

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BREEN, J. DANIEL	2. Court or Organization WESTERN DISTRICT OF TENNESSEE	3. Date of Report 04/25/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE/ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 444 UNITED STATES COURTHOUSE 111 SOUTH HIGHLAND AVENUE JACKSON, TN 38301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Committee	National Conference of Federal Trial Judges
2. Board of Directors	Federal Judges' Association
3. Trustee	Trust #1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Breen, J. Daniel

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	2/4/2010-2/7/2010	Orlando, FL	National Conference of Federal Trial Judges	travel, lodging and meals
2.	Federal Judges Association	5/22/2010-5/24/2010	Washington, DC	Meeting	travel, lodging and meals
3.	American Bar Association	9/22/10-9/26/2010	Chicago	Meeting	travel, lodging and meals
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bancorp South	A	Interest	K	T					
2. IRA - Fidelity Mag.	B	Dividend	L	T					
3. CMA ACCT. - MERRILL LYNCH									
4. Idaho Power Co. CS (now known as Idacorp, Inc.)	A	Dividend	J	T					
5. Procter & Gamble CS	A	Dividend	K	T					
6. Chicago, IL Brd. Ed. Bonds	A	Interest			Sold	06/03/10	J	A	
7. AT&T CS	A	Dividend	J	T					
8. Coca-Cola CS	A	Dividend	J	T					
9. E.I. Dupont CS	A	Dividend	J	T					
10. Johnson & Johnson CS	A	Dividend	J	T					
11. Waddell & Reed Acct									
12. Waddell & Reed Strategy Port	A	Dividend	J	T					
13. Waddell & Reed Balanced Port	A	Dividend	J	T					
14. Waddell & Reed Bond Port	A	Dividend	J	T					
15. Waddell & Reed Core Equity	A	Dividend	J	T					
16. Waddell & Reed Growth Port	A	Dividend	J	T					
17. Ivy VIP Mny Mkt	A	Interest			Sold	12/10/10	K	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Waddell & Reed High Income Port	A	Dividend	J	T					
19. Waddell & Reed Science & Tech Port	A	Dividend	J	T					
20. Waddell & Reed Small Cap Growth Port	A	Dividend	J	T					
21. Waddell & Reed Trgt. Fd. Intl. Growth Port	A	Dividend	J	T					
22. Waddell & Reed Value Port	A	Dividend	J	T					
23. Waddell & Reed Global Ntrl. Res. Port	A	Dividend	J	T					
24. Waddell & Reed Mid Cap Gwth Port	A	Dividend	J	T					
25. Waddell & Reed Target Microcap Gwth	A	Dividend	J	T					
26. Waddell & Reed Target Energy Port	A	Dividend	J	T					
27. ING Acct.									
28. ING Am. Fds. Gwth-Inc. Port	A	Dividend	J	T					
29. Fidelity Contrafund	A	Dividend	J	T					
30. ING FMR SM Diversified Midcap	A	Dividend	J	T					
31. ING JP Morgan Small Cap Cove Eq. Port	A	Dividend	J	T					
32. ING An. Fds. Int'l Port	A	Dividend	J	T					
33. IRA ACCOUNT - MERRILL LYNCH									
34. American Capital Income	A	Dividend			Sold	06/03/10	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A=\$1,000 or less

F=\$50,001 - \$100,000

J=\$15,000 or less

N=\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$50,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

H2=More than \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Allianz NFJ Int'l Value Fund	A	Dividend	J	T	Buy	06/03/10	J		
36. American New Perspective	A	Dividend	J	T					
37. American Fundamental Investors	A	Dividend	J	T	Buy	06/03/10	J		
38. American Short Term Bond	A	Dividend	J	T					
39. Coca Cola - CS	A	Dividend	J	T					
40. Blackrock Low Duration Bd. Fd.	A	Dividend	K	T					
41. Fidelity Advisor New Insights Fd.	A	Dividend	J	T	Buy	10/25/10	J		
42. Duke Energy-CS	A	Dividend	J	T					
43. Exxon Mobil - CS	A	Dividend	J	T					
44. General Electric - CS	A	Dividend	J	T					
45. Home Depot - CS	A	Dividend	J	T					
46. Household Int'l - CS	A	Dividend	J	T					
47. Johnson & Johnson - CS	A	Dividend	J	T					
48. J. P. Morgan Chase & Co.	A	Dividend	J	T					
49. McDonalds - CS	A	Dividend	J	T					
50. Loomis Sayles Strategic Inc. Fd.	A	Dividend	J	T					
51. Microsoft Corp. - CS	A	Dividend	J	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Fidelity Advisor Mid-Cap Fd.	A	Dividend	J	T					
53. Spectra Energy Corp. CS	A	Dividend	J	T					
54. Wal-Mart Stores - CS	A	Dividend	J	T					
55. Pimco Total Return Fd.	A	Dividend			Sold	10/25/10	J	A	
56. Blackrock Global Alloc. Fd.	A	Dividend	J	T					
57. IRA- MERRILL LYNCH									
58. Sallie Mae Bank - CD	A	Interest	J	T					
59. DN Southside Bank - CD	A	Interest			Redeemed	10/15/10	J	A	
60. EKSPORT STARS SPX	A	Interest	J	T	Buy	10/28/10	J		
61. Allianz NFJ Int'l Value Fd.	A	Dividend	J	T	Buy	06/03/10	J		
62. American New Perspective Fd.	A	Dividend	J	T					
63. American Fundamental Investors	A	Dividend	J	T	Buy	06/03/10	J		
64. American Capital Income	A	Dividend			Sold	06/03/10	J	A	
65. American Short Term Bond Fd.	A	Dividend	J	T					
66. Blackrock Low Duration Bond Fd.	A	Dividend	J	T					
67. Fidelity Advisor	A	Dividend	J	T					
68. Eaton Vance Low Duration Fd.	A	Dividend			Sold	10/28/10	J	A	

