

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)

STAHL, NORMAN H

2. Court or Organization

US COURT OF APPEALS-1ST CIR

3. Date of Report

05/01/2004

4. Title (Article III Judges indicate active or senior status;
magistrate judges indicate full- or part-time)

5. Report Type (check appropriate type)

☐ Nomination, Date

6. Reporting Period

01/01/2003

☐ Initial☒ Annual☐ Finalto
12/31/2003

SENIOR STATUS

7. Chambers or Office Address

US COURT OF APPEALS

1 COURTHOUSE WAY, SUITE 8730

BOSTON, MASSACHUSETTS 02210

8. On the basis of the information contained in this Report and any
modifications pertaining thereto, it is, in my opinion, in compliance
with applicable laws and regulations.

Reviewing Officer

Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)☒ **NONE** - (No reportable positions.)POSITIONORGANIZATION/ENTITY**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)☒ **NONE** - (No reportable agreements.)DATEPARTIES AND TERMS

1.

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FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (Dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VI. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Ashland House Associates									
2. Indian Trail Groves Ltd.									
3. Cmn Stk, ADP, Inc.									
4. Cmn Stk, ADP, Inc.									
5. Rntl Bldg, Lynchburg, VA									
6. Cmn Stk, Exxon Mobil									
7. Cmn Stk, Johnson & Johnson									
8.									
9.									
10.									
11.									
12.									
13.									
14. Cmn Stk, Johnson & Johnson									
15.									
16.									
17.									
18.									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19.									
20.									
21. Cmn Stk, Coca-Cola, Inc.									
22.									
23.									
24.									
25.									
26.									
27.									
28.									
29.									
30.									
31. Cmn Stk, Pepsico									
32. Cmn Stk, Microsoft Corp									
33.									
34. Cmn Stk, Exxon Mobil Corp.									
35. Cmn Stk, Merck & Co.									
36. Cmn Stk, Pharmacia Corp (Firmly Monsanto)									

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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Shrs, Inst. Daily Inc. Fund - IRA									
38. Cmn Stk, ADP Inc. - IRA									
39.									
40.									
41.									
42. Cmn Stk, Microsoft Inc. - IRA									
43. Shrs, Europacific Growth Fund - IRA									
44. Shrs, Washington Mutual Investors Fund - IRA									
45. Shrs, Europacific Growth Fund - IRA									
46. Shrs, Washington Mutual Investors - IRA									
47. Shrs, Inst. Daily Income Fund									
48. Cmn Stk, WM Wrigley Jr Co.									
49. Cmn Stk, Stryker Corp									
50. Cmn Stk, WM Wrigley Jr Co - IRA									
51. Maricopa AZ 4.2%, 1/1/03 Bond									
52. North TX Muni Wtr Dist 4.9% 9/1/04 Bond									
53. Honolulu HI 5%, 11/1/05 Bond									
54. Broward Cnty Fl 4.5%, 10/1/06 Bond									

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55. Manchester NH Airport 5%, 1/1/09 Bond									
56. Cmn Stk, Marriott Intl									
57. Cmn Stk, Marriott Intl - IRA									
58. Cmn Stk, Cisco Systems									
59. Cmn Stk, Dell Computer - IRA									
60. Cmn Stk, State Street Corp - IRA									
61. Cmn Stk, Starbucks Corp - IRA									
62.									
63.									
64.									
65. Massachusetts Bond 4.9% 7/1/05									
66. Cmn Stk, Dell Computers									
67.									
68. Cmn Stk, NY Times Co									
69.									
70. Cmn Stk, Starbucks Corp									
71.									
72.									

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73.									
74.									
75.									
76. Cmn Stk, NY Times Co - IRA									
77. Svgs Acct, Martha's Vineyard Cooperative Bank									
78. Cmn Stk, Anheuser Busch Co Inc									
79.									
80. Cmn Stk, First Data Corp									
81.									
82.									
83.									
84.									
85. Cmn, Stk General Electric Co									
86. Cmn Stk, Johnson & Johnson									
87. Cmn Stk, State Street Corp									
88. Cmn Stk, Sysco Corp									
89. Cmn Stk, Wal-Mart Stores Inc									
90. Shrs, Dreyfus MA Int. Muni Bond Fund									

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91. Shrs, Waterhouse Municipal Portfolio (formerly CMF)									
92. Cmn Stk, Costco Wholesale Corp									
93. Cmn Stk, JF Morgan Chase & Co									
94. Shrs, Waterhouse Municipal Portfolio (formerly CMF)									
95. Massachusetts St Bond 6% 11/1/10									
96. Massachusetts Bay Trans Auth Bond 5.5% 3/1/11									
97. Dighton & Rehoboth MA Bond 4.75% 5/1/13									
98. Framingham MA Bond 4.4% 8/15/14									
99. Wenham MA Bond 4.9% 6/1/17									
100. Shrs, Dreyfus MA Int. Muni Bond Fund									
101. Cmn Stk, Anheuser Busch - IRA									
102. Cmn Stk, Coca Cola - IRA									
103. Cmn Stk, First Data Corp - IRA									
104. Cmn Stk, Johnson & Johnson - IRA									
105. Cmn Stk, Sysco Corp - IRA									
106. Cmn Stk, Kellogg Co									
107. Cmn Stk, Target Corp									
108. Cmn Stk, Viacom Inc									

