

AO 10  
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                            |                                                       |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial)<br><br>Sloviter, Dolores K.                                                                                                                 | 2. Court or Organization<br>United States Court of<br>Appeals for the Third Cir.                                                                                                                                                                                           | 3. Date of Report<br>05/15/2010                       |
| 4. Title (Article III judges indicate active or senior status,<br>magistrate judges indicate full- or part-time)<br>United States Circuit Judge -<br>Active                                        | 5a. Report Type (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br>5b. <input type="checkbox"/> Amended Report | 6. Reporting Period<br>01/01/2009<br>to<br>12/31/2009 |
| 7. Chambers or Office Address<br>18614 United States Courthouse<br>601 Market Street<br>Philadelphia, PA 19106                                                                                     | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____                                            |                                                       |
| IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,<br>checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                            |                                                       |

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | POSITION | NAME OF ORGANIZATION/ENTITY |
|----|----------|-----------------------------|
| 1. | Trustee  | Trust # 1                   |
| 2. |          |                             |
| 3. |          |                             |
| 4. |          |                             |
| 5. |          |                             |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | DATE | PARTIES AND TERMS |
|----|------|-------------------|
| 1. |      |                   |
| 2. |      |                   |
| 3. |      |                   |

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MAY 17 2010  
U.S. COURTS OF APPEALS  
THIRD CIRCUIT

Sloviter, Dolores K.

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Sloviter, Dolores K.

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05/15/2010

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

|   | <u>DATE</u>             | <u>SOURCE AND TYPE</u>        | <u>INCOME</u><br>(yours, not spouse's) |
|---|-------------------------|-------------------------------|----------------------------------------|
| 1 | Annual Salary -<br>2009 | Temple University, Phila., PA | \$10,392.00                            |
| 2 |                         |                               |                                        |
| 3 |                         |                               |                                        |
| 4 |                         |                               |                                        |

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

|   | <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|---|-------------|------------------------|
| 1 |             |                        |
| 2 |             |                        |
| 3 |             |                        |
| 4 |             |                        |

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

|   | <u>SOURCE</u>                                                      | <u>DATES</u> | <u>LOCATION</u>     | <u>PURPOSE</u>                                   | <u>ITEMS PAID OR PROVIDED</u> |
|---|--------------------------------------------------------------------|--------------|---------------------|--------------------------------------------------|-------------------------------|
| 1 | The Dickinson School<br>of Law - The Penn-<br>sylvania State Univ. | 11/20/2009   | University Park, PA | Dickinson Schl.<br>of Law Mt. Ct.<br>Competition | Airfare, Taxis                |
| 2 |                                                                    |              |                     |                                                  |                               |
| 3 |                                                                    |              |                     |                                                  |                               |
| 4 |                                                                    |              |                     |                                                  |                               |
| 5 |                                                                    |              |                     |                                                  |                               |

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Name of Person Reporting

Sloviter, Dolores K.

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Sloviter, Dolores K.

Date of Report

05/15/2010

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. SEE ATTACHED PAGES<br>1-10                                                                                              |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2.                                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3.                                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4.                                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5.                                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6.                                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7.                                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8.                                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 9.                                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 14.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

1. Income Gain Codes.  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

● = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

## VII. INVESTMENTS AND TRUSTS -- income, value, transactions

| A.                                                   | B.                           | C.                | D.                            |                                      |                            |                                      |                               |                              |                            |
|------------------------------------------------------|------------------------------|-------------------|-------------------------------|--------------------------------------|----------------------------|--------------------------------------|-------------------------------|------------------------------|----------------------------|
| Description of Assets                                | Income during period         |                   | Gross Value at end of period  |                                      | Transactions during period |                                      |                               |                              |                            |
|                                                      |                              |                   |                               |                                      |                            | <u>If not exempt from disclosure</u> |                               |                              |                            |
| Place "(X)" after each asset exempt prior disclosure | Amt. Code <sup>1</sup> (A-H) | Type (e.g., div.) | Value Code <sup>2</sup> (J-P) | Value Method Code <sup>3</sup> (Q-W) | Type (e.g., sold)          | Date: Month-Day                      | Value Code <sup>2</sup> (J-P) | Gain Code <sup>1</sup> (A-H) | Identity from buyer/seller |
| AT&T - com. stock                                    | D                            | Div.              | M                             | T                                    |                            |                                      |                               |                              |                            |
| Beneficial Mutual Bancorp - com. stock               | A                            | Div.              | J                             | T                                    |                            |                                      |                               |                              |                            |
| Exelon - com. stock                                  | C                            | Div.              | M                             | T                                    |                            |                                      |                               |                              |                            |
| GE - com. stock                                      | C                            | Div.              | K                             | T                                    |                            |                                      |                               |                              |                            |
| IT&T Industries - com. stock                         | A                            | Div.              | J                             | T                                    |                            |                                      |                               |                              |                            |
| HanesBrand, Inc. - com. stock                        |                              | None              | J                             | T                                    |                            |                                      |                               |                              |                            |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001 to \$2,500  
 C = \$2,501 to \$5,000 D = \$5,001 to \$15,000 E = \$15,001 to \$50,000  
 F = \$50,001 to \$100,000 G = \$100,001 to \$1 million H = over \$1,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001 to \$50,000  
 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000 N = \$250,001 to \$500,000  
 O = \$500,001 to \$1,000,000 P = over \$1,000,000

