

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SLOVITER, DOLORES K.	2. Court or Organization United States Court of Appeals for the Third Cir.	3. Date of Report 5/15/09
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/08° 12/31/08
7. Chambers or Office Address 18614 United States Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust # 1
2.		
3.		
4.		
5.		

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

DOLORES K. SLOVITER

Date of Report

5/15/09

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	Annual Salary - 2008	Temple University, Phila., PA	Salary \$10,392 for 2008
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. <u>SEE ATTACHED PAGES 1</u> to 10									
2.									
3.									
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS AND TRUSTS -- income, value, transactions

A.	B.	C.	D.						
Description of Assets	Income during period		Gross Value at end of period		Transactions during period				
						<u>If not exempt from disclosure</u>			
Place "(X)" after each asset exempt prior disclosure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
AT&T - com. stock	D	Div.	M	T					
Beneficial Mutual Bancorp - com. stock	None		J	T					
Comcast CMCSA - com. stock (held as Trustee)	None			T	Distribu- ted to beneficiary as per trust	4/29	J	None	
Exelon - com. stock	C	Div.	M	T					
GE - com. stock	C	Div.	K	T					
IT&T Industries - com. stock	A	Div.	J	T					

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 C = \$2,501 to \$5,000 D = \$5,001 to \$15,000 E = \$15,001 to \$50,000
 F = \$50,001 to \$100,000 G = \$100,001 to \$1 million H = over \$1,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001 to \$50,000
 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000 N = \$250,001 to \$500,000
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A.	B.	C.	D.						
Description of Assets	Income during period	Gross Value at end of period	Transactions during period						
Place "(X)" after each asset exempt prior dis- closure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	<u>If not exempt from disclosure</u>			
						Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
HanesBrand, Inc. - com. stock	None		J	T					
Hartford Fin. Services - com. stock	A	Div.	J	T					
PSEG	A	Div.	M	T		2/04 stock split			
Rayonier - com. stock	A	Div.	J	T					
Sara Lee - com. stock	A	Div.	J	T					

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Vanguard Mun. Bond Funds	Loss	Div. & cap. loss	P	T	see VIII				
Vanguard Wind- sor II	E	Div.	N	T	see VIII				
Vanguard Star Fund	C	Div. & cap. gain	K	T					
Vanguard 500 Index Fund	C	Div.	L	T					
Allegheny Higher Ed. 4.5%, '22	A	Div.	J	T					

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Bucks County W & S, 5%, ‘22	A	Int.	J	T					
Chambersburg S.D., 4.6%, ‘25	B	Int.	K	T					
Dauphin Cty., 4.4%, ‘19	A	Int.	J	T					
Dauphin Cty., 4.5%, ‘26	A	Int.	J	T					
Del. Pa. & NJ Riv., 5.4%, ‘14	A	Int.		T	Redeem	1/02	J	None	

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						Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
Del. Port Auth. - N.J. Port Auth., 5.2%, '25	None		K	T	Purch.	10/09			
Greater Johns- town, 5%, '19	A	Int.	J	T					
Lower Merion S.D., 4.8%, '25	A	Int.	K	T					
Lower Merion Twp. 5.125%, '18	B	Int.		T	Redeem	5/15	K	None	
Muhlenberg, PA, 5%, '23	A	Int.	K	T					

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Northeastern York, 4.5%, '26	B	Int.	K	T					
Pa. St. Higher Ed. Fac. Auth., 5.25%, '10	A	Int.	J	T					
Penna. Inter- govt'l, 5 1/4%, '17	A	Int.	J	T					
Penna., 5 3/4%, '18	B	Int.	K	T					
Pa. Higher Ed., 6 1/8%, '10	A	Int.	J	T					

-
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Pa. Turnpike, 5% '23	A	Int.	J	T					
Pa. Turnpike, 5%, '23	A	Int.	J	T					
Pa. 4th Series, 4.5%, '24	A	Int.	K	T					
Pa. Turnpike, 4.75%, '27	A	Int.	J	T					
Pa. First Series B, 4.375%, '27	B	Int	K	T					

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Pa. St. Pub. Sch. Bldg., 4.3%, '27	A	Int.	J	T					
Pa. First Series B/E, 4.375, '28	B	Int.	K	T	Purch.	5/21			
Phila. Parking, 5.125%, '24	A	Int.	J	T					
Pittsburgh, 5%, '21	A	Int. & cap. gain		T	Redeem	9/2	J		
Reading, 4.45% '27	None		K	T	Purch.	7/18			

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Reading S.D., 4.75%, '38	None		K	T	Purch.	9/8			
Somerset S.D., 4.2%, '22	A	Int.	K	T					
Southeast Delco S.D., 4.30%, '26	A	Int.	K	T					
Springfield, 5.2%, '17	A	Int.	J	T					
Susquehanna Twp. Auth. Pa., 6%, '13	A	Int.	J	T					

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Upper Dublin, 5%, '14	A	Int.		T	Redeem	6/30	J	None	
Wachovia Accts.	A	Int.	K	T					
Temple University Charitable Gift Annuity	A	Int.	K	T					
Univ. of Pennsylvania Charitable Gift Annuity	A	Int.	K	T	Purch.	6/5			

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The Vanguard Municipal Bond Funds in VII have checking account features and were used for various transactions throughout the year.

My prior listings in VII of Greater Johnstown, 5% bond noted the maturity date as '08. I have just noticed that '08 was the first call date and have corrected the maturity date to '19.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544