

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Brody, Anita B	2. Court or Organization United States District Court	3. Date of Report 7/19/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5. Report Type (check appropriate type) ☐ Nomination, Date 11/22/1991. ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address United States Courthouse Independence Mall West Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member

Board of Visitors, Columbia Law School

2. Trustee

Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2004	Commonwealth of Pennsylvania-pension	30,691

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2004	TIAA-CREFF Pension Distribution

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Brokerage Account #1									
2. - Capital Southwest-common stock	B	Dividend	M	T					
3. - Danielson Holding-common stock		None	K	T	Part Sale	5/11	K	E	
4. - Dupont-common stock	B	Dividend	L	T					
5. - NABORS-common stock		None	M	T	Part Sale	5/11	K	C	
6. - National Asset Bank-common stock		None	J	W					
7. - Palm Harbor-common stock		None			Sell	3/30	K	D	
8. - Royal Palm-common stock	A	Dividend	J	T					
9. - Source Capital-common stock	C	Dividend	L	T					
10. - Third Avenue Value Fund	E	Dividend	P1	T					
11. - Third Avenue Small Capital Fund-mutual fund	A	Dividend	M	T					
12. - Third Avenue Real Estate Fund-mutual fund	B	Dividend	M	T					
13. - Falcon Financial Investment	A	Dividend	J	T	Buy	2/13	J		
14. - Third Avenue Intl Value FD	A	Dividend	L	T	Buy	5/12	L		
15. - Butler County PA - Bond	A	Interest	K	T	Buy	3/30	K		
16. Brokerage Account #2									
17. - AMR Corp-common stock		None			Sell	12/20	J		
18. - Bedford Properties-common stock		None			Sell	12/20	K	D	

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$More than \$50,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Assessment V = Other	T = Cash/Market W = Estimated	

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19. - Citicorp- common stock	A	Dividend	J	T					
20. - Dean Witter Liquid Asset-mutual fund	A	Interest	K	T					
21. - Dow Chemical - Common stock	A	Dividend	K	T					
22. - DPL - common stock	A	Dividend	K	T					
23. - General Dynamics- common stock	A	Dividend	J	T					
24. - Greenwood Trust -CD	A	Interest							
25. - McDonalds- common stock	A	Dividend	J	T					
26. - Pepsico- common stock	A	Dividend	J	T					
27. - Discover Bank - cd	C	Interest	L	T					
28. - Amcore - cd	A	Interest	K	T					
29. - Littlestown - Municipal Bond	B	Interest	K	T					
30. - Lancaster PA Area Sch Distrist Bond	A	Interest	K	T					
31. - Fed Home Loan Bk Bond	B	Interest	K	T					
32. - Franklin RSD	A	Interest	K	T					
33. Bank Accounts									
34. Wachovia - bank accounts	A	Interest	K	T					
35. Dime Savings-bank account	A	Interest	J	T					
36. IRA #1	D	Dividend	N	T					

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37. - Greenwood Trust-cd									
38. - Bristol Myers Squid- common stock									
39. - Capital One-cd					Part Sale	10/12	J		
40. - Dean Witter Liquid Asset-mutual fund									
41. - Fireside Thrift - cd					Sell	3/26	J		
42. - FPL-common stock									
43. - Keycorp-common stock									
44. - Kimberly Clark - common stock					Sell	8/31	J	B	
45. - McDonalds - common stock									
46. - Limited Duration Fund (was tcw/dw N Am Gov Tr)-(mutfund)									
47. - Equity Residential-common stock									
48. - H & R Block - common stock									
49. - Walt Disney- common stock									
50. - Consecro - cd									
51. - Lehman Brothers Bank FSB CD									
52. - Citigroup Inc - common stock					Buy	5/21	K		
53. - Federal Home Loan Mtg Corp Med Term Note					Buy	9/22	K		
54. IRA #2	E	Dividend	O	T					

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55. - AIM Weingarten-mutual fund									
56. - AOL Time Warner									
57. - Citigroup-common stock									
58. - Dean Witter Liquid Asset-mutual fund									
59. - DPL									
60. - Federal Realty Inv Trust- common stock									
61. - Home Depot-common stock									
62. - Harley Davidson - common stock					Sell	4/13	J	B	
63. - GNMA pool-bond					Sell	1/15	J		
64. - IBM - common stock									
65. - Intel-common stock									
66. - Johnson and Johnson - common stock									
67. - Magna International-common stock									
68. - Summit Properties-common stock									
69. - Motorola-common stock									
70. - Public Service Enterprises-common stock									
71. - First USA Bank-cd									
72. - Ameren(formerly Union Electric)-common stock									

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73. - US Treasury-bond									
74. - UTD Dominion Realty Trust-common stock									
75. - Black and Decker- common stock					Part Sale	1/20	J	A	
76.					Sell	3/25	J	A	
77. - Discover Bank - cd-									
78. - International Lease Finance Corp Bond									
79. - Federal Home LN BK Bond									
80. - 1st Signature Co									
81. - MBIA									
82. - Anheuser Bush Cos Inc					Buy	2/5	J		
83. - Freescale Semiconductor (X)									
84. - Citigroup Inc Bond					Buy	7/1	K		
85. TIAA-CREF-retirement(s)			P1	T					
86. TIAA Retirement			L	T					
87. Manulife (formerly John Hancock Life)	A	Interest	J	T					
88. Estate #1 - [REDACTED] estate	A	Dividend							
89. - AT & T, com st					Sell	6/22	J		
90. - Agere, estate asset, com st					Part Sale	1/22	J		