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Blackrock Global Alloc. Fd.	A	Dividend	K	T					
70.					Buy	10/28/10	J		
71. Franklin Income Fd.	A	Dividend			Sold	06/03/10	K	A	
72. Sallie Mae Bank CD	A	Interest	J	T					
73. John Hancock Balanced	A	Dividend	J	T					
74. DN Southside Bank CD	A	Interest			Redeemed	06/03/10	J	A	
75. Am. Short Term Bd. Fd.	A	Dividend	J	T					
76. Primco Total Return Fd.	A	Dividend			Sold	10/25/10	J	A	
77. Blackrock Low Duration Bd. Fd.	A	Dividend	J	T					
78. Fidelity Advisor New Insights Fd.	A	Dividend	J	T	Buy	10/25/10	J		
79. Goldman Sachs Grwth Fd.	A	Dividend	J	T					
80. Eaton Vance Large Cap Value Fd.	A	Dividend			Sold	10/28/10	J	A	
81. Hartford Cap Apprec. Fd	A	Dividend			Sold	10/28/10	J	A	
82. Loomis Sayles Global Mkts Fd.	A	Dividend	J	T	Buy	10/28/10	J		
83. Loomis Sayles Strag. Inc. Fd.	A	Dividend	K	T					
84. Merrill Lynch Money Market Fd.	A	Interest	J	T					
85. Merrill Lynch Retirement Reserves	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

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K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

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H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

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P2 = \$5,000,001 - \$25,000,000

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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BREEN, J. DANIEL

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Procter & Gamble CS	A	Dividend	J	T					
87. Waddell & Reed Advisors Small Cap	A	Dividend	J	T					
88. Waddell & Reed Accumulative	A	Dividend	J	T					
89. Waddell & Reed Core Investment	A	Dividend	J	T					
90. Waddell & Reed Advisors Cash Managment	A	Dividend	J	T					
91. BanCorp South CD	A	Interest	J	T					
92. Ivy VIP Asset Strategy	A	Dividend	K	T	Sold (part)	01/20/10	J	A	
93. Ivy VIP Balanced Fd.	A	Dividend	J	T	Sold (part)	01/20/10	J	A	
94. Ivy Energy Fd.	A	Dividend	J	T	Sold (part)	01/20/10	J	A	
95. Ivy Global Nat'l Res. Fd.	A	Dividend	K	T	Sold (part)	01/20/10	J	A	
96. Ivy Intl Grwth Fd.	A	Dividend	J	T	Sold (part)	01/20/10	J	A	
97. Ivy Sci NC Tech Fd.	A	Dividend	J	T	Sold (part)	01/20/10	J	A	
98.									
99. TRUST #1 (lines 101-128) (x)									
100. - ML Bank Deposit Program	A	Interest	K	T					
101. - CD GE Capital Financial	A	Interest			Redeemed	06/25/10	J	A	
102. - RBC ARN SPX	A	Dividend			Sold	07/27/10	K	B	

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103. MUTUAL FUNDS									
104. - Blackrock Cap &Inc. Strat	A	Dividend			Sold	07/27/10	J	A	
105. Columbia Acorn Fd.	A	Dividend	J	T	Buy	07/27/10	J		
106. - Eaton Vance Large Cap	A	Dividend	K	T					
107. - First Eagle Global Fd.	A	Dividend	K	T					
108. - Hartford Mid Cap FC CL C	A	Dividend			Sold	07/27/10	J	A	
109. - Ivy Asset Strategy	A	Dividend	K	T	Sold (part)	07/27/10	K	A	
110. - Janus Forty Fd	A	Dividend	K	T					
111. John Hancock Strategic Incm.	A	Dividend	K	T	Buy	07/27/10	K		
112. - Loomis Sayles Strategic	A	Dividend			Sold	07/27/10	K	A	
113. - Lord Abbett National T/F	B	Dividend	K	T	Sold (part)	07/27/10	K	A	
114. - Nuveen High Yield Muni Bd. Fd.	B	Dividend	K	T	Sold (part)	07/27/10	J	A	
115. - Nuveen Trdwinds Value Fd.	A	Dividend	J	T	Sold (part)	07/27/10	J	A	
116. Oppenheimer Developing Mkts. Fd.	A	Dividend	J	T	Buy	07/27/10	J		
117. Pimco Global Multi Asset Fd.	A	Dividend	J	T	Buy	07/27/10	J		
118. - Principal High Yield	A	Dividend			Sold	07/27/10	J	A	
119. Templeton Global Bond Fd.	A	Dividend	K	T	Buy	07/27/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Bac ARn Rogrer	A	Dividend			Redeemed	09/07/10	J	A	
121. Eksport ARN Gold Spot	A	Dividend	J	T	Buy	07/29/10	J		
122. SEK ARN RICIGLER	A	Dividend	J	T	Buy	08/27/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

BREEN, J. DANIEL

Date of Report

04/25/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. DANIEL BREEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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