

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Osteen Jr., William L.	2. Court or Organization Middle District of NC	3. Date of Report 05/05/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address Federal Building, Suite 244 324 West Market Street Greensboro, NC 27401-7455	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Osteen Jr., William L.

Date of Report

05/05/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Print Plant, Incorporated - self-employed, financial consultant fee
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Osteen Jr., William L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Common Stock	C	Dividend	J	T					
2. Wachovia Accounts	A	Interest	L	T					
3. BB&T Accounts	A	Interest	J	T					
4. Genworth Financial Variable Universal Life Policy #1									
5. Fidelity Growth	A	Dividend			Redeemed	Feb	J	A	
6. Oppenheimer Midcap	A	Dividend			Redeemed	Feb	J	A	
7. Capital World Bond Fund Class C American Funds (CWBCX)	A	Interest	J	T	Buy	Jan	J		
8. Eagle Mid Cap Stock Fund Class C (HMCX)	A	Dividend	J	T	Buy	May	J		
9. Bond Fund of America Class C Symbol: BFACX	A	Interest	J	T			J	A	
10. Franklin Income Fund Class C Symbol: FCI SX	A	Int./Div.	J	T			J	A	
11. Virtus Real Estate Securities Symbol: PHRC X	A	Dividend	J	T			J	A	
12. First Trust Target VIP [REDACTED]	A	Dividend			Sold	March	K	A	
13. Hartford Capital Appreciation Fund Class C (HCACX)	A	Dividend	J	T	Buy	5/7	J		
14. Keeley Small Cap Value Fund Class A (KSCVX)	A	Dividend	J	T	Buy	5/7	J		
15. Franklin Templeton Mutual Discovery Fund Class C (TEDSX)	A	Dividend	J	T	Buy	1/28	J		
16. Pioneer Cullen Value Fund Class C (CVCF X)	A	Dividend	J	T	Buy	5/7	J		
17. Templeton Global Bond Fund Class C (TEGBX)	A	Interest	J	T	Buy	5/5	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII. Investments and Trusts. Line 4, Genworth Financial Variable Universal Life Policy #1: This line is a header for funds listed on lines 5 and 6 as the life insurance policy listed on line 4 contained the investments listed on lines 5 and 6. The life insurance policy has been cashed in and canceled, which resulted in redemption of the funds as shown in lines 5 and 6 of Part VII. As a result, I no longer own the life insurance policy listed on line 4 or the related interest in the funds listed on lines 5 and 6. The cash value of the life insurance policy upon cancellation is reflected in lines 5 and 6.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

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I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. US District Judge-Active (Sept 19, 2007-present)	US District Court
2.	
3.	
4.	
5.	

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<u>DATE</u>	<u>PARTIES AND TERMS</u>
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<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	US Government Salary	\$162,208.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Print Plant, Incorporated - self-employed, financial consultant fee
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6. Oppenheimer Midcap	A	Dividend			Redeemed	Feb	J	A	
7. Capital World Bond Fund Class C American Funds (CWBCX)	A	Interest	J	T					
8. Eagle Mid Cap Stock Fund Class C (HM CCX)	A	Dividend	J	T					
9. Bond Fund of America Class C Symbol: BFACX	A	Interest	J	T			J	A	
10. Franklin Income Fund Class C Symbol: FCI SX	A	Int./Div.	J	T			J	A	
11. Virtus Real Estate Securities Symbol: PHRC X	A	Dividend	J	T			J	A	
12. First Trust Target VIP CUSIP [REDACTED]	A	Dividend			Sold	March	K	A	
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Signature

A large black rectangular redaction box covering the signature.

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Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544