

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TRAXLER, Jr., William B.	2. Court or Organization U.S.C.A. Fourth Circuit	3. Date of Report 05/7/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 300 E. Washington Street, Suite 222 Greenville, SC 29601	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Visiting professor	Charleston School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 05/00/92	State of S.C. Circuit Judge Retirement benefit (see III.A.1)
2.	
3.	

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Traxler Jr., William B.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	State of S.C. Circuit Judge Retirement benefit	\$68,191.32
2. 2009	Charleston School of Law - teaching	\$2,227.70
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Greenville TEC - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Charleston School of Law	10/5/09 - 10/6/09	Charleston, South Carolina	Teaching at law school	Hotel, meals, mileage
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank	Student Loan	L
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Greenville County, S.C.		None	M	W					
2. (2) Leon County, Fla.		None	M	W					
3. (3) Leon County, Fla., 1/5 interest		None	K	W					
4. Sonoco stock (common)	A	Dividend	J	T					
5. American Landlease stock (common)		None			Sold	02/23/09	J	A	
6. Palmetto Bank	A	Interest	J	T					
7. Washington Mutual Investors Fund IRA	A	Dividend	J	T					
8. Wendy's International, Inc. stock (common)(Y)									
9. Motorola stock (common)	A	Dividend	J	T					
10. McDonalds stock (common)	A	Dividend	J	T					
11. Kellogg's stock (common)	A	Dividend	J	T					
12. RPM stock (common)	A	Dividend	J	T					
13. Sun Trust stock (common)	A	Dividend	J	T					
14. Trust #1	B	Rent	M	W					
15. --Wachovia National Bank accounts									
16. --Greenville County, SC									
17. --Carolina First Bank accounts					Closed	12/23/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Carolina First Bank accounts	A	Interest	J	T					
19. Vanguard Growth & Income Portfolio	A	Dividend	J	T					
20. Growth Fund of America IRA	A	Dividend	J	T					
21. New Perspective Fund IRA	A	Dividend	K	T					
22. First Citizens Bank accounts	D	Interest	N	T					
23. Ameritrade IRA	A	Dividend	J	T					
24. --MIPS Technologies stock (common)									
25. --Honeywell stock (common)									
26. --Applied Materials stock (common)									
27. --Texas Instrument stock (common)									
28. Greenville First Bank accounts	A	Interest			Closed	04/14/09	K		
29. Honeywell stock (common)	A	Dividend	J	T					
30. BB&T stock (common)	A	Dividend	J	T					
31. Home Depot stock (common)	A	Dividend	J	T					
32. Pfizer stock (common)	A	Dividend	J	T					
33. Liberty Life -- whole life insurance policies	A	Interest	J	T					
34. Protective Life -- whole life insurance policy	A	Interest	J	T					

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3. Value Method Codes (See Column C2)					

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35. Jefferson National Life -- whole life insurance policy	A	Interest	J	T					
36. Conagra stock (common)	A	Dividend	J	T					
37. Piedmont Natural Gas stock (common)	A	Dividend	J	T					
38. Regions Financial Corp. stock (common)	A	Dividend	J	T					
39. Jefferson County, Florida 1/4 interest	B	Rent	N	W					
40. South Carolina Bank & Trust accounts	C	Interest	J	T					
41. Independence Bank account	C	Interest	K	T					
42. Monsanto stock (common)	A	Dividend	J	T					
43. Pfizer stock (common)	A	Dividend	J	T					
44. Hilliard Lyons Govt. Fund Inc.	A	Interest	J	T					
45. Putnam Tax Free Mutual Fund	A	Interest	J	T					
46. SCANA stock (common)	A	Dividend	J	T					
47. First Savers Bank accounts	B	Interest	K	T					
48. Southern First Bank accounts	A	Interest			Closed	04/14/09	K		
49. Savannah River Bank account	B	Interest	L	T					
50. Wachovia Bank account	B	Interest			Closed	08/24/09	L		
51. State Credit Union (Y)									

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52. SPY Fund	A	Dividend	J	T	Sold (part)	09/11/09	J	A	
53. Community South Bank account	A	Interest	L	T	Open	09/04/09	L		
54. First South Bank account	A	Interest	K	T	Open	04/15/09	K		
55. RBC Bank account		None	J	T	Open	09/02/09	L		
56. Antigonish County, Nova Scotia, Canada		None	M	T	Buy	10/05/09	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In Parts II and III.A. 1-- I am eligible for a lifetime monthly retirement benefit of approximately 18/24 of 71.3% of the salary of a Circuit Judge of South Carolina. Payment began when I turned 55 years of age on May 1, 2003.

In Part VII, #7, #20, and #21--The company or fund family that sponsors these funds is American Funds.

In Part VII, #14 -- No distribution was made from the trust to me in 2009. The ranges provided represent aggregate totals for the trust.

In Part VII, #51 -- Money was transferred out of this account which reduced the amount therein below a reportable level.

In Part VII, #52 -- This is an exchange traded fund. The fund family is SPDR Trust Series I.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544