

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Colloton, Steven M.	2. Court or Organization US Ct. of Appeals-8th Circuit	3. Date of Report 08/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2011 to 12/31/2011
	5b. ☒ Amended Report	
7. Chambers or Office Address 110 E. Court Avenue, Suite 461 Des Moines, IA 50309-2053		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	James Madison Memorial Fellowship Foundation
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/5/11	Legatus of Des Moines, Honorarium (\$200)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Elon University School of Law	Apr. 2-3, 2011	Greensboro, NC	Moot court competition	Travel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. MidWestOne - Accounts	A	Interest	M	T					
2. Vanguard Prime Money Market Fund	A	Dividend	K	T					
3. Vanguard Intermediate Term Tax- Exempt Muni Bond Fund	A	Dividend	K	T					
4. Vanguard Long-Term Tax- Exempt Fund Investor Shares	A	Dividend	K	T					
5. Vanguard Total International Stock Index Fund	A	Dividend			Sold	02/25/11	K		
6. Vanguard Total International Stock Index Admiral Shares	A	Dividend	K	T	Buy	02/25/11	K		
7. Vanguard Ext. Market Index Fund Admiral Shares	A	Dividend	K	T					
8. Vanguard 500 Index Fund Admiral Shares	B	Dividend	L	T					
9. Vanguard Intermediate-Term Investment-Grade Fund	A	Dividend			Sold (part)	01/14/11	J		
10.					Sold	01/26/11	J		
11. Vanguard Short-Term Investment-Grade Fund	A	Dividend			Sold	01/26/11	J		
12. Vanguard Inflation-Protected Securities Fund	A	Dividend			Sold	01/26/11	J		
13. MidWestOne Financial Group Inc. - Common Stock	A	Dividend	K	T					
14. Nationwide Life Insurance Company-Whole Life Policy	A	Dividend	J	U					
15. Coralville IA, Pbl Imp Gen Ob Bond, 5.375%, Due 6/1/2023	A	Interest	J	T					
16. Coralville IA, Pbl Imp Gen Ob Bond, 5.375%, Due 6/1/2023	A	Interest	J	T					
17. U of IA, Rec Facs Rev Bond, 4.1%, Due - 7/1/2019	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. U of IA, Rec Facs Rev Bond, 4.5%, Due - 7/1/2027	A	Interest	J	T					
19. U of IA, Rev Acad Bldg Bond, 4%, Due - 7/1/2017	A	Interest	J	T					
20. State Univ IA Univ Rev Bond, 4%, Due - 7/1/2018	A	Interest	K	T					
21. Principal Bank - Account	A	Interest	M	T					
22. FNBO Direct - Account	A	Interest	L	T					
23. HSBC - Account	A	Interest	L	T					
24. College Savings Iowa 529 Plan Agg. Growth Port. (no control)	B	Dividend	K	T					
25. College Savings Iowa 529 Plan Con. Income Port. (no control)	B	Dividend	K	T					
26. Federated Institutional Tr Hi Yield Bond Fund	A	Dividend	J	T					
27. Federated Mortgage Fund	A	Dividend			Sold	01/26/11	J		
28. Federated Total Return Govt Bond Fund	A	Dividend			Sold	01/26/11	J		
29. IA SUI Bond, 3.6%, Due 7/1/2019	A	Interest	J	T					
30. Univ IA Hosp & Clinics Bond, 6.125%, Due 9/1/2028	B	Interest	K	T					
31. West Branch Iowa Comm School Dist Bond, 4.1%, Due 7/1/2023	A	Interest	K	T					
32. TIAA-CREF Equity Index - RTL	A	Dividend	J	T	Buy	02/04/11	J		

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(See Columns B1 and D4)

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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The report dated May 14, 2012, is amended to include additional information for College Savings Iowa 529 Plan in Part VII, lines 24 and 25.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Steven M. Colloton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544