

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VRATIL, KATHRYN H	2. Court or Organization DISTRICT OF KANSAS	3. Date of Report 03/25/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address UNITED STATES DISTRICT COURT 500 STATE AVENUE, SUITE 511 KANSAS CITY, KANSAS 66101-2435	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	AT&T pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank	Student Loans	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Life Ins. Policies	A	Dividend	J	T					
2. Massachusetts Mutual Life Ins. Policy	C	Dividend	L	T					
3. Columbia Acorn Fund (IRA)	C	Dividend	K	T	Buy	06-05	J		
4.					Buy	12-11	J		
5. Schwab Money Market Fund	A	Dividend			Sell-all	04-18	J		
6. AmCent Income & Growth	D	Dividend	K	T	Buy	03-06	J		
7.					Buy	03-13	J		
8.					Buy	06-12	J		
9.					Sell-pt	09-05	J	B	
10.					Buy	09-11	J		
11.					Buy	12-18	J		
12.					Buy	12-28	J		
13. American Century Income & Growth	A	Dividend	J	T					
14. Columbia Acorn Fund	A	Dividend			Buy	06-05	J		
15.					Sell-all	10-04	J	C	
16. Banc One Corp Notes	B	Interest	K	T					
17. GNMA PL	A	Interest	J	T	Repayment	01-16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18.					Repayment	02-15	J		
19.					Repayment	03-15	J		
20.					Repayment	04-16	J		
21.					Repayment	05-15	J		
22.					Repayment	06-15	J		
23.					Repayment	07-16	J		
24.					Repayment	08-15	J		
25.					Repayment	09-15	J		
26.					Repayment	10-15	J		
27.					Repayment	11-15	J		
28.					Repayment	12-15	J		
29. Julius Baer International Equity Fund	D	Dividend	K	T	Buy	12-27	J		
30. Royce Low Priced Stock Fund	B	Dividend	J	T	Buy	12-07	J		
31. Schwab International Index Fund	A	Dividend	K	T					
32. Orthologic Corp. (IRA)		None	J	T					
33. California Amplifier (IRA)		None	J	T					
34. Schwab Muni Money Fund	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. FAM Value Fund	A	Dividend	J	T	Buy	12-27	J		
36. Julius Baer Int'l Equity Fund II CL A	A	Dividend	J	T	Buy	12-27	J		
37. Schwab Yield Plus Inv Shares	A	Dividend	J	T	Buy	01-31	J		
38.					Buy	02-28	J		
39.					Buy	03-30	J		
40.					Buy	04-30	J		
41.					Buy	05-31	J		
42.					Buy	06-29	J		
43.					Buy	07-31	J		
44.					Buy	08-31	J		
45.					Buy	09-28	J		
46.					Buy	10-31	J		
47.					Buy	11-30	J		
48.					Buy	12-31	J		
49. Schwab Yield Plus Inv	A	Dividend			Buy	01-31	J		
50.					Buy	02-28	J		
51.					Buy	03-30	J		

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52.					Buy	04-30	J		
53.					Buy	05-31	J		
54.					Buy	06-29	J		
55.					Buy	07-31	J		
56.					Sell-all	08-22	K		
57. Vanguard Total Stock Mkt Stk Mkt Vipers Index Fund	A	Dividend	K	T					
58. Excelsior Value and Restructuring Fund (IRA)	B	Dividend	L	T	Buy	04-10	J		
59.					Buy	06-26	J		
60.					Buy	09-25	J		
61.					Buy	12-11	J		
62.					Buy	12-18	J		
63. iShares MSCI Emrg Mkt Fd Emerging Markets Indx (IRA)	A	Dividend	K	T	Buy	01-30	J		
64. Janus Growth & Income Fd (IRA)	D	Dividend	L	T	Buy	03-30	J		
65.					Buy	06-29	J		
66.					Buy	09-28	J		
67.					Buy	12-14	J		
68. Laudus Intl Mktmstrs Fund Select Shares (IRA)	D	Dividend	L	T	Buy	12-17	J		

