

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Stearns, Richard G	2. Court or Organization U.S.D.C. Massachusetts	3. Date of Report 3/15/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Active)	5. ReportType (check appropriate type) ☐ Nomination, Date 11/24/1993 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. District Court 1 Courthouse Way Boston, MA 02109	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Massachusetts Prosecutor's Guide
2. Trustee (non-compensated)	Vincent Memorial Hospital (Massachusetts General Hospital - Boston)
3.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1. 2003

Massachusetts Prosecutor's Guide (Book Royalties)

\$12,108.00

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1. George Washington Law School

2/06/03 Mock Trial (transportation, lunch)

2. Foundation for Research on Economics & the Environment

2/26/03 - 2/28/03 - Salt Lake City, Utah Seminar (transportation, filer; food and lodging, filer)

3. Foundation for Research on Economics & the Environment

8/5/03 - 8/10/03 - Bozeman, Montana Seminar (transportation, food & lodging)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. TECO Common	C	Dividend	K	T	None				
2. Westar Energy Common	A	Dividend	K	T	None				
3. First Energy Common	A	Dividend	J	T	None				
4. Fleet Bank Common	C	Dividend	M	T	None				
5. Mass. Pros. Guide	B	Dividend	M	T	None				
6. Fleet Bank MMF	A	Interest	K	T	None				
7. Fleet Bank MMF Savings	A	Interest	J	T	None				
8. Citizens Bank Keogh 401K	B	Interest	L	T	None				
9. Fidelity Growth & Inc. IRA	C	Dividend	N	T	None				
10. State St. Bank 401K	A	Interest	N	T	None				
11. Fidelity Growth & Inc. IRA	A	Dividend	L	T	None				
12. Fidelity Equity Inc.II IRA	A	Dividend	L	T	None				
13. Fidelity Utilities Fund IRA	A	Dividend	K	T	None				
14. Fleet Bank IRA	B	Interest	L	T	None				
15. Rhumblin, Inc. (Boston)	A	None	J	U	None				
16. Fidelity Cash Reserves	A	Dividend	J	T	None				
17. Morgan Stanley	A	Dividend	K	T	None				
18. Citizen's Bank Check	A	Interest	J	T	None				

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
V = Other W = Estimated

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19. Fidelity Government Bond IRA	A	Dividend	J	T	None				
20. Sun Micro Common	A	None	J	T	None				
21. Fleet Bank Check	A	Interest	J	T	None				
22. Citizens Bank Savings	A	Interest	J	T	None				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

VII. Line 5. The Massachusetts Prosecutor's Guide portfolio is invested in Fidelity Asset Manager (L), Fidelity Growth & Income (L), and Fidelity Spartan U.S. Treasury (K), all mutual funds.

VII. Line 8. This Citizens Bank Keogh Account is invested in a Certificate of Deposit.

VII. Line 10. This 401K Retirement Plan is invested in State Street Bank stock and money market instruments.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

3-15-04.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544