

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shea, Edward F.	2. Court or Organization United States District Court	3. Date of Report 08/11/2011
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Active Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 825 Jadwin Avenue, Ste. 190 Richland, WA 99352	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer/Director	_____ - Corporation
2. Real Estate Contract 50% interest	_____
3.	_____
4.	_____
5.	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____
2.	_____
3.	_____

Shea, Edward F.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. VISA	Consumer Card	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Insurance		None	K	T					
2. Northwestern Mutual Life Insurance		None	K	T					
3. Northwestern Mutual Life Insurance		None	K	T					
4. Prudential Life Insurance		None	J	T					
5. Coins		None	J	T					
6. Fishcreek Ltd		None	K	T					
7. Art		None	K	W					
8. Schwab Money Market Fund	A	Interest	J	T					
9. Charles Schwab Cash Account	A	Interest	J	T					
10. Vanguard Health Care Fund		None	K	T					
11. Vanguard Health Care Fund (IRA)		None	K	T	Sold (part)	04/29/10	J		
12.					Sold (part)	06/28/10	K		
13. Microsoft		None	K	T	Sold (part)	04/29/10	L		
14.					Buy (add'l)	10/28/10	J		
15.					Buy (add'l)	10/29/10	J		
16.					Buy (add'l)	12/21/10	J		
17. Microsoft		None	K	T	Buy	10/18/10	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	12/21/10	J		
19. DFA US 9-10 Small Co (DFSVX)		None	L	T	Sold (part)	01/12/10	J		
20. DIVERSIFIED INVESTMENT ADVISORS (comprised of #20-46)		None	M	T					
21. Goldman Sacks Mid Cap Value Fund		None	K	T	Buy (add'l)	04/07/10	J		
22.					Sold (part)	06/21/10	J		
23.					Sold (part)	10/19/10	J		
24. Vanguard Inst'l Index		None	K	T	Sold (part)	06/21/10	J		
25.					Sold (part)	10/18/10	J		
26.					Sold (part)	10/19/10	J		
27. American Growth Fund		None	J	T	Buy (add'l)	04/26/10	J		
28.					Sold (part)	06/21/10	J		
29.					Sold (part)	10/19/10	J		
30. Thornburg Int'l Value Fund		None	K	T	Sold (part)	10/18/10	J		
31.					Sold (part)	11/26/10	J		
32. Pimco Tot.Ret. Fund		None	J	T	Sold (part)	04/07/10	J		
33.					Buy (add'l)	10/19/10	J		
34.					Sold (part)	11/26/10	J		

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(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
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T = Cash Market

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Kadlec Blended Short Term Bond Fund		None			Sold (part)	04/26/10	J		
36.					Merged (with line 37)	06/15/10	K		
37. TFLIC		None	L	T	Buy	06/15/10	K		
38.					Buy (add'l)	06/21/10	J		
39.					Buy (add'l)	10/18/10	J		
40.					Buy (add'l)	10/19/10	K		
41.					Buy (add'l)	11/29/10	K		
42. Allainz NFJ Dividend Value A Fund		None	K	T					
43. Columbia Acn Sct		None	J	T	Sold (part)	10/19/10	J		
44. American EuroPac Fund		None	K	T	Sold (part)	04/26/10	J		
45.					Sold (part)	11/26/10	J		
46. Columbia SM.CAP.Val.		None	J	T					
47. South Boston Savings Bank Life Ins		None	J	T					
48. Globix Corp		None	J	T					
49. Schwab Value Advantage Money Fund		None	J	T					
50. GESA Credit Union	A	Interest	J	T					
51. Int'l GameTech (IGT)		None	K	T	Sold (part)	01/07/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

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2. Value Codes
(See Columns C1 and D3)

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N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	03/12/10	K		
53.					Buy (add'l)	05/06/10	J		
54.					Buy (add'l)	06/03/10	J		
55.					Buy (add'l)	06/29/10	J		
56.					Buy (add'l)	07/14/10	J		
57.					Buy (add'l)	10/12/10	J		
58.					Buy (add'l)	11/02/10	J		
59.					Buy (add'l)	11/03/10	J		
60.					Buy (add'l)	12/21/10	J		
61. Phillips Electric		None			Buy (add'l)	03/02/10	J		
62.					Buy (add'l)	04/29/10	J		
63.					Buy (add'l)	05/05/10	J		
64.					Sold (part)	05/14/10	L		
65.					Sold	05/20/10	K		
66. Siliconware (SPON)(PERS)*		None							
67. Driehaus Emerging Mkts		None	K	T	Buy (add'l)	02/18/10	J		
68.					Buy (add'l)	06/07/10	J		

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(See Columns B1 and D4)

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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$13,000

