

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF NEW YORK

225 CADMAN PLAZA EAST

BROOKLYN, NY 11201

ALLYNE R. ROSS

UNITED STATES DISTRICT JUDGE

August 31, 2009

Judge Bobby R. Baldock, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

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U.S. DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

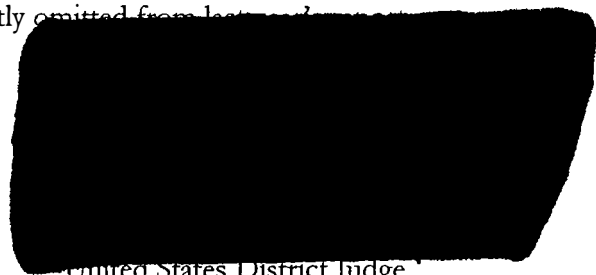
Re: Calendar Year 2008 Filing

Dear Judge Baldock:

This is in response to your letter of August 14, 2009. The changes you requested are, to the extent appropriate, reflected in the amended report being submitted herewith.

I have not made the changes requested in paragraph 1 of your letter. Those assets are valued at net asset value, which is equivalent to book value. The form indicates that U is the appropriate value code for assets valued at book value.

Regarding the first item listed in paragraph 2 of your letter, that asset was acquired on May 14, 2007, Value Code M. It was inadvertently omitted from last year's report.

A large black rectangular redaction box covering the signature and name of the sender.

United States District Judge

ARR/pdw

Ross, Allyne R

AMENDED

AO 10
Rev 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSS, ALLYNE R.	2. Court or Organization U.S. D. C., EDNY	3. Date of Report 8/31/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. DISTRICT COURT, E.D.N.Y. 225 CADMAN PLAZA EAST, 915 S BROOKLYN, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee

Trust # 1

2.

3.

4.

5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/1 -12/31	Partner in Willkie Farr & Gallagher LLP
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children, see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1 The Aspen Institute	5-30-2008 to 6-1-2008	Wye Woods, MD	Educational Seminar	Hotel, meals
2				
3.				
4				
5				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #1		None	J	W					
2. IRA #1	E	Dividend	N	T					
3. -Time Warner					Sold	1-23	K		none
4. -Cisco Systems Inc.									
5. -Dreyfus Liquid Assets									
6. -Ericsson									
7. -General Electric					Buy	7-24	J		
8. -General Electric					Buy	8-18	J		
9. -General Electric					Buy	9-16	J		
10. -American Express									
11. -Citigroup									
12. -Intel									
13. -KBR					Buy	11-21	J		
14. -Microsoft									
15. -John Hancock Bank Fund					Sold	10-28	J	none	
16. -Viacom									
17. -Encana Corp.					Sold	1-23	K	C	

1. Income Gain Codes* (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. -Enterprise Prods Partners									
19. -Managed High Yield Plus Fund					Sold	10-10	J	none	
20. -Provident Energy Trust					Buy	2-8	K		
21. -Putnam Premier Income Trust									
22. -Enerplus Resources									
23. -Bank of America Corp.					buy	7-24	K		
24. -BlackRock Global Energy & Resources Trust									
25. -Kayne Anderson MLP Invst. Co.					Sold	8-27	K	D	
26. -Yahoo Inc.									
27. -BHP Billiton Ltd.					Sold	1-29	K	D	
28. -Boardwalk Pipeline Partners					Buy	3-6	K		
29. -General Motors Corp.									
30. -Procter & Gamble Co.									
31. -New York Community Bancorp					Sold	3-26	K	B	
32. -Schering Plough Corp.					Sold	9-23	K	none	
33. -RMR Real Estate Fund					Sold	2-22	J	none	
34. -Eaton Vance Limited Duration Income Fund									

