

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herrera, Judith C	2. Court or Organization U.S. District Court, NM	3. Date of Report 05/08/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States District Court P.O. Box 2085 Santa Fe, NM 87504-2085	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Herrera, Judith C

Date of Report

05/08/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/06	Baird Real Estate; Real Estate
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Herrera, Judith C

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05/08/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ **NONE** *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ **NONE** *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Office Building-Rental Property #1	N
2.	Charles Schwab	Margin Account	M
3.	Charles Schwab	Margin Account	M
4.	Homecomings Financial	Main Residence	N
5.	Las Campanas Limited Partnership	Lot	M
6.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Account	A	Interest							
2. Rental Property #1 Santa Fe, NM Purch. 03/30/94 \$516,642	G	Rent	O	R					
3. [REDACTED] Prtnrshp #1 Santa Fe, NM	C	Interest	O	W					
4. [REDACTED] Prtnrshp #1 Santa Fe, NM: Long Term Capital Gain					LTCG			G	
5. Ishares MSCI Spain IDX	A	Dividend			BUY 50.0	11/20	J		
6.					BUY 50.0	11/24	J		
7.					BUY 50.0	11/17	J		
8. JANUS OVERSEAS FUND	A	Dividend			BUY 499.2510	10/05	K		
9.					BUY 114.6790	11/08	J		
10.					BUY 124.8130	10/03	J		
11.					BUY 124.6570	10/11	J		
12. COHEN & STEERS REALTY	A	Dividend			BUY 110.29	10/05	J		
13.	A	Dividend							
14. ALLEGHENY TECH INC. NEW	A	Dividend			BUY 50.0	12/06	J		
15.					BUY 200.0	3/07	J		
16.					SELL (P) 800	4/03	K	D	
17.					SELL (P) 200	4/03	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					BUY 400.0	4/10	K		
19. ANADIGICS INC					BUY 500.0	2/03	J		
20.					SELL 500.0	3/15	J		
21. APPLE COMPUTER INC					BUY 100.0	1/10	J		
22.					BUY 100.0	1/20	J		
23.					SELL (F) 400	4/10	K		
24.					SELL (P) 100	3/20	J		
25. BOEING	A	Dividend			BUY 100.0	1/17	J		
26.					BUY 50.0	2/24	J		
27.					SELL 150.0	4/04	J	B	
28. CATERPILLAR INC.	A	Dividend			BUY 250.0	3/24	K		
29.					SELL 250.0	9/14	K		
30. CEMEX SA ADR NEW					BUY 100.0	1/18	J		
31.					BUY 100.0	1/27	J		
32.					BUY 100.0	3/06	J		
33.					SELL (F) 600	4/03	K	B	
34. CHESAPEAKE ENERGY CORP	A	Dividend			BUY 250.0	1/12	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					BUY 100.0	1/27	J		
36.					SELL (F) 750	2/10	K	A	
37. CIGNA Corp	A	Dividend			SELL (F) 200	1/27	K	A	
38. DELEK US HOLDINGS INC.	A	Dividend			BUY 500.0	8/28	J		
39.					BUY 1,000.0	9/05	K		
40.					SELL (P) 500	8/28	K		
41. DEVON ENERGY CP NEW	A	Dividend			SELL (P) 500	2/10	K		
42.					BUY 200.0	9/11	J		
43.					BUY 200.0	9/14	J		
44.					BUY 50.0	12/19	J		
45.					BUY 100.0	9/29	J		
46.					BUY 100.0	10/16	J		
47.					BUY 50.0	12/20	J		
48.					SELL (P) 200	2/10	J		
49. E-TRADE FINANCIAL CORP					BUY 400.0	1/12	J		
50.					BUY 200.0	1/27	J		
51.					BUY 300.0	4/04	J		

