

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
219 SOUTH DEARBORN STREET
CHICAGO, ILLINOIS 60604



CHAMBERS OF
AMY J. ST. EVE
JUDGE

312-435-5686

July 22, 2009

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Dear Committee Members:

Enclosed please find the original and three copies of my amended financial disclosure report for the reporting period January 1, 2008 through December 31, 2008. The amended report addresses the issues set forth in Judge Bobby Baldock's letter of June 23, 2009. Please note that Judge Baldock's letter requested a response regarding Part VII, page 5, line 31 of my 2007 report which listed "Fidelity U.S. Treasury Fund." That fund was not listed on my 2008 report. Note 3 to my 2007 report, however, disclosed that the Fidelity U.S. Treasury Fund was transferred to other Fidelity Funds listed on the report. Please contact me if you have any questions.

Thank you.

Very truly yours,

A black rectangular redaction box covers the signature of Amy J. St. Eve.

Amy J. St. Eve

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St. Eve_Amy_J

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) St. Eve, Amy J.	2. Court or Organization District Court	3. Date of Report 07/22/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDCJ - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 219 South Dearborn Street Room 1260 Chicago, Illinois 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Northwestern Law School
2. Board Member	Federal Bar Association -- Chicago Chapter
3. Board Member	DePaul University College of Law -- Intellectual Property Law and Information Technology Board
4. Member	Public Safety Commissioner
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. Eve, Amy J.

Date of Report

07/22/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Northwestern Law School (teaching)	\$2,000
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Northwestern Medical Faculty Foundation
2. 2008	Interventional Consultants, LLC
3. 2008	B3 Consultants, LLC
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. Eve, Amy J.

Date of Report

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

St. Eve, Amy J.

Date of Report

07/22/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Ginnie Mae Mutual Fund	A	Dividend	J	T					
2. Fidelity Magellan Mutual Fund & monthly purchase of \$250	A	Distribution	K	T					
3. Fidelity Low Priced Stock Mutual Fd. & monthly purchase \$250	C	Distribution	K	T					
4. Fidelity Municipal Money Market Account	A	Interest	K	T					
5. Chase (Accounts)	B	Interest	M	T					
6. Abbott Laboratories Common Stock		None	J	T					
7. Fidelity Contrafund (Monthly purchase of \$ 250)	A	Distribution	K	T					
8. Microsoft Corp. Common Stock	A	Dividend	J	T					
9. Anheuser Busch Common Stock	A	Dividend			Sold	11/18	J		
10. Covad Comm. Common Stock		None			Sold	4/7	J		
11. Pfizer Common Stock	A	Dividend			Sold	4/15	J		
12. EMC Corp. Common Stock		None	J	T					
13. Chesapeake Energy Corp.	A	Dividend	J	T					
14. Van Kampen Equity Trust Small Cap Growth Fund		None	J	T					
15. Van Kampen American Cap Emerging Growth Fund		None	J	T					
16. ING International Value Fund	B	Dividend	K	T					
17. Neuberger Berman Genesis Fund	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. Eve, Amy J.

Date of Report

07/22/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ING Small Company Fund A	A	Dividend	K	T					
19. Lord Abbett Affiliated Fund A	A	Dividend	J	T					
20. Barrick Gold Corp. Common Stock	A	Dividend	J	T					
21. Cisco Systems Inc. Common Stock		None	J	T					
22. Claymore Secs. Defined	A	Dividend	J	T					
23. McDonalds Corp. CS	A	Dividend	K	T					
24. Stiefel Nicholas Money Market	B	Dividend	L	T					
25. Illinois Municipal Bonds	A	Interest	K	T					
26. Fidelity Strategic Dividend/Income	A	Dividend	J	T					
27. 529 Unique College Investing Plan (age bas ed)	B	Interest	M	T	See Note I				
28. Peabody Energy Corp.	A	Dividend	J	T					
29. General Electric Company	A	Dividend	J	T					
30. Fidelity Select Medical Delivery (monthly \$250)	A	Dividend	J						
31. Entertainment B	A	Dividend	J						
32. Illinois State Tax Free Bonds	A	Interest	K	T					
33. Illinois State College Bonds	A	Interest	J						
34. Fidelity Balanced	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. Eve, Amy J.

Date of Report

07/22/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Fidelity Latin America	A	Dividend	J	T					
36. Fidelity Blue Chip Value Fund (See Note 2 in Part VII)	B	Dividend	K	T					
37. Fidelity Capital Appreciation Fund (See Note 2 in Part VII)	A	Dividend	K	T					
38. Fidelity International Discovery Fd (See Note 2 in Part VII)		None	L	T					
39. Fidelity Large Cap Value Fund (See Note 2 in Part VII)	A	Dividend	K	T					
40. Fidelity Mid Cap Growth Fund (See Note 2 in Part VII)		None	J	T					
41. Fidelity Mid Cap Value Fund (See Note 2 in Part VII)		None	J	T					
42. Fidelity Small Cap Growth Fund (See Note 2 in Part VII)	C	Dividend	J	T					
43. Fidelity Small Cap Value Fund (See Note 2 in Part VII)	C	Dividend	J	T					
44. Fidelity Total Bond Fund (See Note 2 in Part VII)	C	Interest	K	T					
45. Fidelity Emerging Markets Fund (See Note 2 in Part VII)		None	J	T					
46. Advent Global Conv.	A	Dividend			Sold	4/7	J		
47. Apollo Investment Corp.	A	Dividend			Sold	4/7	J		
48. Smith Barney Money Market	A	Interest	J	T					
49. Stifel Financial Corp.		None	J	T					
50. Federated Strategic Mutual Value Fund CLC		None	J	T	Buy	12/31	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting

St. Eve, Amy J.