E = \$13,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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R = Cost (Real Estate Only)
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	06/29/10	J		
70.					Buy (add'l)	09/29/10	J		
71.					Sold (part)	10/19/10	K		
72.					Buy (add'l)	12/23/10	K		
73. Schwab Invest Money Fund (Pers)	A	Int./Div.	L	T					
74. Royce Value Plus Fund		None			Sold	11/03/10	J		
75. Wells Fargo Adv. Asia/Pac		None			Sold	11/26/10	J		
76. US Global Invest. East. Europe		None			Sold	11/26/10	J		
77. US Bank		None	K	T	Buy (add'l)	01/14/10	J		
78.					Buy (add'l)	04/07/10	J		
79.					Buy (add'l)	04/16/10	J		
80.					Buy (add'l)	05/05/10	J		
81.					Buy (add'l)	06/03/10	J		
82.					Buy (add'l)	06/29/10	J		
83.					Sold (part)	09/28/10	J		
84.					Buy (add'l)	10/28/10	J		
85.					Buy (add'l)	11/05/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	11/16/10	J		
87. Alcoa (IRA)		None			Buy (add'l)	01/07/10	J		
88.					Sold	01/29/10	K		
89. Alcoa		None			Buy	01/05/10	J		
90.					Sold	01/29/10	J		
91. Pimco All Asset		None	K	T	Buy	01/05/10	J		
92.					Buy (add'l)	03/22/10	J		
93.					Buy (add'l)	09/30/10	J		
94.					Buy (add'l)	10/26/10	J		
95. Cryptologic		None			Buy	07/30/10	J		
96.					Sold	09/13/10	J		
97. Elan		None	J	T	Buy	08/06/10	J		
98.					Buy (add'l)	09/02/10	J		
99. Banco Santander		None	J	T	Buy	10/22/10	J		
100. MGM		None	J	T	Buy	11/03/10	J		
101.					Buy (add'l)	11/09/10	J		
102.					Buy (add'l)	12/21/10	J		

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(See Columns B1 and D4)

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2. Value Codes
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J = \$15,000 or less
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L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. ELN (IRA)		None			Buy	01/14/10	J		
104.					Sold (part)	04/29/10	J		
105.					Buy (add'l)	08/06/10	J		
106.					Sold	09/09/10	J		
107. PASDX (IRA)		None	K	T	Buy	02/18/10	J		
108.					Buy (add'l)	05/14/10	J		
109. PTDX (IRA)	-	None	K	T	Buy	06/07/10	J		
110.					Buy (add'l)	06/24/10	J		
111. BAC (IRA)		None			Buy	03/10/10	J		
112.					Buy (add'l)	04/05/10	J		
113.					Buy (add'l)	04/16/10	J		
114.					Buy (add'l)	05/05/10	J		
115.					Buy (add'l)	06/03/10	J		
116.					Buy (add'l)	06/29/10	J		
117.					Sold (part)	09/28/10	J		
118.					Sold (part)	10/11/10	J		
119.					Sold	10/22/10	J		

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Shea, Edward F.

Date of Report

08/11/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. AEO		None			Buy	03/12/10	K		
121.					Sold	04/24/10	K		
122. LVS		None			Buy	04/29/10	J		
123.					Buy (add'l)	05/05/10	J		
124.					Buy (add'l)	05/20/10	J		
125.					Buy (add'l)	09/09/10	J		
126.					Buy (add'l)	10/11/10	J		
127.					Buy (add'l)	11/2/10	J		
128.					Buy (add'l)	11/15/10	J		
129.					Sold	11/16/10	K		
130. MGM		None	K	T	Buy	04/29/10	J		
131.					Buy (add'l)	05/05/10	J		
132.					Buy (add'l)	06/03/10	J		
133.					Buy (add'l)	06/29/10	J		
134.					Buy (add'l)	07/23/10	J		
135.					Buy (add'l)	08/02/10	J		
136.					Buy (add'l)	08/31/10	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	09/28/10	J		
138.					Sold (part)	10/11/10	J		
139.					Sold (part)	10/13/10	J		
140. GLQ		None			Sold	05/20/10	K		
141. Verizon		None	K	T	Buy	06/03/10	K		
142. PCL		None	J	T	Buy	06/03/10	K		
143.					Buy (add'l)	06/07/10	J		
144. EPD		None			Buy	06/07/10	K		
145.					Sold	12/16/10	K		
146. FTR		None	J	T	Buy	08/02/10	J		
147.					Buy (add'l)	10/11/10	J		
148. Cryptologic		None			Buy	07/30/10	J		
149.					Sold (part)	09/13/10	J		
150.					Sold	10/11/10	J		
151. Banco Santander		None	J	T	Buy	10/22/10	J		
152.									
153.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
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S = Assessment
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*This was sold in 2009 however, that information was inadvertently omitted from the prior years report.

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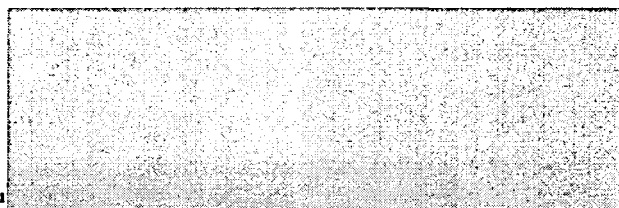
08/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544