3. Value Method Codes: Q = Appraisal R = Cost (real estate only)  
 S = Assessment T = Cash/market U = Book value V = Other  
 W = Estimated

## VII. INVESTMENTS AND TRUSTS -- income, value, transactions

| A.                                                   | B.                           | C.                           | D.                            |                                      |                   |                                      |                               |                              |                            |
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| Place "(X)" after each asset exempt prior disclosure | Amt. Code <sup>1</sup> (A-H) | Type (c.g., div.)            | Value Code <sup>2</sup> (J-P) | Value Method Code <sup>3</sup> (Q-W) | Type (c.g., sold) | <u>If not exempt from disclosure</u> |                               |                              |                            |
|                                                      |                              |                              |                               |                                      |                   | Date: Month-Day                      | Value Code <sup>2</sup> (J-P) | Gain Code <sup>1</sup> (A-H) | Identity from buyer/seller |
| Hartford Fin. Services - com. stock                  | A                            | Div.                         | L                             | T                                    |                   |                                      |                               |                              |                            |
| PSEG                                                 | A                            | Div.                         | K                             | T                                    |                   |                                      |                               |                              |                            |
| Rayonier - com. stock                                | A                            | Div.                         | J                             | T                                    |                   |                                      |                               |                              |                            |
| Sara Lee - com. stock                                | A                            | Div.                         | J                             | T                                    |                   |                                      |                               |                              |                            |
| Vanguard Mun. Bond Funds                             | Loss                         | Div. & cap. loss             | P                             | T                                    | see VIII          |                                      |                               |                              |                            |

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| A.                                                   | B.                           | C.                | D.                            |                                      |                            |                                      |                               |                              |                            |  |
|------------------------------------------------------|------------------------------|-------------------|-------------------------------|--------------------------------------|----------------------------|--------------------------------------|-------------------------------|------------------------------|----------------------------|--|
| Description of Assets                                | Income during period         |                   | Gross Value at end of period  |                                      | Transactions during period |                                      |                               |                              |                            |  |
|                                                      |                              |                   |                               |                                      |                            | <u>If not exempt from disclosure</u> |                               |                              |                            |  |
| Place "(X)" after each asset exempt prior disclosure | Amt. Code <sup>1</sup> (A-H) | Type (e.g., div.) | Value Code <sup>2</sup> (J-P) | Value Method Code <sup>3</sup> (Q-W) | Type (e.g., sold)          | Date: Month-Day                      | Value Code <sup>2</sup> (J-P) | Gain Code <sup>1</sup> (A-H) | Identity from buyer/seller |  |
| Vanguard Windsor II                                  | D                            | Div.              | O                             | T                                    | see VIII                   |                                      |                               |                              |                            |  |
| Vanguard Star Fund                                   | C                            | Div. & cap. gain  | K                             | T                                    |                            |                                      |                               |                              |                            |  |
| Vanguard 500 Index Fund                              | C                            | Div.              | M                             | T                                    |                            |                                      |                               |                              |                            |  |
| Allegheny Higher Ed. 4.5%, '22                       | A                            | Div.              | J                             | T                                    |                            |                                      |                               |                              |                            |  |
| Bucks County W & S, 5%, '22                          | A                            | Int.              | J                             | T                                    |                            |                                      |                               |                              |                            |  |