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91.					Sell	6/22	J		
92. - Alltel, com st					Sell	6/22	K		
93. - Ameren, com st					Sell	6/22	K	B	
94. - American Electric Power, com st					Sell	6/21	K		
95. - A T & T Wireless Services com st					Sell	6/22	J	A	
96. - Avaya, com st					Sell	6/22	J	A	
97. - Bellsouth, com st					Sell	6/22	K		
98. - BP PLC ADS, com st					Sell	6/22	K	B	
99. - Bristol Myers Squibb, com st					Sell	6/22	J		
100. - Comcast com st					Sell	6/22	K	C	
101. - Conocophillipscom st					Sell	6/22	J	A	
102. - Corn Products, com st					Sell	6/22	J	B	
103. - Delphi, com st					Sell	6/22	J		
104. - DNP Select Income, com st					Sell	6/22	K		
105. - Eastman Chemical, com st					Sell	6/22	J	A	
106. - Eastman Kodak, com st					Sell	6/22	J		
107. - Exelon, com st					Sell	6/22	J	B	
108. - Exxon Mobil, com st					Sell	6/22	M	E	

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109. - Firstenergy, com st					Sell	6/22	J	B	
110. - General Electric, com st					Sell	6/22	J		
111. - JP Morgan Chase, com st					Sell	6/22	L	B	
112. - May Department Stores, com st					Sell	6/22	K		
113. - Merck, com st					Sell	6/22	J		
114. - Motorola, com st					Sell	6/22	J	A	
115. - Nuveen Municipal Value Fund, com st					Sell	6/22	K		
116. - Nuveen Penn. Preminc Muni Fund, com st					Sell	6/16	J		
117. - Payless Shoesource, com st					Sell	6/22	J		
118. - Pepco Holdgs, com st					Sell	6/21	J		
119. - Public Service Enterprisecom st					Sell	6/21	J		
120. - Puget Energy, com st					Sell	6/22	J		
121. - SBC Communications, com st					Sell	6/22	L		
122. - Scottish Power, com st					Sell	6/21	J	B	
123. - UIL Holdgs, com st					Sell	6/21	J		
124. - Verizon Communications, com st					Sell	6/22	L		
125. - Vodafone Gp Plc Ad, com st					Sell	6/22	K	C	
126. - Walt Disney, com st					Sell	6/22	J	A	

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127. - Wells Fargo, com st					Sell	6/22	J	C	
128. - Westar Energy, com st					Sell	6/21	J	A	
129. - Wyeth, com st					Sell	6/22	M		
130. - Xcel Energy, com st					Sell	6/21	J		
131. - Merrill Lynch Preferred Cap Tr IV					Sell	6/16	K	A	
132. - Souce Cap pfd					Sell	6/16	J	A	
133. - Ohio PWR Quibs, corporate bond					Sell	3/1	K		
134. - PG & E Quid, fixed rate capital security					Sell	4/12	K	D	
135. - Bear Stearns, fixed rate capital security					Sell	6/16	J	A	
136. - Delta Air, fixed rate capital security					Sell	6/16	J		
137. - US Treas, treasury security					Sell	5/13	J		
138. - Tenn Valley Auth, federal agency security					Sell	6/16	K		
139. - Heritage Bank of Commerce San Jose Calif, crt of deposit					Sell	5/25	J		
140. Japan Fund - mutual fund	A	Dividend	K	T					
141. Brokerage Account #3									
142. - Active Assets Money Trust	A	Dividend	J	T					
143. - American Express Co		None	L	T					
144. - Pittsburgh PA Urban Redev Auth Mtg Rev Ser - Bond		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Assessment V = Other	T = Cash/Market W = Estimated	

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Brody, Anita B

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
145. - Discover Bank - CD	A	Interest	J	T					
146. - Provident National Bank - CD	A	Interest	J	T					
147. [REDACTED] Trust #1	D	Dividend	O	T					
148. - Reserve Fund Primary Portfolio					Buy	7/6	O		
149. - Third Avenue Small Cap Value Fund					Buy	7/7	L		
150. - Third Avenue Real Estate Value Fund					Buy	7/7	L		
151. - Third Avenue Intl Value Fund					Buy	7/7	L		
152. - Third Avenue Value Fund Inc					Buy	7/7	N		
153. - State Pub Sch Bldg Auth PA Sch					Buy	7/7	M		
154. - Philadelphia PA G/O					Buy	7/7	L		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Brody, Anita B

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

- 1) Assets reported in Part VII, pages 6-9, lines 96, 141, 152, 153, of previous report were transferred and now reported in Brokerage Account #3.
- 2) Asset reported in Part VII, page 1, line 11, of previous report, has declined below reporting requirements.
- 3) [REDACTED] Trust #1 was funded by the sale of assets in Estate #1.

1)

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

7/20/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544