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

P4 = More than \$50,000,000

S = Assessment

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T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52.					SELL (P)1000	5/17	K	D	
53. EMCOR GROUP INC					BUY 150.0	12/28	J		
54. ENCORE WIRE CORP					BUY 500.0	5/01	K		
55.					BUY 100.0	9/14	J		
56.					BUY 300.0	4/10	J		
57.					BUY 100.0	7/28	J		
58.					BUY 100.0	7/31	J		
59.					BUY 200.0	10/30	J		
60.					SELL (F) 600	12/28	J		
61.					SELL (F) 700	12/28	K		
62. ENDO PHARM HOLDINGS INC					BUY 250.0	1/12	J		
63.					SELL (F) 650	1/20	K	A	
64. FLUOR CORP. NEW	A	Dividend			BUY 400.0	5/10	K		
65.					SELL (F) 400	8/25	K		
66. FORMFACTOR INC					BUY 500.0	5/10	K		
67.					SELL (F) 500	9/28	K		
68. GILEAD SCIENCES INC					BUY 100.0	3/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

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69.					BUY 200.0	4/10	J		
70.					SELL (F) 300	5/10	K		
71. GRANT PRIDECO INC					BUY 250.0	1/24	J		
72.					BUY 200.0	1/27	J		
73.					BUY 550.0	2/13	K		
74.					SELL (P) 350	3/20	K		
75.					SELL (P) 200	3/16	K		
76. HANSEN NATURAL CORP					BUY 100.0	8/11	J		
77.					SELL (F) 100	9/08	J		
78. HARDING LOEVNER EMERGING	A	Dividend			BUY 127.5510	10/10	J		
79. HEWLETT-PACKARD COMPANY	A	Dividend			SELL (F) 700	3/31	K	C	
80. INSTEEL INDUSTRIES INC	A	Dividend			BUY 300.0	3/01	J		
81.					BUY 200.0	3/20	J		
82.					BUY 400.0	3/24	K		
83.					SELL (F) 900	5/01	K		
84. KENDLE INTL					SELL (F)1000	2/10	K		
85. KONGZHONG CORP ADR					BUY 400.0	8/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	T =Cash Market
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

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☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86.					BUY 600.0	9/06	J		
87.					SELL (F)1000	10/16	J		
88. LONG, POUND, KOMER					SELL (F)	5/11	L		
89. MCDERMOTT INTL INC					BUY 250.0	11/08	J		
90.					SELL (P)2000	10/06	L	E	
91. MARVEL TECH GROUP LTD					BUY 200.0	1/25	J		
92.					BUY 100.0	1/27	J		
93.					SELL 300 (F)	3/31	K		
94.					SELL 350 (F)	3/20	K	A	
95. MEMC ELECTRNC MATERIALS					BUY 500.0	3/24	K		
96.					BUY 100.0	5/03	J		
97.					BUY 300.0	8/02	J		
98.					BUY 150.0	3/08	J		
99.					BUY 200.0	3/15	J		
100.					BUY 500.0	3/20	K		
101.					BUY 50.0	10/31	J		
102. MERRILL LYNCH & CO					SELL (F) 100	1/06	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

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G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

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103. MIPS TECHNOLOGIES					BUY 500.0	2/03	J		
104.					SELL (F) 500	3/15	J		
105. MITCHAM INDUSTRIES					SELL (F) 750	3/16	J		
106. MOTOROLA	A	Dividend			BUY 300.0	1/09	J		
107.					SELL (F) 1300	3/30	K	B	
108. MULTI FINELINE ELCTRONX					BUY 300.0	3/31	K		
109.					BUY 200.0	4/04	J		
110.					BUY 200.0	4/06	J		
111.					SELL (F) 700	7/26	K		
112. NETEASE.COM INC ADR					BUY 200.0	3/24	K		
113.					BUY 300.0	4/06	J		
114.					BUY 250.0	3/20	K		
115.					BUY 1000.0	5/16	K		
116.					SELL (P) 1100	6/13	K		
117.					SELL (F) 1000	7/26	K		
118. NETLOGIC MICROSYS NEW					BUY 500.0	3/30	K		
119.					BUY 300.0	4/06	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A=\$1,000 or less

F=\$50,001 - \$100,000

J=\$15,000 or less

N=\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$50,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

