

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brody, Anita B	2. Court or Organization United States District Court	3. Date of Report 6/15/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2005 to 12/31/2005
5b. ☐ Amended Report		
7. Chambers or Office Address United States Courthouse Independence Mall West Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Board of Visitors, Columbia Law School
2. Trustee	 Trust
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2005	Commonwealth of Pennsylvania-pension	\$ 30,691
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	TIAA-CREFF Pension Distribution
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment*
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. - Capital Southwest-common stock	B	Dividend	M	T	Part Sale	7/18	K	E	
3. - Covanta Holding Corporation formerly Danielson Holding-cs		None	M	T					
4. - Dupont-common stock	B	Dividend	L	T					
5. - NABORS-common stock		None	M	T	Part Sale	5/26	K	D	
6. - National Asset Bank-common stock		None	J	W					
7. - Royal Palm-common stock		None	J	T					
8. - Source Capital-common stock	C	Dividend	L	T					
9. - Third Avenue Value Fund	G	Dividend	P1	T	Buy	10/4	L		
10. - Third Avenue Small Capital Fund-mutual fund	C	Dividend	M	T					
11. - Third Avenue Real Estate Fund-mutual fund	D	Dividend	M	T	Buy	7/19	K		
12. - Falcon Financial Investment	A	Dividend			Sell	3/2	J		
13. - Third Avenue Intl Value FD	B	Dividend	K	T	Buy	7/19	K		
14. - Butler County PA - Bond	A	Interest	K	T					
15. Brokerage Account #2									
16. - Citicorp- common stock	A	Dividend	J	T					
17. - Dean Witter Liquid Asset-mutual fund	B	Interest	L	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Dow Chemical - Common stock	A	Dividend	K	T					
19. - DPL - common stock	A	Dividend	K	T					
20. - General Dynamics- common stock	A	Dividend	J	T					
21. - Greenwood Trust -CD		None							
22. - McDonalds- common stock	A	Dividend	J	T					
23. - Pepsico- common stock	A	Dividend	J	T					
24. - Discover Bank - cd	C	Interest	L	T	Part Sale	3/28	K		
25. - Amcore - cd	A	Interest	K	T					
26. - Littlestown - Municipal Bond	B	Interest	K	T					
27. - Lancaster PA Area Sch Distrist Bond	B	Interest	K	T					
28. - Fed Home Loan Bk Bond	B	Interest	K	T					
29. - Franklin RSD	A	Interest	K	T					
30. - Providian National CD	A	Interest	J	T					
31. Bank Accounts									
32. Wachovia - bank accounts	A	Interest	K	T					
33. Dime Savings-bank account	A	Interest	J	T					
34. IRA #1	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,0 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,0 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,0 T = Cash Market	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Greenwood Trust-cd					Part Sale	7/26	J		
36. - Bristol Myers Squid- common stock									
37. - Capital One-cd									
38. - Dean Witter Liquid Asset-mutual fund									
39. - FPL-common stock									
40. - Keycorp-common stock									
41. - McDonalds - common stock									
42. - Limited Duration Fund (was tcw/dw N Am Gov Tr)-(mutfund)									
43. - Equity Residential-common stock									
44. - H & R Block - common stock									
45. - Walt Disney- common stock									
46. - Conesco - cd					Sell	7/18	K		
47. - Lehman Brothers Bank FSB CD									
48. - Citigroup Inc - common stock									
49. - Federal Home Loan Mtg Corp Med Term Note									
50. IRA #2	E	Dividend	O	T					
51. - AIM Weingarten-mutual fund									

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - AOL Time Warner									
53. - Citigroup-common stock									
54. - Dean Witter Liquid Asset-mutual fund									
55. - DPL									
56. - Federal Realty Inv Trust- common stock									
57. - Home Depot-common stock									
58. - IBM - common stock									
59. - Intel-common stock									
60. - Johnson and Johnson - common stock									
61. - Magna International-common stock									
62. - Summit Properties-common stock									
63. - Motorola-common stock									
64. - Public Service Enterprises-common stock									
65. - First USA Bank-cd									
66. - Ameren(formerly Union Electric)-common stock									
67. - US Treasury-bond									
68. - UTD Dominion Realty Trust-common stock									

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3. Value Method Codes: (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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69. - Discover Bank - cd-									
70. - International Lease Finance Corp Bond									
71. - Federal Home LN BK Bond									
72. - 1st Signature Co									
73. - MBIA									
74. - Anheuser Bush Cos Inc									
75. - Freescale Semiconductor (X)									
76. - Citigroup Inc Bond									
77. TIAA-CREF-retirement			P1	T					
78. TIAA Retirement			L	T					
79. Manulife (formerly John Hancock Life)	A	Interest	K	T					
80. Japan Fund - mutual fund		None	K	T					
81. Brokerage Account #3									
82. - Active Assets Money Trust		None			Closed				
83. - American Express Co		None			Sell	10/3	L		
84. - Pittsburgh PA Urban Redev Auth Mtg Rev Ser - Bond		None			Sell	2/1	J		
85. - Discover Bank - CD		None			Sell	12/7	J		

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86. Trust #1	E	Dividend	O	T					
87. - Reserve Fund Primary Portfolio									
88. - Third Avenue Small Cap Value Fund									
89. - Third Avenue Real Estate Value Fund									
90. - Third Avenue Intl Value Fund									
91. - Third Avenue Value Fund Inc									
92. - State Pub Sch Bldg Auth PA Sch					Sell	2/3	M	B	
93. - Philadelphia PA G/O					Sell	2/3	L		
94. Trust #2	D	Dividend	M	T					
95. - Vanguard Federal Money Market Fund					Buy	2/9	M		
96.					Part Sale	6/15	J		
97.					Part Sale	7/29	J		
98.					Part Sale	8/15	J		
99.					Part Sale	9/15	J		
100.					Part Sale	10/14	J		
101.					Part Sale	11/15	J		
102.					Part Sale	12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other U = Book Value	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)					

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103. - Vanguard Short Term Federal Investor Shares					Buy	2/9	L		
104.					Part Sale	6/15	J		
105.					Part Sale	7/15	J		
106.					Part Sale	8/15	J		
107.					Sell	8/26	M		
108. Vanguard Short Term Federal Admiral Shares					Buy	8/26	M		
109.					Part Sale	9/15	J		
110.					Part Sale	10/14	J		
111.					Part Sale	11/15	J		
112.					Part Sale	12/15	J		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) Asset reported in Part VII, page 9, line 146, of previous report was transferred and now reported in Brokerage Account #2.
- 2) Asset reported in Part VII, page 4, line 3, has changed its name from Danielson Holding to Covanta Holding Corporation.
- 3) Assets reported in Part VII, page 8, lines 77 and 78, for "TIAA-CREF-retirement" and "TIAA Retirement," are [REDACTED] retirement funds for which he has no control of its investments and is not provided with any information on the earnings from those accounts. He is only provided with an account value which is reported.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

6/16/06

NOTE: AN INDIVIDUAL WHO HAS NOT FILED THIS REPORT OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544