

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sharpe, Gary L	2. Court or Organization U.S. District Court, NDNY	3. Date of Report 04/28/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address James T. Foley Courthouse 445 Broadway Albany, New York 12207	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Realty USA - Independent Contractor ; Commissions
2. 2007	Real Estate Professional - Rental Property
3. 2007	Southern Living - Independent Contractor - Commissions
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Merrill Lynch	Mortgage on income property	N
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Merrill Lynch (IRA #1)	D	Dividend	L	T					
2. ---Oppenheimer Internatl Bond Fd Cl A					Total Sale	10-16	J	A	
3. ---DWS Drem Hgh Ret Eq Inst					Total sale	10-16	J	A	See Note in Part VIII
4. ---Dreyfus Premier Intl Value Cl A					Total Sale	10-16	J	A	
5. ---Lord Abbett Research Sm Cap Cl A					Total Sale	10-16	J	A	
6. ---Oppenheimer Developing Mkts Cl A									
7. ---Thornburg International Value Fund A									
8. ---Vankampen Comstock Fund Cl A					Total sale	10-16	J	A	
9. ---Cohen & Steers Special Equity Fund					Total Sale	10-16	J	A	
10. ---Matthews Asian Funds Japan Funds					Total Sale	10-16	J	A	
11. ---Aim Energy Fd Cl A									
12. ---Gartmore Global Natural Resources Fd Cl A					Total Sale	10-16	J	A	
13. ---Opppenheimer Gold & Special Metals Fund									
14. ---Alger Health Sciences A					Total Sale	10-16	J	A	
15. ---Black Rock Nat Resource I					Total Sale	10-16	J	A	
16. ---Jennison Natural Resources Fd Cl Z					Buy	10-16	J		
17. ---Blackrock All Cap Global Resources Cl I					Buy	10-16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ---Eaton Vance Greater India Fund Cl A					Buy	10-16	J		
19. ---Blackrock Latin America Fd					Buy	10-16	J		
20. ---Delaware Emerging Mkts Fd					Buy	10-16	J		
21. ---Ing Russia Fund Cl A					Buy	10-16	J		
22. ---AllianceBernstein Greater China 97 Fd					Buy	10-16	J		
23. Merrill Lynch (IRA #2)	E	Dividend	N	T					
24. ---Oppenheimer International Bond Fd Cl A									
25. ---DWS Drém Hgh Ret Eq Inst									See Note in Part VIII
26. ---Dreyfus Premier Intl Vlaue Cl A									
27. ---Lord Abbett Research Sm Cap Cl A									
28. ---Oppenheimer Developing Mkts Cl A									
29. ---Thornburg International Value Fund A									
30. ---Vankampen Comstock Fund Cl A									
31. ---Cohen & Steers Special Equity Fund									
32. ---Matthews Asian Funds Japan Funds									
33. ---Aim Energy Fd Cl A									
34. ---Nationwide Global Natural Resources Fd Cl A ... See Note									

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35. ---Oppenheimer Gold & Special Metals Fund									
36. ---Alger Health Sciences A									
37. ---Blackrock Nat Resource I									
38. New York College Tuition Savings # 1 (529)	A	Dividend	J	T	Buy	1-1	J		See note in Part VIII
39. New York College Tuition Savings #2 (529)	A	Dividend	J	T	Buy	1-1	J		See note in Part VIII
40. Merrill Lynch (IRA # 3)	A	Dividend	M	T					
41. ---Peabody Common Stock					Total Sale	10-2	J	A	
42. ---Boeing Common Stock									
43. ---Caterpillar Common Stock									See note in Part VIII
44. ---Valero Energy Common Stock									
45. ---Celgene Common Stock					Partial Sale	1-11	J	A	
46. ---NYSE Group Common Stock									
47. ---Ultra Petroleum Corp Common Stock									
48. ---McDermott Intl inc Common Stock									
49. ---Fuel Tech NV Common Stock					Partial Sale	1-11	J	A	
50.					Total Sale	6-13	J	A	
51. ---Aegean Marine Petroleum Network Inc Common Stock					Partial Sale	1-16	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. ---Guidance Software Inc Common Stock					Total Sale	2-6	J	A	
53. ---Apple Computer Inc Common					Partial Sale	1-11	J	A	See note in Part VIII
54.					Partial Sale	2-15	J	A	
55. ---China Life Ins Co Common Stock					Buy	1-3	J		
56.					Buy	1-4	J		
57.					Buy	1-5	J		
58. ---Dicks Sporting Goods Common Stock					Buy	10-2	J		
59. ---Hana Biosciences Common Stock					Buy	2-14	J		
60.					Buy	5-10	J		
61. ---Research in Motion LTD Common Stock					Buy	10-16	K		
62. ---Wachovia Corp Common Stock					Buy	11-27	J		
63. Merrill Lynch (IRA # 4)	F	Dividend	N	T					
64. ---Matthews Asian Funds Pacific Tigers Fund									
65. ---AXA Enterprise Mergers and Acquisitions Cl A									
66. ---Alger Health Sciences A									
67. ---Gartmore Small Cap Fund Cl A									
68. ---Baron partners Fund NLD									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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69. ---Nationwide Global Natural Resources Fund CI A									See note Part VIII
70. ---Blackrock All cap Global Resources Portfolio CI I									
71. ---ML Latin America Fd CI I									
72. ---Oppenheimer International Bond Fd CI A									
73. ---Oppenheimer Developing Markets Fd CI									
74.					Partial Sale	7-10	J	A	
75. ---Loomis Sayles Bond Fd Instl CI									
76. ---Hartford Capital Appreciation CI A									
77. ---Columbia Marisco Focused equity CI A									
78. ---Thornburg International Value Fd I									
79. ---American Cap Inc BLDR FD SBI CI A									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VIIA: Lines 3, 25: Last year's report incorrectly identified this asset as "Scudder High Return Fd Cl A". The asset is now correctly named. All information regarding the asset on last year's report is correct.

L. 34, 69: While the ML Symbol ("GGNAX") remains the same, the fund name changed to that as listed this year.

L. 38, 39: I have added another 529 account for [REDACTED] this year. Accordingly, I renumbered last year's entry as "#1", and added the second account right beneath it as "#2." As on last year's report, there is an automatic monthly withdrawal funding both accounts. Again, it seemed ludicrous to make 24 separate entries to reflect the transaction dates.

L. 43: Last year's report should have reflected a "Total Sale" on 12-8-06 with a value code J and gain code A.

L. 53: This asset was erroneously admitted from last year's report. The report should have reflected a "Buy" on 2-27-06 and value code J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544