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M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					SELL (P) 500	5/16	K		
121.					SELL (F) 300	7/26	J		
122. HERRERA, LONG, POUND & KOMER, PA, PROFIT SHARING PLAN:									
123. NW Mutual Life Ins.		None	K	T					
124. NW Mutual Life Ins.		None	L	T					
125. NW Mutual Life Ins.		None	L	T					
126. NW Mutual Life Ins.		None	K	T					
127. NW Mutual Variable Extra Ord Life: Aggressive Growth Stock		None	K	T					
128. NW Mutual Variable Extra Ordinary Life: Small Cap Growth		None	K	T					
129. NW Mutual Variable Extra Ordinary Life: Aggressive Growth		None	J	T					
130. NW Mutual Variable Extra Ordinary Life: Small Cap Growth		None	J	T					
131. NW Mutual Variable Extra Ordinary Life: Aggressive Growth		None	J	T					
132. NW Mutual Variable Extra Ordinary Life: Small Cap Growth		None	J	T					
133. NUCOR CORP.	A	Dividend			BUY 300.0	6/07	K		
134.					SELL (F) 300	9/27	J		
135. OMNIVISION TECHNOLOGIES					BUY 600.0	3/31	K		
136.					BUY 400.0	4/04	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Herrera, Judith C

Date of Report

05/08/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					BUY 200.0	4/21	J		
138.					SELL (P) 600	VARY	K		
139. PARKER DRILLING CO					SELL (F)9000	3/24	L	* A	
140. PHELPS DODGE CORP.	A	Dividend			BUY 300.0	6/07	K		
141.					BUY 25.0	12/04	J		
142.					SELL (P) 200	10/11	K		
143. PRECISION CASTPARTS CORP	A	Dividend			BUY 50.0	1/09	J		
144.					SELL (F) 450	2/10	K	A	
145. QIAO XING UNIVERSAL TELF					BUY 100.0	8/25	J		
146.					BUY 400.0	8/28	J		
147.					SELL (F) 500	9/08	J		
148. SCHLUMBERGER LTD	A	Dividend			BUY 100.0	1/13	J		
149.					BUY 100.0	3/14	J		
150.					BUY 150.0	3/24	K		
151.					BUY 50.0	1/27	J		
152.					SELL (P) 700	9/14	K		
153. TEVA PHARM INDS LTD ADRF					BUY 100.0	1/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Herrera, Judith C

Date of Report

05/08/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					SELL (F) 500	1/24	K	A	
155. TIDEWATER INC					SELL (F) 200	1/10	J		
156. TRANSOCEAN INC					BUY 100.0	3/14	J		
157.					BUY 50.0	8/07	J		
158.					SELL (P) 500	9/05	K	A	
159. T ROWE PRICE REAL ESTATE	A	Dividend			BUY 103.3060	10/05	J		
160.	A	Dividend							
161. TSAKOS ENERGY NAV LTD	A	Dividend			BUY 500.0	9/08	K		
162. UNITED HEALTH GROUP					SELL (F) 400	1/27	K		
163. UNITED INDUSTRIAL CORP.	A	Dividend			BUY 200.0	4/27	J		
164.					BUY 100.0	4/03	J		
165.					BUY 200.0	4/10	J		
166.					BUY 100.0	5/10	J		
167.					SELL (P) 200	7/26	J		
168.					SELL (F) 400	10/13	K		
169. U.S. GLOBAL INVESTORS	B	Dividend			BUY 82.5630	11/13	J		
170.	B	Dividend			BUY 340.8320	10/04	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Herrera, Judith C

Date of Report

05/08/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					BUY 300.8420	11/08	J		
172.					BUY 100.8740	11/22	J		
173.					BUY 93.4870	12/06	J		
174.					BUY 185.8740	9/29	J		
175.					BUY 79.2390	10/10	J		
176. VALERO ENERGY CORP. NEW	A	Dividend			SELL (F) 600	4/10	K	B	
177.					BUY 200.0	2/13	J		
178.					SELL (F) 300	4/10	K	B	
179. VERTEX PHARMACEUTICALS					BUY 600.0	1/12	K		
180.					SELL (F) 600	4/07	K	B	
181. WESCO INTERNATIONAL INC					BUY 500.0	4/10	K		
182.					SELL (F) 500	8/25	K		
183. XYRATEX LTD					BUY 500.0	8/09	J		
184.					BUY 300.0	9/21	J		
185.					SELL (P) 300	10/30	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Herrera, Judith C

Date of Report

05/08/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Herrera, Judith C

Date of Report

05/08/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date 5/14/07NOTE
AND C

SIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544