

AO 10
 Rev. 1/2007

**FINANCIAL DISCLOSURE REPORT
 FOR CALENDAR YEAR 2006**

1. Person Reporting (last name, first, middle initial) Voorhees, Richard L	2. Court or Organization District Court-North Carolina	3. Date of Report 03/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 250 U.S. Courthouse 401 West Trade Street Charlotte, N. C. 28202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/06-12/06	Glenn Foundation
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Asheville	A	Dividend	J	T					
2. BB&T	A	Dividend			Sold All	11/16	K	A	
3. Bell South(AT&T)	A	Dividend	J	T					
4. Fidelity Cash Reserves	A	Dividend	J	T	Buy Monthly		J		
5. Fidelity Equity-Income Fund	B	Dividend	M	T	Div Reinv Qt		J		
6. Guardian Capital, LLC IRA Rollover-Individual Assets	B	Dividend	M	T					Transferred From Wachovia
7. a) Bank of America Corp.									
8. b) Citigroup, Inc.									
9. c) Dell Computer									
10. d) Family Dollar Stores									
11. e) General Electric									
12. f) IBM									
13. g) John Hancock Regional Bank Fund					Div Reinv Qt		J		
14. h) Lowes Companies, Inc.									
15. i) McDonalds Corporation									
16. j) Microsoft									
17. k) Proctor & Gamble									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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18. l) Time Warner Corp., Inc									
19. m) Wal-Mart Stores									
20. Mountain Federal Credit Union (Checking/Savings)	A	Dividend	J	T					
21. Rental Property-Gastonia, NC Appraisal 2003 for \$68K	A	Rent	L	S					Appraisal per Gaston Cty.
22. Royal Bank of Canada	A	Dividend	J	T					
23. a) Vanguard Asset Allocation Fd. R/O IRA	A	Dividend	J	T					
24. b) Vanguard GNMA Fd. R/O IRA	B	Dividend	K	T					
25. c) Vanguard Prime Money Mkt Rollover IRA	A	Dividend	J	T					
26. d) Vanguard Total Stock Mkt. Index Fd. R/O IRA	A	Dividend	K	T					
27. e) Vanguard Wellington Fd R/O & Non-R/O IRA	B	Dividend	K	T					
28. Southern Company	A	Dividend	J	T	Div Reinv Qt		J		
29. Vanguard Group-Individual Investments									
30. a) Vanguard Prime Money Market Reserves	A	Dividend	J	T	Sold Part	1/27	J	A	
31.					Sold Part	9/26	J	A	
32. b)Vanguard Windsor II Fund	A	Dividend	J	T	Sold Part	1/9	J	A	
33.					Sold Part	9/18	J	A	
34. Vanguard Prime Portfolio VmmR	A	Dividend	J	T					

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35. Vanguard Group-R/O-IRA I									
36. a) Vanguard Windsor II	C	Dividend	K	T					
37. b) Vanguard US Growth	A	Dividend	J	T					
38. c) Vanguard Total Stock Market Index Rollover IRA	A	Dividend	K	T					
39. Vanguard Group-Rollover-IRA II									
40. a) Prime Money Market	A	Dividend	J	T	Monthly	16th	J		
41. b) Prime Money Market	A	Dividend	J	T	Sold Part	10/16	J		
42. c) International Growth Investors	C	Dividend	K	T	Buy	10/16	J		
43. d) International Value	C	Dividend	K	T	Buy	10/16	J		
44. Vanguard Group-Traditional IRA									
45. a) Capital Opportunity Fund	B	Dividend	K	T					
46. b) Strategic Equity	B	Dividend	K	T					
47. c) Vanguard REIT Index Fund	A	Dividend	K	T	Buy	10/16	K	A	
48. d) Vanguard Wellington Fund-IRA	C	Dividend	K	T					
49. e) Vanguard Windsor II					Sold All	10/16	K	A	
50. Wachovia Bank, N.A.	A	Interest	J	T					Checking/Savings Accounts
51. Wachovia Corporation	A	Dividend	J	T	Semi-Annual		J	A	Non-Employee

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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									Comp
52. Wachovia Bank Deposit Sweep All	A	Dividend	J	T	Transfer	8/7			To Guardian Capital, LLC
53. Wachovia Sec. IRA Rollover-Individual Assets	C	Dividend	M	T	Transfer	8/10			To Guardian Capital, LLC
54. Wachovia Securities					Closed	7/20			Closed Account
55. a) Citigroup, Inc	A	Dividend	J	T	Sell	2/3	J	B	
56. b) Sector SPDR TR Amex Tech. Select	A	Dividend	K	T	Sell	2/3	J	A	
57. c) Wachovia Bank Sweep Deposit Acct.	A	Dividend	J	T	sell	7/20	K	A	
58. d) Wachovia Corp.2nd New	A	Dividend	K	T	sell	4/3	K	D	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4-20-07

NOTE: ANY PERSON WHO KNOWINGLY GETS AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

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Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544