Gas Partners LP, PTP (x)					Buy	07/08/10	J		
929.					Buy (add'l)	07/09/10	J		
930. - Williams Partners PTP (x)					Buy	07/08/10	K		
931.					Buy (add'l)	07/09/10	L		
932.					Buy (add'l)	09/24/10	K		
933. - Clearwater FL Wtr & Swr bds, municipal bds (x)					Buy	02/02/10	M		
934. - Dallas, TX Wtrwks bds, municipal bds (x)					Buy	06/16/10	M		
935.					Sold	09/02/10	M	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. - Florida Hurricane Catastrophe Bds, municipal bds (x)					Buy	05/14/10	M		
937.					Sold	09/10/10	M	C	
938. - Hawaii St bds, municipal bds (x)					Buy	02/10/10	M		
939. - Intermountain Pwr Agy Utah Bds, municipal bds (x)					Buy	02/02/10	M		
940. - Kentucky Commn Agy Fd Rev Bds, municipal bds (x)					Buy	03/15/10	N		
941.					Sold	05/11/10	N	A	
942. - Loudoun Cty VA Bds, municipal bds (x)					Buy	04/14/10	N		
943. - Lower Colo Rvr Auth TX bds, municipal bds (x)					Buy	01/14/10	M		
944.					Sold	05/18/10	M	C	
945. - Lower Maakefield Twp PA Bds, municipal bds (x)					Buy	03/04/10	M		
946. - MA Dept Trans Met Hwy Sys Bd, municipal bds (x)					Buy	05/19/10	M		
947. - MA St Dev Fin Agy Bds, municipal bds (x)					Buy	11/03/10	M		
948. - NM Fin Auth St Trans Rev Bds, municipal bds (x)					Buy	04/20/10	M		
949.					Sold	11/12/10	M	C	
950. - Osceola Cty FL Sales Tax Rev Bds, municipal bds (x)					Buy	04/21/10	N		
951. - TX St Bds, municipal bds (x)					Buy	09/23/10	M		
952. - WI St Trans Rev Bds, municipal bds (x)					Buy	11/23/10	N		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during r				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953. MECM Associates II LLC (closely held)		None	P2	W					
954. - Baupost Value Partners LP II									
955. - Elliott Associates LP									
956. - HighVista I LP									
957. - Penta Asia Long Short Fd Ltd LP									
958. - X Investment Holdings co Ltd LP									
959. - Federated US Teas Cash Rsv money market (mutual fd)					Buy (add'l)	12/31/10	M		
960. Ishares MSCI Brazil Index Fd (mutual fd) (x)	D	Dividend	M	T	Buy	06/11/10	M		
961. First Republic Bank, Bank account (x)	A	Interest	L	T	Open	02/02/10	K		
962. Perry Partners, LP (private equity) (x)	E	Dividend	K	U					See Part VIII
963. Local Motors Inc Ser B pfd, closely held stock (x)		None	M	T	Buy	02/22/10	M		
964. Local Motors Inc Ser C pfd, closely held stock (x)		None	L	T	Buy	11/10/10	L		
965. Webcam Generation Inc Ser A pfd stock, closely held (x)		None	K	T	Buy	07/22/10	L		
966. FHB Fomnation LLC, private equity (x)		None			Buy	12/22/10	M		
967.					Merged (with line 968)	12/29/10	M		See Part VIII
968. Northeast Bancorp (Ticker: NBN - NASDAQ) (x)		None	M	T					See Part VIII
969. Highland Capital Partners VIII, LP (x)		None	K	U	Buy	02/25/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
970.					Buy (add'l)	04/23/10	J		
971.					Buy (add'l)	06/04/10	J		
972.					Buy (add'l)	06/30/10	J		
973.					Buy (add'l)	11/18/10	J		
974.									
975.									
976. Dallas, TX Wtrwks bds, municipal bds (x)	B	Interest			Buy	06/16/10	N		
977.					Sold	09/02/10	N	E	
978. Florida Hurricane Catastrophe Bds, municipal bds (x)	C	Interest			Buy	05/14/10	N		
979.					Sold	09/30/10	N	D	
980. Hawaii Cnty, HI bds, municipal bds (x)	A	Interest	M	T	Buy	07/14/10	M		
981. Lower Colo Rvr Auth TX bds, municipal bds (x)	C	Interest			Buy	01/10/10	N		
982.					Sold	05/18/10	N	D	
983. Lower Maakefield Twp PA Bds, municipal bds (x)	D	Interest	N	T	Buy	03/04/10	N		
984. MA Dept Trans Met Hwy Sys Bd, municipal bds (x)	A	Interest	N	T	Buy	05/19/10	N		
985. MN St Bds, municipal bds (x)		None	M	T	Buy	09/19/10	M		
986. New Jersey St bds, municipal bds (x)	A	Interest	N	T	Buy	10/06/10	M		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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R = Cost (Real Estate Only)
V = Other

S = Assessment
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
987.					Buy (add'l)	10/29/10	N		
988. NM Fin Auth St Trans Rev Bds, municipal bds (x)	C	Interest			Buy	04/20/10	N		
989.					Sold	11/12/10	N	D	
990. TX St Bds, municipal bds (x)		None	N	T	Buy	09/23/10	N		
991. W1 St Trans Rev Bds, municipal bds (x)		None	N	T	Buy	11/23/10	N		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

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K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Postions -

A. Reference is made to Trust #3 and Trust #4 [REDACTED] All assets have been separately reported on the FDR and properly disclosed.

Part VII. Investments and Trusts -

A. Items listed on calendar year 2009 Financial Disclosure Report (FDR) and excluded for calendar year 2010 do not meet the aggregate income, or aggregate value levels outlined in the Financial Disclosure Report Filing Instructions for Judicial Officers and Employees (said instructions referencing Sections 102(a)(3) and 102(a)(1)(B)).

B. 2010 FDR, Part VII, notations -

In Column D(1) Type: "Open" has been used when financial accounts were opened (e.g., item #961). "Open" has also been used as the "Type" for all in-kind security contributions.

In Column D(1) Type: "Closed" has been used when a separate financial account was closed (e.g., item #7).

Item # 81: SPDR Gold Trust shares were gifted by [REDACTED] to Trust #5, a private foundation created [REDACTED]

Item # 155: MFB Northern municipal money market daily detail activity was not available at filing. The net increase from buys and sells has been reported to correctly reflect the actual "gross value" (column C(1)) as of 12/31/10.

Item # 176: Return of investment was received in 2010 and therefore column C(1) has been adjusted accordingly to reflect a decrease in value.

Item # 966 & 968: FHB Formation LLC merged with Northeast Bankcorp effective 12/29/10 resulting in the receipt of Northeast Bancorp stock reported as new item #968.

Item # 962: Perry Partners LP is a private equity investment that was previously reported as sold. During calendar 2010 Perry Partners LP advised that an interest is still held and therefore reportable on [REDACTED] 2010 FDR.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ PATTI B. SARIS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544