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| A.                                                   | B.                           | C.                | D.                            |                                      |                            |                                      |                               |                              |                            |
|------------------------------------------------------|------------------------------|-------------------|-------------------------------|--------------------------------------|----------------------------|--------------------------------------|-------------------------------|------------------------------|----------------------------|
| Description of Assets                                | Income during period         |                   | Gross Value at end of period  |                                      | Transactions during period |                                      |                               |                              |                            |
|                                                      |                              |                   |                               |                                      |                            | <u>If not exempt from disclosure</u> |                               |                              |                            |
| Place "(X)" after each asset exempt prior disclosure | Amt. Code <sup>1</sup> (A-H) | Type (e.g., div.) | Value Code <sup>2</sup> (J-P) | Value Method Code <sup>3</sup> (Q-W) | Type (e.g., sold)          | Date: Month-Day                      | Value Code <sup>2</sup> (J-P) | Gain Code <sup>1</sup> (A-H) | Identity from buyer/seller |
| Chambersburg S.D., 4.6%, '25                         | B                            | Int.              | K                             | T                                    |                            |                                      |                               |                              |                            |
| Dauphin Cty., 4.4%, '19                              | A                            | Int.              | J                             | T                                    |                            |                                      |                               |                              |                            |
| Dauphin Cty., 4.5%, '26                              | A                            | Int.              | J                             | T                                    |                            |                                      |                               |                              |                            |
| Dauphin Cty., 4%, '26                                |                              | None              | K                             | T                                    | Purch.                     | 10/8                                 | K                             |                              |                            |
| Del. Port Auth. - N.J. Port Auth., 5.2%, '25         | B                            | Int.              | K                             | T                                    |                            |                                      |                               |                              |                            |

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## VII. INVESTMENTS AND TRUSTS -- income, value, transactions

| A.                                                              | B.                                 | C.                      | D.                                  |                                               |                               |                                          |                                     |                                    |                                        |
|-----------------------------------------------------------------|------------------------------------|-------------------------|-------------------------------------|-----------------------------------------------|-------------------------------|------------------------------------------|-------------------------------------|------------------------------------|----------------------------------------|
| Description<br>of Assets                                        | Income<br>during<br>period         |                         | Gross Value<br>at end of<br>period  |                                               | Transactions during<br>period |                                          |                                     |                                    |                                        |
|                                                                 |                                    |                         |                                     |                                               |                               | <u>If not exempt from<br/>disclosure</u> |                                     |                                    |                                        |
| Place "(X)" after<br>each asset exempt<br>prior dis-<br>closure | Amt.<br>Code <sup>1</sup><br>(A-H) | Type<br>(e.g.,<br>div.) | Value<br>Code <sup>2</sup><br>(J-P) | Value<br>Method<br>Code <sup>3</sup><br>(Q-W) | Type<br>(e.g.,<br>sold)       | Date:<br>Month-<br>Day                   | Value<br>Code <sup>2</sup><br>(J-P) | Gain<br>Code <sup>1</sup><br>(A-H) | Iden-<br>tity from<br>buyer/<br>seller |
| Greater Johns-<br>town, 5%, '19                                 | A                                  | Int.                    | J                                   | T                                             |                               |                                          |                                     |                                    |                                        |
| Lower Merion<br>S.D., 4.8%, '25                                 | A                                  | Int.                    | K                                   | T                                             |                               |                                          |                                     |                                    |                                        |
| Muhlenberg, PA,<br>5%, '23                                      | A                                  | Int.                    | K                                   | T                                             |                               |                                          |                                     |                                    |                                        |
| Northampton, 5%,<br>'39                                         | A                                  | Int.                    | K                                   | T                                             | Purch.                        | 3/9                                      | K                                   |                                    |                                        |
| Northeastern York,<br>4.5%, '26                                 | B                                  | Int.                    | K                                   | T                                             |                               |                                          |                                     |                                    |                                        |

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## VII. INVESTMENTS AND TRUSTS -- income, value, transactions

| A.                                                   | B.                           | C.                | D.                            |                                      |                            |                                      |                               |                              |                            |
|------------------------------------------------------|------------------------------|-------------------|-------------------------------|--------------------------------------|----------------------------|--------------------------------------|-------------------------------|------------------------------|----------------------------|
| Description of Assets                                | Income during period         |                   | Gross Value at end of period  |                                      | Transactions during period |                                      |                               |                              |                            |
|                                                      |                              |                   |                               |                                      |                            | <u>If not exempt from disclosure</u> |                               |                              |                            |
| Place "(X)" after each asset exempt prior disclosure | Amt. Code <sup>1</sup> (A-H) | Type (e.g., div.) | Value Code <sup>2</sup> (J-P) | Value Method Code <sup>3</sup> (Q-W) | Type (e.g., sold)          | Date: Month-Day                      | Value Code <sup>2</sup> (J-P) | Gain Code <sup>1</sup> (A-H) | Identity from buyer/seller |
| Pa. St. Higher Ed. Fac. Auth., 5.25%, '10            | A                            | Int.              | J                             | T                                    |                            |                                      |                               |                              |                            |
| Penna. Inter-govt'l, 5 1/4%, '17                     | A                            | Int.              | J                             | T                                    |                            |                                      |                               |                              |                            |
| Penna., 5 3/4%, '18                                  | B                            | Int. & cap. gain  |                               | T                                    | Sold                       | 10/1                                 | K                             | A                            |                            |
| Pa. Higher Ed., 6 1/8%, '10                          | A                            | Int.              | J                             | T                                    |                            |                                      |                               |                              |                            |
| Pa. Turnpike, 5% '23                                 | A                            | Int.              | J                             | T                                    |                            |                                      |                               |                              |                            |

