

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pallmeyer, Rebecca R.	2. Court or Organization U.S. District Court	3. Date of Report 05/11/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/1/2009 to 12/31/2009
7. Chambers or Office Address 219 South Dearborn Street Room 2178 Chicago, Illinois 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Pallmeyer, Rebecca R.

Date of Report

05/11/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	U.S. District Judge Salary	
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Northwestern University Salary
2. 2/28/09	Royalty: John Wiley & Sons
3. 3/30/09	Honorarium: Society for Personality Assessment (\$900.00)
4. 4/16/09	Honorarium: Northwestern University Alumni Assoc. (\$400.00)
5. 4/16/09	Royalty: American Psychological Association
6. 8/2/09	Honorarium: University of Chicago (\$500.00)
7. 8/31/09	Royalty: John Wiley & Sons
8. 9/30/09	Royalty: Guilford Press
9. 10/06/10	Honorarium: Loyola Academy (\$1,000.00)
10. 11/10/09	Honorarium: University of Chicago (\$200.00)
11. 12/23/09	Honorarium: Institute for Psychoanalysis (\$300.00)
12.	
13.	
14.	
15.	

FINANCIAL DISCLOSURE REPORT
Page 3 of 7

Name of Person Reporting
Pallmeyer, Rebecca R.

Date of Report
05/11/2010

16.

17.

IV. REIMBURSEMENTS —*transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Seventh Circuit Bar Association	5/17/09 - 5/19/09	Indianapolis, IN	Seventh Circuit Meeting	transportation, lodging, meals
2.	Amerian Law Institute-American Bar Association	7/22/09 - 7/25/09	Santa Fe, NM	Panel Member - Seminar	transportation, meals
3.	American Conference Institute	10/19/09 - 10/20/09	New York City, NY	Panel Member - Seminar	transportation, meals
4.	American Bar Association	11/05/09 - 11/6/09	Washington, DC	Panel Member - Seminar	transportation, lodging, meals
5.					

FINANCIAL DISCLOSURE REPORT
Page 4 of 7

Name of Person Reporting
Pallmeyer, Rebecca R.

Date of Report
05/11/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Pallmeyer, Rebecca R.

Date of Report

05/11/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking Account: Chase, Evanston, IL	A	Interest	J	T					
2. CD: Chase, Evanston, IL	A	Interest	J	T					
3. Money Market Accounts: Chase, Evanston, IL	A	Interest	M	T					
4. TIAA-CREF		None	O	T					
5. Metropolitan Life	A	Dividend	J	T					
6. Eaton Vance Tax Mgmt Emerging Markets Instl.	A	Dividend	J	T					
7. Fidelity Advisor Leveraged Co. Stk. Cl. I	A	Dividend	J	T					
8. Harbor Bonds Inst.	C	Dividend	L	T					
9. IShares S & P 500 Index Fund	A	Dividend	K	T					
10. IShares TR MSCI Eafe. Index Fund	A	Dividend	J	T					
11. Market Vectors ETF TR. Global Alternative Energy	A	Dividend	J	T					
12. Keeley Small Cap Value Class A	A	Dividend	K	T					
13. American Funds New World Fund A	A	Dividend	K	T					
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 6 of 7

Name of Person Reporting	Date of Report
Pallmeyer, Rebecca R.	05/11/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Pallmeyer, Rebecca R.

Date of Report

05/11/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544