

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

1. Person Reporting (Last name, first, middle initial) Lay, Donald P.		2. Court or Organization United States Court of Appeals for the 8th Circuit		3. Date of Report 5-5-2005
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Senior Circuit Judge	5. Report type (check appropriate type) ___ Nomination, Date _____ ___ Initial ___ Annual ___ Final		6. Reporting Period 1-1-2004 to 12-31-2004	
7. Chambers or Office Address 316 N. Robert St., Room 560 St. Paul, MN 55101		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☒ NONE (No reportable positions.)

1		
2		
3		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements.)

1		
2		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

1		\$
2		\$
3		\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ NONE (No reportable non-investment income.)

1		
2		

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IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)SOURCEDESCRIPTION

☐ **NONE** (No such reportable reimbursements.)

1 Equal Employment Opportunity Commission — speak at seminar in Washington, D.C.
expenses paid [REDACTED]

2

3

4

5

6

7

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE

☐ **NONE** (No such reportable gifts.)

1 Minneapolis Club, Minneapolis, MN Honorary Membership \$ 1200

2 \$

3 \$

4 \$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*

☐ **NONE** (No reportable liabilities.)

1 U.S. Bank Personal Loan J

2

3

4

5

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000 P3=25,000,001-50,000,000 P4=50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "X" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div, rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
¹ Eure a Gardens	B	div	P3	W	sell	12/3	P3	F	
² General Mills	A	div	J	W	sell	2/3	J	A	
³ IBM	A	div	J	W	sell	8/19	J	A	
⁴ General Electric	A	div	J	W	sell	8/18	J	A	
⁵ Medtronic	A	div	J	W	sell	4/7	J	A	
⁶ Pfizer	A	div	J	W	sell	12/21	J	A	
⁷ Amerus Group	A	div	J	W	buy	8/18	J	A	
⁸ Bear Stearns	A	div	J	W	buy	8/18	J	A	
⁹ Bear Stearns	A	div	J	W	sell	12/21	J	A	
¹⁰ Best Buy	A	div	J	W	sell	8/10	J	A	
¹¹ Best Buy	A	div	J	W	buy	12/21	J	A	
¹² JP Morgan	A	div	J	W	buy	2/3	J	A	
¹³ JP Morgan	A	div	J	W	sell	10/7	J	A	
¹⁴ Minn. Mut. Inc.	A	div	J	W	buy	12/7	P3	E	
¹⁵ Starbucks	A	div	J	W	sell	9/1	J	A	
¹⁶ 3 M	A	div	J	W	buy	12/21	K	A	
¹⁷									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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Name of Person Reporting

Donald P. Lay

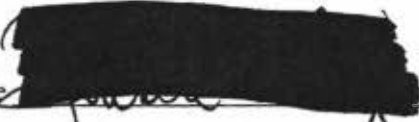
Date of Report

5-5-2005

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it is not applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Date May 5, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS.

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544