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Baytex Energy Trust					Sold	5-21	J	none	
36. -HRPT PPTYs TR					Sold	7-24	J	none	
37. -Hospitality PPTYS TR					buy	7-9	K		
38. -Hub Group					Sold	2-11	K	A	
39. -I-Trax					Sold	3-18	K	C	
40. -Johnson & Johnson					Sold	6-19	J	A	
41. -Keycorp					Sold	5-30	J	none	
42. -NYSE Euronext					Sold	8-20	J	none	
43. -PENGROWTH Energy Tr					buy	4-17	K		
44. -United Health					Sold	10-23	J	none	
45. -Powershares DB Multi-Sector Commodity TR					Sold	1-31	J	C	
46. -Nicholas-Applegate Conv.&Inc. Fund					Sold	2-25	K	none	
47. -Bristol Myers Squibb					Buy	8-11	J		
48. -Bristol Myers Squibb					Buy	10-24	J		
49. -Dow Chemical					Buy	1-8	K		
50. -KBR Inc					Buy	11-21	J		
51. -Linn Energy					Buy	8-19	K		

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2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52. -Manitowoc Co					Buy	11-6	J		
53. -Cohen&Steers Select Utility Fund					Buy	4-21	J		
54. -Fiduciary/Claymore Opportunity Fund					Buy	10-22	J		
55. -Fiduciary /Claymore Opportunity Fund					Buy	12-26	J		
56. -Greenhaven Continuous Commodity Index Fund					Buy	2-7	J		
57. -ishares FTSE NAREIT MTG REITS Index Fund					Buy	1-24	J		
58. -ishares FTSE NAREIT MTG REITS Index Fund					Buy	2-27	J		
59. -ishares FTSE NAREIT MTG Index Fund					Buy	7-22	J		
60. -IRA # 2	A	Int./Div	K	T					
61. -Saving Acct. at Citibank									
62. -Kaiser Group Int'l									
63. -Provident Energy Trust									
64. -John Hancock Bank Fund									
65. Kayne Anderson Energy Total Return Fund					Buy	4-16	J		
66. -Tennessee Valley Authority Strps									
67. Trust # 2	A	Dividend	J	U					
68. -Dodge Cox Stock Fund									

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69. Trust # 3		D	Dividend	M	U				
70. -T.Rowe Price Short-Term Bond Fund					Buy	3-27	J		
71. -T. Rowe Price Short-Term Bond Fund					Redeemed	4-2	J		
72. -T Rowe Price Small-Cap Value Fund					Redeemed	4-2	J		
73. -T. Rowe Price Small-Cap Value Fund									
74. -T. Rowe Price Science & Technology Fund						11-14	K	none	See Part VIII
75. -T Rowe Price Capital Opportunity Fund					Redeemed	3-27	J		
76. Citibank Accounts	B	Interest	N	T					
77. BNP Paribas DJ Stoxx 50 Structured Note		None	M	T					
78. Citibank NY Tax-Free Reserves Fund	A	Dividend	M	T					
79. Vanguard Limited-Term Tax-Exempt Fund	E	Dividend	O	U	Buy	2-6	N		
80. Vanguard Limited-Term Tax-Exempt Fund					Buy	4-18	L		
81. Vanguard NY Tax-Exempt Money Market Fund	D	Dividend	N	U	Redeemed	4-21	K	none	partial redemption
82. Vanguard NY Tax-Exempt Money Market Fund					Redeemed	5-22	J	none	partial redemption
83. Vanguard NY Tax-Exempt Money Market Fund					Redeemed	6-18	M	none	partial redemption
84. Vanguard NY Tax-Exempt Money Market Fund					Buy	6-19	E		
85. Vanguard NY Tax-Exempt Money Market Fund					Buy	8-12	M		