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## VII. INVESTMENTS AND TRUSTS -- income, value, transactions

| A.                                                   | B.                           | C.                | D.                            |                                      |                                      |                 |                               |                              |                            |
|------------------------------------------------------|------------------------------|-------------------|-------------------------------|--------------------------------------|--------------------------------------|-----------------|-------------------------------|------------------------------|----------------------------|
| Description of Assets                                | Income during period         |                   | Gross Value at end of period  |                                      | Transactions during period           |                 |                               |                              |                            |
|                                                      |                              |                   |                               |                                      | <u>If not exempt from disclosure</u> |                 |                               |                              |                            |
| Place "(X)" after each asset exempt prior disclosure | Amt. Code <sup>1</sup> (A-H) | Type (e.g., div.) | Value Code <sup>2</sup> (J-P) | Value Method Code <sup>3</sup> (Q-W) | Type (e.g., sold)                    | Date: Month-Day | Value Code <sup>2</sup> (J-P) | Gain Code <sup>1</sup> (A-H) | Identity from buyer/seller |
| Pa. Turnpike, 5%, '23                                | A                            | Int.              | J                             | T                                    |                                      |                 |                               |                              |                            |
| Pa. 4th Series, 4.5%, '24                            | A                            | Int.              | K                             | T                                    |                                      |                 |                               |                              |                            |
| Pa. Turnpike, 4.75%, '27                             | A                            | Int.              | J                             | T                                    |                                      |                 |                               |                              |                            |
| Pa. First Series B, 4.375%, '27                      | B                            | Int               | K                             | T                                    |                                      |                 |                               |                              |                            |
| Pa. St. Pub. Sch. Bldg., 4.3%, '27                   | A                            | Int.              | J                             | T                                    |                                      |                 |                               |                              |                            |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001 to \$2,500  
 C = \$2,501 to \$5,000 D = \$5,001 to \$15,000 E = \$15,001 to \$50,000  
 F = \$50,001 to \$100,000 G = \$100,001 to \$1 million H = over \$1,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001 to \$50,000  
 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000 N = \$250,001 to \$500,000  
 O = \$500,001 to \$1,000,000 P = over \$1,000,000

3. Value Method Codes: Q = Appraisal R = Cost (real estate only)  
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 W = Estimated

## VII. INVESTMENTS AND TRUSTS -- income, value, transactions

| A.                                                              | B.                                 | C.                                 | D.                                  |                                               |                         |                                          |                                     |                                     |                                        |
|-----------------------------------------------------------------|------------------------------------|------------------------------------|-------------------------------------|-----------------------------------------------|-------------------------|------------------------------------------|-------------------------------------|-------------------------------------|----------------------------------------|
| Description<br>of Assets                                        | Income<br>during<br>period         | Gross Value<br>at end of<br>period |                                     | Transactions during<br>period                 |                         |                                          |                                     |                                     |                                        |
|                                                                 |                                    |                                    |                                     |                                               |                         |                                          |                                     |                                     |                                        |
|                                                                 |                                    |                                    |                                     |                                               |                         | <u>If not exempt from<br/>disclosure</u> |                                     |                                     |                                        |
| Place "(X)" after<br>each asset exempt<br>prior dis-<br>closure | Amt.<br>Code <sup>1</sup><br>(A-H) | Type<br>(e.g.,<br>div.)            | Value<br>Code <sup>2</sup><br>(J-P) | Value<br>Method<br>Code <sup>3</sup><br>(Q-W) | Type<br>(e.g.,<br>sold) | Date:<br>Month-<br>Day                   | Value<br>Code <sup>2</sup><br>(J-P) | Gain<br>Code <sup>1</sup><br>(A-II) | Iden-<br>tity from<br>buyer/<br>seller |
| Pa. First Series B/E,<br>4.375, '28                             | B                                  | Int.                               | K                                   | T                                             |                         |                                          |                                     |                                     |                                        |
| Pa. Turnpike, 5%,<br>'39                                        | A                                  | Int.                               | J                                   | T                                             | Purch.                  | 3/9                                      | J                                   |                                     |                                        |
| Phila. Parking,<br>5.125%, '24                                  | A                                  | Int.                               | J                                   | T                                             |                         |                                          |                                     |                                     |                                        |
| Reading, 4.45%<br>'27                                           | B                                  | Int.                               | K                                   | T                                             |                         |                                          |                                     |                                     |                                        |
| Reading S.D., 4.75%,<br>'38                                     | B                                  | Int.                               | K                                   | T                                             |                         |                                          |                                     |                                     |                                        |