1. Income/Gain Codes:

A = \$1,000 or less

B = \$1,001-\$2,500

C = \$2,501-\$5,000

D = \$5,001-\$15,000

E = \$15,001-\$50,000

(See Columns B1 and D4) F = \$50,001-\$100,000

G = \$100,001-\$1,000,000

H1 = \$1,000,001-\$5,000,000

H2 = More than \$5,000,000

2. Value Codes:

J = \$15,000 or less

K = \$15,001-\$50,000

L = \$50,001-\$100,000

M = \$100,001-\$250,000

(See Columns C1 and D3) N = \$250,000-\$500,000

O = \$500,001-\$1,000,000

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3. Value Method Codes

Q = Appraisal

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109.									
110.									
111.									
112.									
113.									
114.									
115. Cmn Stk, Wal-mart Stores Inc									
116.									
117. Cmn Stk, Kellogg Co - IRA									
118. Cmn Stk, Target Corp - IRA									
119. Cmn Stk, Wal-mart Stores - IRA									
120.									
121. Cmn Stk, Costco Wholesale Corp - IRA									
122. US Treasury Bill, 2/16/03 - IRA									
123. US Treasury Bill, 3/20/03 - IRA									
124. Massachusetts Bay Trans Auth Bond, 5.25%, 3/1/07									
125. Cmn Stk, Anheuser Busch Co									
126.									

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	(1)	(2)	(1)	(2)	(1)		(3)	(4)	(5)
			Value						
129.									
130.									
131.									
132.									
133. Cmn Stk, Costco Wholesale Corp									
134. Cmn Stk, Dell Computers									
135.									
136. Cmn Stk, First Data Corp									
137.									
138.									
139.									
140. Cmn Stk, Kellogg Co									
141. Cmn Stk, Microsoft Corp									
142.									
143.									
144.									

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145. Cmn Stk, Sysco Corp									
146. Cmn Stk, Viacom									
147.									
148.									
149.									
150.									
151.									
152. Cmn Stk, Monsanto Co									
153. Cmn Stk, WM Wrigley Co									
154. Cmn Stk, Costco Wholesale Corp									
155. MM Acct, Citizens Bank									
156. CD, Martha's Vineyard Cooperative Bank									
157. Inv. Cash, WP Stewart Securities, Ltd.									
158. Cmn Stk, Amgen Corp									
159.									
160.									
161.									
162.									

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
163.									
164.									
165.									
166.									
167. Cnm Stk, Forest Labs									
168.									
169.									
170.									
171.									
172.									
173. Cnm Stk, Qualcomm									
174.									
175.									
176.									
177.									
178.									
179.									
180.									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	● = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting
STAHL, NORMAN H

Date of Report
05/01/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
181. Cmn Stk, Amgen Corp - IRA									
182.									
183.									
184.									
185.									
186. Cmn Stk, Forest Labs - IRA									
187.									
188.									
189.									
190.									
191. Cmn Stk, Qualcomm - IRA									
192.									
193.									
194. Cmn Stk, Viacom - IRA									
195.									
196.									
197.									
198. US Treasury Bill, 6/30/03 - IRA									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
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Name of Person Reporting
STAHL, NORMAN H

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
199.									
200. US Treasury Bill, 6/26/03 - IRA									
201.									
202. Taunton MA 4.2% 3/1/04 Bond									
203. Harwich MA 4.2% 6/15/05 Bond									
204. Lowell MA 5.4% 8/1/07 Bond									
205. Cmn Stk, Amgen Corp									
206.									
207.									
208.									
209.									
210. Cmn Stk, Forest Labs									
211.									
212.									
213.									
214.									
215.									
216.									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
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(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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[illegible]

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting
STAHL, NORMAN H

Date of Report
05/01/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
235. Crm Stk, Pfizer									
236. Crm Stk, Northern Trust Corp									
237.									
238.									
239.									
240. Crm Stk, Northern Trust Corp - IRA									
241.									
242.									
243. Crm Stk, Northern Trust Corp									
244.									
245.									
246.									
247.									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	● = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

STAHL, NORMAN H

Date of Report

05/01/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Line 91 and 94 are investments in the Waterhouse Municipal Portfolio. These were reported last year as the CMF Municipal Portfolio. This is only a change in the investment name.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

STAHL, NORMAN H

Date of Report

05/01/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/6/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544