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. Western Asset Municipal NY Money Market Fund	A	Dividend	J	U	Redeemed	1-22	J	none	Partial redemption
87. Western Asset Municipal NY Money Market Fund					Buy	4-24	J		
88. Western Asset Municipal NY Money Market Fund					Redeemed	4-28	J		Partial redemption
89. Western Asset Municipal NY Money Market Fund					Redeemed	7-24	J		Partial redemption
90. Western Asset Municipal NY Money Market Fund					Redeemed	10-28	J		Partial redemption
91. Trust # 3	A	Dividend	J	U					
92. -Aggressive Growth Portfolio					Redeemed	7-28	J	A	Partial redemption
93. Trust # 4 - Y	C	Dividend	K	U					See Part VIII
94. WFG-WPX Partners LLC		None	N	W	buy	4-23	N		
95. Goldman Sachs High Yield Fund	C	Dividend	K	U	buy	4-6	J		
96. Fidelity Freedom Fund	A	Dividend	K	U	Buy	10-7	K		
97. Bernstein Global Diversified Strategies L.P.		None	N	T					
98. S&P 500 Variable Participation Notes		None	M	T					
99. Asta Five NY		None	J	T					
100. Bernstein New York Municipal Portfolio	D	Dividend	M	U	Buy	10-28	K		
101. Bernstein New York Municipal Portfolio					Redeemed	11-13	K	none	Partial redemption
102. Bernstein New York Municipal Portfolio					Buy	12-9	J		

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103. BP PLC	A	Dividend			Buy	1-25	J		
104. BP PLC			J	T	Sold	12-8	J	none	
105. Bernstein Tax-Managed International Portfolio	D	Dividend	N	U	Buy	11-13	K		
106. Supervalu	A	Dividend	J	T	Buy	1-7	J		
107. Altria Group	A	Dividend	J	T	Buy	1-14	J		
108. Altria Group					Sold	5-5	J	none	Partial sale
109. Cash Balance at Sanford C. Bernstein & co	A	Interest	K	T					
110. Tech Data Corp.		None			Sold	12-16	J	none	
111. Hewlett Packard	A	Dividend	K	T	Buy	1-10	J		
112. Hewlett Packard					Buy	6-3	J		
113. Hewlett Packard					Buy	4-22	J		
114. Hewlett Packard					Buy	7-8	J		
115. Cameron International	none				Buy	4-10	J		
116. Ashland Inc.	A	Dividend	J	T	Buy	3-14	J		
117. Wachovia	A	Dividend			Buy	3-31	J		
118. Wachovia					Buy	4-22	J		
119. Wachovia					Sold	9-26	J	none	Partial sale

1 Income Gain Codes-
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2 Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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3 Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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ROSS, ALLYNE R.

Date of Report

8/31/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Wachovia					Sold	9-30	J	none	
121. American International Group		None	J	T	Buy	2-11	J		
122. American International Group					Buy	2-14	J		
123. American International Group					Buy	4-22	J		
124. American International Group					Buy	5-12	J		
125. Amgen		None	J	T	Buy	11-10	J	B	
126. Tyson Foods	A	Dividend	J	T	Buy	5-1	J		
127. Apache Corp.	A	Dividend	J	T	Buy	6-27	J		
128. Apache Corp.					Buy	7-24	J		
129. Apache Corp.					Buy	8-8	J		
130. Apache Corp.					Buy	10-28	J		
131. Cardinal Health	A	Dividend	J	T	Buy	6-25	J		
132. Cardinal Health					Buy	10-28	J		
133. Citigroup	A	Dividend	J	T	Buy	2-5	J		
134. Citigroup					Buy	2-7	J		
135. Citigroup					Buy	2-11	J		
136. Citigroup					Buy	2-12	J		

