

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) King, Robert B	2. Court or Organization U.S. Court of Appeals, 4th Cir	3. Date of Report 05/13/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5. Report Type (check appropriate type) ☒ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address 300 Virginia Street, East Suite 7602 Charleston, WV 25301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Trustee

Children Education Trust, United National Bank (corporate Trustee),
Charleston, WV

2.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

RECEIVED
MAY 14 12 45
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

King, Robert B

Date of Report

05/13/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(Yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2003

CamCare Health Education & Research Institute, Inc. (C.H.E.R.I., Inc)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

King, Robert B

Date of Report

05/13/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. CitiMortgage, Inc.

Real Estate Loan

L

FINANCIAL DISCLOSURE REPORT

Page 1 of 4

Name of Person Reporting
King, Robert B

Date of Report
05/13/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. TimeWarner Inc (via AOL TimeWarner Inc.) (com)		None	M	T	Partial Sale	6/30	K	B	
2. TimeWarner Inc (via AOL TimeWarner Inc.) (com)					Partial Sale	10/2	K	B	
3. Time Warner Inc. (via AOL TimeWarner) (com)					Buy	12/18	J		
4. Cisco Systems Inc (com)		None	M	T	Buy	4/15	J		
5. Cisco Systems Inc (com)					Partial Sale	4/28	J	A	
6. Cisco Systems Inc (com)					Partial Sale	6/16	J	D	
7. Cisco Systems Inc. (com)					Partial Sale	6/30	K	D	
8. Cisco Systems Inc (com)					Buy	12/18	J		
9. Dell Computer Corp (com)		None	K	T	Buy	12/18	J		
10. Duke Energy Corp (Pfd)	A	Dividend	K	T	Buy	1/13	K		
11. Duke Energy Corp (Pfd)					Sold	5/27	J		
12. Duke Energy Corp (com)	A	Dividend	K	T	Buy	3/27	K		
13. Duke Energy Corp (com)					Sold	5/27	K	C	
14. Gillette Co. (com)	A	Dividend	L	T	Buy	10/02	K		
15. Gillette Co. (com)					Buy	12/18	K		
16. Intel Corp (com)	A	Dividend	L	T	Buy	4/01	K		
17. Intel Corp. (com)					Partial Sale	4/24	K	B	
18. Intel Corp. (com)					Partial Sale	6/12	J	D	

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimator

FINANCIAL DISCLOSURE REPORT

Page 2 of 4

Name of Person Reporting

King, Robert B

Date of Report

05/13/2004

VI. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)		(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Microsoft Corp (com)	A	Dividend	M	T	Buy	1/29	J		
20. Microsoft Corp. (com)					Buy	4/15	J		
21. Microsoft Corp. (com)					Buy	5/27	K		
22. Microsoft Corp. (com)					Buy	10/2	J		
23. Microsoft Corp. (com)					Buy	12/18	J		
24. Microsoft Corp. (com)					Partial Sale	6/23	K	D	
25. Microsoft Corp. (com)					Partial Sale	6/30	K	B	
26. Microsoft Corp. (com)					Partial Sale	8/28	J		
27. Marathon Oil Corp. (com)	A	Dividend	K	T	Buy	4/30	K		
28. Marathon Oil Corp. (com)					Sold	10/02	K	D	
29. RF Micro Devices Inc. (com)		None	K	T	Buy	5/20	J		
30. RF Micro Devices Inc. (com)					Sold	8/28	J		
31. RF Micro Devices Inc. (com)					Sold	11/21	J		
32. RF Micro Devices Inc. (com)					Buy	12/18	K		
33. Sun Micro Systems Inc. (com)		None	L	T					
34. Wells Fargo & Co. (com)	B	Dividend	L	T	Buy	12/18	L		
35. Yahoo Inc. (com)		None	K	T	Partial Sale	6/16	J	D	
36. Yahoo Inc. (com)					Buy	12/18	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D5)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Dividends	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 3 of 4

Name of Person Reporting
King, Robert B

Date of Report
05/13/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. Morgan Stanley Dean Witter & Co. (com)	C	Dividend	M	T	Buy	1/29	K		
38. Morgan Stanley Dean Witter & Co. (com)					Partial Sale	6/16	J	A	
39. Morgan Stanley Dean Witter & Co. (com)					Partial Sale	10/2	J	D	
40. Morgan Stanley Dean Witter & Co. (com)					Buy	12/18	K		
41. Starbucks (com)		None	J	T	Buy	12/29	J		
42. TimeWarner, Inc. Debs - Reg 8.18% int. Mat. 8/15/2007	A	Interest	J	T	Buy	12/17	D		
43. TimeWarner Ent.Co. & LP Sr. Notes 8.75% Mat. 10/01/2012	A	Interest	J	T	Sold	2/26	J	A	
44. AOL TimeWarner, Inc. (com)		None	J	T					
45. Cisco Systems, Inc. (com)		None	J	T					
46. Dell Computer Corp. (com)		None	J	T					
47. E.I. DuPont De Nemours & Co. (com)	A	Dividend	J	T					
48. Federal Home Loan Corp. (com)	A	Dividend	J	T					
49. Medco Health Solutions (Acquired Merck & Co. on 8/19) (com)	A	Dividend	J	T	Sold	10/3	J	A	
50. Oil and Gas Interests, Tyler and other counties, WV:									
51. a. East Resources Inc.	B	Dividend	J	W					
52. b. Ergon Oil Purchasing	A	Dividend	J	W					
53. c. Dominion Appalachian Dev. Inc.	A	Dividend	J	W					
54. [REDACTED] Children Education Trust (as follows):	B	Interest/Div	L	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D5)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 4 of 4

Name of Person Reporting

King, Robert B

Date of Report

05/13/2004

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-E)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- E)	(5) Identity of buyer/seller (if private transaction)
55. a. Fidelity Advisor Gov. Investment 167									
56. b. Fidelity Advisor Intermediate Bond 287									
57. c. UNB Discretionary Common Trust Fund									
58. d. UNB Liquid Asset Fund									
59. e. Primco Total Return Fund									
60. f. S&P Midcap 400 Dep Recpts U/T									
61. g. Note from Beneficiary dated 1/1/99									
62. h. Note from Beneficiary dated 6/6/00									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P5 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C3)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

King, Robert B

Date of Report

05/13/2004

VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

King, Robert B

Date of Report

05/13/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

13 May 07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544