

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mihm, Michael M.	2. Court or Organization Central District of Illinois	3. Date of Report 5/6/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 204 Federal Building 100 N.E. Monroe Peoria, IL 61602	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Abraham Lincoln Inns of Court - Peoria
2. Board of Directors	Forest Park Foundation - Peoria
3. Board of Directors	U.S. Russia Foundation for Economic Advancement & Rule of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	John Marshall Law School - Adjunct Professor of Law - Taught two week long accelerated trial advocacy courses in calendar year 2009. (Cont. Part VIII)	\$12,000
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. U.S. Russian Foundation for Economic Advancement & Rule of Law	1/13/2009-1/15/2009	New York, NY	Board Meeting	Transportation, lodging, food, misc.
2. U.S. Russian Foundation for Economic Advancement & Rule of Law	2/12/2009	Peoria, IL	Misc. Expenses	Gifts for foreign judges.
3. U.S. Russian Foundation for Economic Advancement & Rule of Law	2/22/2009 - 2/27/2009	Moscow, Russia	Meet w/ Various Russian Judiciary	Transportation, lodging, food, misc.
4. U.S. Russian Foundation for Economic Advancement & Rule of Law	3/25/2009 - 3/27/2009	New York, NY	Board Meeting	Transportation, lodging, food, misc.

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Rule of Law

5.	U.S. Russian Foundation for Economic Advancement & Rule of Law	9/8/2009	Chicago, IL	Meet w/ Various Russian Judiciary	Transportation, food, misc.
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. New York Life Insurance Company	Loan on value of whole life insurance	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AXA Elite Annuity (see explanation Part VIII)	A	Dividend	L	T					
2. Morgan Stanley  Nationwide Elite Venue IRA (See Part 8)	A	Dividend	K	T					
3. Morgan Stanley Fund Solutions IRA (see explanation Part VIII)	A	Dividend	K	T					
4. Morgan Stanley Active Asset Money Trust and Money Market	A	Interest	K	T					
5. Southside Bank Savings	A	Interest	J	T					
6. Southside Bank Savings  (See exp. Part VIII)		None	K	T					
7. U.S. Treasury I Bond	A	Interest	J	T					
8. U.S. Treasury I Bond	A	Interest	J	T					
9. U.S. Treasury I Bond	A	Interest	J	T					
10. U.S. Treasury I Bond	A	Interest	J	T					
11. Allianz CCM Mid CAPA M/F	A	Dividend	J	T					
12. Seligman Large Cap Val A	A	Dividend	J	T					
13. Davis Real Estate A M/F	A	Dividend	J	T					
14. Fidelity Adv Dvrsfd Int A M/F	A	Dividend	J	T					
15. American GR FD of America F M/F	A	Dividend	J	T					
16. Perkins Mid Cap Value Inv	A	Dividend	J	T					
17. Pimco Total Return A M/F	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,001 - \$50,000 N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes: (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vitrus Multi-Sector S/T BD A (fka Phoenix MultiSector M/F	A	Dividend	J	T					
19. RS Partners Fund A M/F		None	J	T					
20. T Rowe Price Eqi-Inc Adv M/F	A	Dividend	J	T					
21. Lazard Emerging Markets M/F	A	Dividend	J	T					
22. Thornburg Int. Value A M/F	A	Dividend	J	T					
23. Legg Mason PRTNRS IN-TRM Munic C (See exp. part VIII)	A	Interest			Sold	02/03/09	K		
24. New York Life Insurance Whole Life (See exp. part VIII)	A	Dividend	J	T					
25. EQ/PIMCO Ultra	A	Dividend	J	T					
26. EQ/Int. Core Plus	A	Dividend	J	T					
27. EQ/Cap. Guardian Research	A	Dividend	J	T					
28. EQ/Equity Growth Plus	A	Dividend	J	T					
29. EQ/Gamco Small Cp. Value	A	Dividend	J	T					
30. EQ/Gamco Merger Acquisit	A	Dividend	J	T					
31. EQ/ Mid Cap Val Plus	A	Dividend	J	T					
32. EQ/Van Kampen Comstock	A	Dividend	J	T					
33. NW NVIT Inv. Dest. Moda ggr2	A	Dividend	J	T					
34. MVA/GTO 5 year	A	Dividend	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Continued explanation from Part III-A, line 1 - Courses taught January and August. Vacation time was used. \$6,000 for January session, \$6,000 for August session.

Part VII - line 1 - AXA Elite Annuity is invested 9 mutual funds - those are listed in Part VII, lines 25-32.

Part VII - line 2 - Morgan Stanley [REDACTED] Nationwide Venue IRA. Two Mutual funds in IRA. They are now listed on lines 33 & 34. No change from last year

Part VII - line 3 - Morgan Stanley Fund solutions IRA. I have had this since 2007. The mutual funds in which the IRA are invested are listed on lines 11 - 22. In last year's report these mutual funds were all reported but I failed to report that they were in my IRA.

Part VII - line 5 - South Side Savings Bank [REDACTED] checking account.

Part VII - line 12 - DWS Dreman High Return Equ M/F name changed to Seligman Large Cap Val A

Part VII - line 16 - Janus Midcap value Inv M/F name changed to Perkins Mid Cap Val Inv.

Part VII - line 22 - Legg Mason Ptmrs IN-TRM Muni C. Money from sale went into Morgan Stanley active Trust/Money Market.

Part VII - line 23 - New York Life Insurance Whole Life Policies. Have had these policies for many years. Dividends used to pay part of interest on the debt of the policies.

Part VII - line 25 - Eqa/AXA Rosenberg Value name changed to EQ/PIMCO Ultra.

Part VII - line 28 - EQ/Marisco Focus named changed to EQ/Equity Growth Plus.

Part VII - line 31 - EQ Lord Abbott Mid Cap UA and EQ Van Kampen Real Estate merged under new name - EQ/Mid Cap Value Plus.

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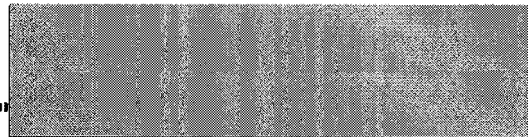
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544