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## VII. INVESTMENTS AND TRUSTS -- income, value, transactions

| A.                                                   | B.                           | C.                           | D.                            |                                      |                   |                                      |                               |                              |                            |
|------------------------------------------------------|------------------------------|------------------------------|-------------------------------|--------------------------------------|-------------------|--------------------------------------|-------------------------------|------------------------------|----------------------------|
| Description of Assets                                | Income during period         | Gross Value at end of period | Transactions during period    |                                      |                   |                                      |                               |                              |                            |
|                                                      |                              |                              |                               |                                      |                   | <u>If not exempt from disclosure</u> |                               |                              |                            |
| Place "(X)" after each asset exempt prior disclosure | Amt. Code <sup>1</sup> (A-H) | Type (e.g., div.)            | Value Code <sup>2</sup> (J-P) | Value Method Code <sup>3</sup> (Q-W) | Type (e.g., sold) | Date: Month-Day                      | Value Code <sup>2</sup> (J-P) | Gain Code <sup>1</sup> (A-I) | Identity from buyer/seller |
| Somerset S.D., 4.2%, '22                             | A                            | Int.                         | K                             | T                                    |                   |                                      |                               |                              |                            |
| Southeast Delco S.D., 4.30%, '26                     | A                            | Int.                         | K                             | T                                    |                   |                                      |                               |                              |                            |
| Springfield, 5.2%, '17                               | A                            | Int.                         |                               | T                                    | Sold              | 5/15                                 | J                             | A                            |                            |
| Susquehanna Twp. Auth. Pa., 6%, '13                  | A                            | Int.                         | J                             | T                                    |                   |                                      |                               |                              |                            |
| Wachovia Accts.                                      | A                            | Int.                         | K                             | T                                    |                   |                                      |                               |                              |                            |
| Temple University Charitable Gift Annuity            | A                            | Int.                         | K                             | T                                    |                   |                                      |                               |                              |                            |

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## VII. INVESTMENTS AND TRUSTS -- income, value, transactions

| A.                                                              | B.                                 | C.                                 | D.                                  |                                               |                         |                                          |                                     |                                    |                                        |
|-----------------------------------------------------------------|------------------------------------|------------------------------------|-------------------------------------|-----------------------------------------------|-------------------------|------------------------------------------|-------------------------------------|------------------------------------|----------------------------------------|
| Description<br>of Assets                                        | Income<br>during<br>period         | Gross Value<br>at end of<br>period | Transactions during<br>period       |                                               |                         |                                          |                                     |                                    |                                        |
| Place "(X)" after<br>each asset exempt<br>prior dis-<br>closure |                                    |                                    |                                     |                                               |                         | <u>If not exempt from<br/>disclosure</u> |                                     |                                    |                                        |
|                                                                 | Amt.<br>Code <sup>1</sup><br>(A-H) | Type<br>(e.g.,<br>div.)            | Value<br>Code <sup>2</sup><br>(J-P) | Value<br>Method<br>Code <sup>3</sup><br>(Q-W) | Type<br>(e.g.,<br>sold) | Date:<br>Month-<br>Day                   | Value<br>Code <sup>2</sup><br>(J-P) | Gain<br>Code <sup>1</sup><br>(A-H) | Iden-<br>tity from<br>buyer/<br>seller |
| Univ. of Pennsylvania<br>Charitable Gift Annuity                | A                                  | Int.                               | K                                   | T                                             |                         |                                          |                                     |                                    |                                        |

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**FINANCIAL DISCLOSURE REPORT**

Page 5 of 6

Name of Person Reporting

Sloviter, Dolores K.

Date of Report

05/15/2010

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

The Vanguard Municipal Bond Funds in VII have checking account features and were used for various transactions throughout the year.

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 6

Name of Person Reporting

Sloviter, Dolores K.

Date of Report

05/15/2010

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544