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69. NB Intl Fund Inv Class Neuberger Berman (IRA)	C	Dividend	K	T	Buy	12-17	J		
70. Northern Small Cap Value Fund (IRA)	C	Dividend	L	T	Buy	12-19	J		
71. Schwab Premier Equity Select Shares (IRA)	D	Dividend	M	T	Buy	12-20	J		
72. William Blair Small Cap Growth Fund Class N (IRA)	B	Dividend	K	T	Buy	12-19	J		
73. MB Financial Bk CD due 07/27/07	A	Dividend			Matured	07-27	K		
74. Schwab Cash Reserves	A	Dividend	J	T					
75. Blackrock Health Sciences Fund Inv CL A (IRA)	D	Dividend	K	T	Buy	12-06	J		
76. FBR Small Cap Financial Fund (IRA)		None			Sell-all	05-15	K		
77. Schwab Cash Reserves (IRA)	A	Dividend	J	T					
78. Transamerica Premier Equity Fund Inv CL (IRA)	A	Dividend	K	T	Buy	12-28	J		
79. American Century Equity Income Fund Inv (IRA)	D	Dividend	L	T	Buy	03-13	J		
80.					Buy	05-16	K		
81.					Buy	06-12	J		
82.					Buy	09-11	J		
83.					Buy	12-11	J		
84.					Buy	12-28	J		
85. Janus Overseas Fund (IRA)	C	Dividend	L	T	Buy	12-14	J		

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86. Julius Baer Intl Equity Fund II CL A (IRA)	B	Dividend	K	T	Buy	12-27	J		
87. Schwab Yield Plus Inv (IRA)	A	Dividend	J	T	Buy	01-31	J		
88.					Buy	02-28	J		
89.					Buy	03-30	J		
90.					Buy	04-30	J		
91.					Buy	05-31	J		
92.					Buy	06-29	J		
93.					Buy	07-31	J		
94.					Buy	08-31	J		
95.					Buy	09-28	J		
96.					Buy	10-31	J		
97.					Buy	11-30	J		
98.					Buy	12-31	J		
99. Schwab 1 Cash Acct	A	Interest	J	T					
100. Accessor Small to Mid Cap Fund Adv CL	A	Dividend	J	T	Buy	06-26	J		
101.					Buy	12-26	J		
102. Excelsior Value and Restructuring Fund	A	Dividend	K	T	Buy	04-10	J		

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103.					Buy	06-26	J		
104.					Buy	09-25	J		
105.					Buy	12-11	J		
106.					Buy	12-18	J		
107. Janus Growth & Income Fund	B	Dividend	K	T	Buy	03-30	J		
108.					Buy	06-29	J		
109.					Buy	09-28	J		
110.					Buy	12-14	J		
111. NB Guardian Fd Investor Class Neuberger Berman	B	Dividend	K	T	Buy	12-17	J		
112. Schwab Health Care Fund	A	Dividend	J	T	Buy	12-20	J		
113. Schwab Cash Reserves	A	Dividend	K	T					
114. Schwab Yield Plus Select Shares	C	Dividend	L	T	Buy	01-31	J		
115.					Buy	02-28	J		
116.					Buy	03-30	J		
117.					Sell-pt	04-03	J		
118.					Buy	05-31	J		
119.					Buy	06-29	J		-

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120.					Buy	07-31	J		
121.					Buy	08-31	J		
122.					Buy	09-28	J		
123.					Buy	10-31	J		
124.					Buy	11-30	J		
125.					Buy	12-31	J		
126. Citigrp Cap XVI 6.45% 66 due 12/31/66	A	Interest	K	T					
127. Vanguard Total Stock Mkt Stk Mkt Vipers	B	Dividend	K	T	Buy	01-03	J		
128.					Buy	03-30	J		
129.					Sell-pt	05-08	J	A	
130.					Sell-pt	06-27	J	A	
131.					Sell-pt	06-27	J	A	
132.					Buy	06-29	J		
133.					Buy	07-02	J		
134.					Buy	09-28	J		
135.					Sell-pt	10-05	J	A	
136.					- Buy	12-17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VRATIL, KATHRYN H

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Schwab Cash Reserves Sweep Shares	A	Dividend	J	T	Buy	04-05	J		
138. Schwab Yield Plus Inv Shares	A	Dividend	J	T	Buy	09-05	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note to Section I:

During 2007, Trust #1 included the assets listed on lines 5, 6, 14, 49, 57 and 137.

On line 82 of my 2006 report, I incorrectly stated that I sold all shares of Vanguard Total Stock Mkt on 01-27-06. In fact, I only sold part of my shares. The entry for 01-27-06 should have been "sell-pt, 01-27, J, A." Columns C(1) and C(2) should have been K and T.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544