1 Income Gain Codes

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Citigroup					Buy	2-27	J		
138. Citigroup					Buy	9-22	J		
139. Citigroup					Buy	2-11	J	A	
140. Allied Waste		None			Buy	4-18	J		
141. Allied Waste					Sold	11-25	J	none	
142. Goldman Sachs	A	Dividend	K	T	Sold	2-1	J	B	Partial sale
143. Goldman Sachs					Buy	3-27	J		
144. Goldman Sachs					Buy	4-22	J		
145. Goldman Sachs					Buy	9-9	J		
146. Goldman Sachs					Sold	9-17	J	none	
147. Goldman Sachs					Buy	10-28	J		
148. Goldman Sachs					Buy	11-4	J		
149. Goldman Sachs					Buy	11-10	J		
150. Intel Corp.	A	Dividend	J	T	Sold	1-7	J	none	Partial sale
151. Intel Corp					Sold	1-23	J	none	Partial sale
152. Intel Corp					Sold	2-29	J	none	Partial sale
153. Intel Corp.					Buy	9-24	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Bunge Ltd.		None	J	T	Buy	11-12	J		
155. Microsoft	A	Dividend	J	T	Sold	6-25	J	A	Partial sale
156. Microsoft					Sold	4-15	J	none	Partial sale
157. Procter & Gamble	A	Dividend	J	T	Buy	1-10	J		
158. Procter & Gamble					Sold	3-11	J	none	Partial sale
159. Procter & Gamble					Sold	4-18	J	none	
160. Procter & Gamble					Sold	5-1	J	B	Partial sale
161. Procter & Gamble					Sold	4-18	J	none	Partial sale
162. Procter & Gamble					Buy	10-3	J		
163. Procter & Gamble					Buy	10-9	J		
164. Costco	A	Dividend	J	T	Buy	1-14	J		
165. Costco					Buy	3-7	J		
166. Costco					Buy	7-8	J		
167. Costco					Sold	7-23	J	none	Partial sale
168. Costco					Buy	12-17	J		
169. Coming		None	J	T	Buy	11-25	J		
170. Rio Tinto		None			Buy	5-20	J		

1 Income Gain Codes* (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. Rio Tinto					Buy	6-12	J		
172. Rio Tinto					Sold	8-7	J	none	
173. Metlife	A	Dividend	J	T	Buy	1-4	J		
174. Metlife					Sold	4-22	J	none	Partial sale
175. Metlife					Sold	7-23	J	none	Partial sale
176. Metlife					Buy	10-8	J		
177. Sprint Nextel		None	J	T	buy	4-10	J		
178. Sprint Nextel					Buy	9-16	J		
179. Freddie Mac		None			Buy	3-14	J		
180. Freddie Mac					Sold	9-12	J	none	
181. Fannie Mae	A	Dividend			Buy	3-20	J		
182. Fannie Mae					Buy	3-27	J		
183. Fannie Mae					Buy	4-14	J		
184. Fannie Mae					Sold	7-11	J	none	Partial sale
185. Fannie Mae					Sold	7-8	J	none	Partial sale
186. Fannie Mae					Sold	9-17	J	none	
187. Ebay		None	J	T	Sold	1-9	J	none	Partial sale

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. Yum Brands	A	Dividend			Buy	1-10	J		
189. Yum Brands					Sold	7-22	J	none	
190. Vm Ware		None			Buy	4-30	J		
191. Vm Ware					Sold	7-10	J	none	
192. Gannett	A	Dividend	J	T	Buy	6-6	J		
193. Flextronics		None	J	T					
194. Ericsson		None	J	T	Buy	10-21	J		
195. Ericsson					Buy	10-23	J		
196. Ericsson					Buy	11-13	J		
197. Ericsson					Buy	12-13	J		
198. Partnerre Ltd.		None			Sold	2-7	J	B	
199. Dell		None	J	T	Buy	10-21	J		
200. Home Depot		None	J	T	Buy	10-17	J		
201. Lowe's Cos.		None	J	T	Buy	10-17	J		
202. Pepsico	A	Dividend	J	T					
203. Safeway	A	Dividend			Sold	2-4	J	C	
204. Boeing		None			Sold	1-7	J	C	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place " " after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Quantity of buyer/seller (if private transaction)
205 Borg Warner	A	Dividend			Sold	2-13	J	C	
206. Chevron Corp.	A	Dividend	K	T	Sold	11-21	J	none	Partial sale
207. Chevron Corp					Sold	11-28	J	A	Partial sale
208. Chevron Corp.					Sold	12-5	J	A	Partial sale
209 Chevron Corp.					Sold	11-20	J	none	Partial sale
210. Chevron Corp					Sold	11-17	J	none	Partial sale
211. J.P. Morgan Chase	B	Dividend	K	T	Sold	9-8	J	none	
212. J.P Morgan Chase					Buy	10-21	J		
213. McDonalds	A	Dividend	K	T	Sold	1-7	J	C	Partial sale
214. McDonalds					Buy	3-19	J		
215. McDonalds					Buy	9-29	J		
216. McDonalds					Buy	10-6	J		
217. McDonalds					Buy	11-13	J		
218. Medco Health Solutions		None	J	T	Buy	3-13	J		
219. Medco Health Solutions					Buy	3-17	J		
220. Medco Health Solutions					Sold	10-1	J	none	Partial sale
221. Medco Health Solutions					Sold	11-11	J	none	Partial sale

