

ORIGINAL

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Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ALSUP, WILLIAM H.	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 5/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ARTICLE III -- ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 450 GOLDEN GATE AVENUE SAN FRANCISCO, CA 94102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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ALSUP, WILLIAM H.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	YOSEMITE ASSOCIATION (ROYALTIES FROM BOOK) (SEE PART VIIIA)	\$374.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Retirement pension from Oakland Unified School District.
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHARLES SCHWAB ACCOUNT									
2. SCHWAB MONEY MARKET FUND	B	Interest	O	T					
3. CD TREASURY BANK	B	Interest			Matured	11-26	L	A	
4. CD FIRESIDE BANK	B	Royalty			Matured	11-26	L	A	
5. GNMA'S-COMBINED	A	Interest	J	T					
6. BOSTON-PTY INC. REIT	A	Dividend	K	T					
7. MACK CAL I REALTY	A	Dividend	K	T					
8. M FUND--DAVIS NY V	B	Dividend	N	T					
9. SCHWAB S&P 500	A	Dividend	M	T					
10. WACHOVIA INVESTMENT ACCOUNT									
11. CASH MANAGEMENT ACCOUNT	B	Interest	M	T					
12. RIVERSIDE UTIL		None	K	T					
13. CALIF EDL		None	L	T					
14. CALIF GEN OB	B	Interest			Redeemed	2/1	K	A	
15. SAN DIEGO REDEV	C	Interest	L	T					
16. SAN FRANCISCO AIRPORT	B	Interest			Redeemed	1/2	K	A	
17. ROCKLIN CD	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. L A CONVENTION	A	Interest	K	T					
19. INDYMAC CD	A	Interest			Redeemed	1/29	L	A	
20. CAL PCF AUTH POLL	C	Interest	L	T					
21. CAL MUNI BOND	D	Interest	M	T					
22. VENTURE VAN ANNUITY		None	M	S					
23. AMERICAN FUNDS									
24. AMERICAN BAL FUND	D	Dividend	N	T					
25. CAPITAL WORLD GROWTH	B	Dividend	L	T					
26. AMERICAN FUND TAX EX	C	Dividend	L	T					
27. BANK DEPOSIT SWEEP OPTION	A	Interest	K						
28. WACHOVIA ACCOUNT NO. 2									
29. BANK DEPOSIT SWEEP OPTION	A	Interest			Redeemed	2008	J	A	
30. AIM GLOBAL HEALTH	A	Dividend			Redeemed	2008	J	A	
31. BANK OF AMERICA ACCOUNT	C	Interest	L	T					
32. FEDERAL CREDIT (PROVIDENT)	B	Interest	L	T					
33. WISCONSIN ENERGY	A	Dividend	J	T					
34. KIMBERLY CLARK	A	Dividend	J	T					

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35. NEENAN PAPER		None			Redeemed	10/08	J	A	Company bought out.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544