Date of Report

07/22/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Notes

1. We made monthly contributions for [REDACTED] to these Fidelity 529 Education Plans. We contributed a total of \$3,000 monthly in 2008.
2. [REDACTED] employer makes automatic monthly contributions to these funds as part of his retirement plan.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. Eve, Amy J.

Date of Report

07/22/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) St. Eve, Amy J.	2. Court or Organization District Court	3. Date of Report 5/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDCJ - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 219 South Dearborn Street Room 1260 Chicago, Illinois 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Northwestern Law School
2. Board Member	Federal Bar Association -- Chicago Chapter
3. Board Member	DePaul University College of Law -- Intellectual Property Law and Information Technology Board
4. Member	Public Safety Commissioner
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. Eve, Amy J.

Date of Report

5/12/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Northwestern Law School (teaching)	\$2,000
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Northwestern Medical Faculty Foundation
2. 2008	Interventional Consultants, LLC
3. 2008	B3 Consultants, LLC
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting

St. Eve, Amy J.

Date of Report

5/12/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

St. Eve, Amy J.

Date of Report

5/12/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Ginnie Mae Mutual Fund	A	Dividend	J	T					
2. Fidelity Magellan Mutual Fund & monthly purchase of \$250	A	Distribution	K	T					
3. Fidelity Low Priced Stock Mutual Fd. & monthly purchase \$250	C	Distribution	K	T					
4. Fidelity Municipal Money Market Account	A	Interest	K	T					
5. Chase (Accounts)	B	Interest	M	T					
6. Abbott Laboratories Common Stock		None	J	T					
7. Fidelity Contrafund (Monthly purchase of \$250)	A	Distribution	K	T					
8. Microsoft Corp. Common Stock	A	Dividend	J	T					
9. Anheuser Busch Common Stock	A	Dividend			Sold	11/18	J		
10. Covad Comm. Common Stock		None			Sold	4/7	J		
11. Pfizer Common Stock	A	Dividend			Sold	4/15	J		
12. EMC Corp. Common Stock		None	J	T					
13. RMA Money Market	A	Interest	M	T					
14. Chesapeake Energy Corp.	A	Dividend	J	T					
15. Van Kampen Equity Trust Small Cap Growth Fund		None	J	T					
16. Van Kampen American Cap Emerging Growth Fund		None	J	T					
17. ING International Value Fund	B	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

U = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000
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P1 = \$1,000,001 - \$5,000,000
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S = Assessment
W = Estimated

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FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

St. Eve, Amy J.

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5/12/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date Month - Day	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18. Neuberger Berman Genesis Fund	A	Dividend	K	T						
19. ING Small Company Fund A	A	Dividend	K	T						
20. Lord Abbett Affiliated Fund A	A	Dividend	J	T						
21. Barrick Gold Corp. Common Stock	A	Dividend	J	T						
22. Cisco Systems Inc. Common Stock		None	J	T						
23. Claymore Secs. Defined	A	Dividend	J	T						
24. McDonalds Corp. CS	A	Dividend	K	T						
25. Stiefel Nicholas Money Market	B	Dividend	L	T						
26. Illinois Municipal Bonds	A	Interest	K	T						
27. Fidelity Strategic Dividend/Income	A	Dividend	J	T						
28. Fidelity 529 Education Plans	B	Interest	M	T	See Note 1					
29. Peabody Energy Corp.	A	Dividend	J	T						
30. General Electric Company	A	Dividend	J	T						
31. Fidelity Select Medical Delivery (monthly \$250)	A	Dividend	J							
32. Entertainment B	A	Dividend	J							
33. Illinois State Tax Free Bonds	A	Interest	K	T						
34. Illinois State College Bonds	A	Interest	J							

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

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H = \$1,000,001 - \$5,000,000
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P1 = \$1,000,001 - \$5,000,000
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S = Assessment
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I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Fidelity Balanced	A	Dividend	J	T					
36. Fidelity Latin America	A	Dividend	J	T					
37. Fidelity Blue Chip Value Fund (See Note 2 in Part VII)	B	Dividend	K	T					
38. Fidelity Capital Appreciation Fund (See Note 2 in Part VII)	A	Dividend	K	T					
39. Fidelity International Discovery Fd (See Note 2 in Part VII)		None	L	T					
40. Fidelity Large Cap Value Fund (See Note 2 in Part VII)	A	Dividend	K	T					
41. Fidelity Mid Cap Growth Fund (See Note 2 in Part VII)		None	J	T					
42. Fidelity Mid Cap Value Fund (See Note 2 in Part VII)		None	J	T					
43. Fidelity Small Cap Growth Fund (See Note 2 in Part VII)	C	Dividend	J	T					
44. Fidelity Small Cap Value Fund (See Note 2 in Part VII)	C	Dividend	J	T					
45. Fidelity Total Bond Fund (See Note 2 in Part VII)	C	Interest	K	T					
46. Fidelity Emerging Markets Fund (See Note 2 in Part VII)		None	J	T					
47. Advent Global Conv.	A	Dividend			Sold	4/7	J		
48. Apollo Investment Corp.	A	Dividend			Sold	4/7	J		
49. Smith Barney Money Market	A	Interest	J	T					
50. Stifel Financial Corp.		None	J	T					
51. Federated Strategic Mutual Value Fund CLC		None	J	T	Buy	12/31	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Notes

1. We made monthly contributions [REDACTED] to these Fidelity 529 Education Plans. We contributed a total of \$3,000 monthly in 2008.
2. [REDACTED] employer makes automatic monthly contributions to these funds as part of his retirement plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544