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Merck	A	Dividend	K	T	Sold	3-7	J	none	Partial sale
223. Merck					Buy	6-2	J		
224. Merck					Buy	6-23	J		
225. Merck					Buy	7-9	J		
226. Merrill Lynch	A	Dividend			Buy	5-15	J		
227. Merrill Lynch					Sold	9-11	J	none	
228. Target	A	Dividend			Sold	1-7	J	none	Partial sale
229. Target					Sold	2-28	J	A	Partial sale
230. Target					Sold	4-30	J	A	Partial sale
231. Target					Sold	10-13	J	none	
232. XL Capital	A	Dividend	J	T	Buy	8-28	J		
233. XL Capital					Buy	10-3	J		
234. Alcon	A	Dividend	J	T	Sold	11-13	J	none	Partial sale
235. Broadcom					Sold	3-17	J	none	Partial sale
236. Broadcom					Sold	7-22	J	A	Partial sale
237. Broadcom		None			Sold	10-8	J	none	
238. Google		None	K	T	buy	8-1	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239 Google					Buy	12-3	J		
240. Google					Sold	10-17	J	none	Partial sale
241. Qualcomm	A	Dividend	K	T	Buy	6-12	J		
242. Qualcomm					Buy	7-1	J		
243. Qualcomm					Buy	7-28	J		
244 Qualcomm					Buy	10-3	J		
245. Qualcomm					Buy	12-3	J		
246 Teva Pharmaceutical	A	Dividend	J	T	Buy	1-25	J		
247. Teva Pharmaceutical					Buy	4-14	J		
248. Tava Pharmaceutical					Buy	8-12	J		
249 Teva Pharmaceutical					Sold	12-11	J	none	Partial sale
250. Clorox	A	Dividend	J	T	Sold	2-7	J	A	
251. Bank of America	B	Dividend	J	T	Buy	2-6	J		
252. Bank of America					Buy	2-11	J		
253. Bank of America					Sold	4-15	J	none	
254 Bank of America					Buy	12-22	J		
255 Bank of America					Buy	12-15	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Bank of America					Buy	12-31	J		
257. Conoco Phillips	A	Dividend	K	T	Buy	1-23	J		
258. Conoco Phillips					Sold	11-24	J	none	Partial sale
259. Conoco Phillips					Sold	11-24	J	none	Partial sale
260. Conoco Phillips					Sold	11-28	J	none	Partial sale
261. National-Oilwell		None			Buy	4-24	J		
262. National-Oilwell					Buy	4-30	J		
263. National-Oilwell					Sold	11-14	J	none	
264. Union Pacific	A	Dividend			Buy	4-18	J		
265. Union Pacific					Sold	11-26	J	none	
266. Exxon Mobil	A	Dividend	K	T	Sold	8-12	J	A	Partial sale
267. Exxon Mobil					Sold	9-11	J	B	Partial sale
268. Exxon Mobil					Sold	9-18	J	A	Partial sale
269. Bernstein Emerging Markets Portfolio	C	Dividend	L	U	buy	12-9	J		
270. Hartford Financial Services	A	Dividend	J	T	Buy	3-31	J		
271. Hartford Financial Services					Buy	6-6	J		
272. Hartford Financial Services					Buy	7-18	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Hartford Financial Services					Buy	11-3	J		
274. Hartford Financial Services					Sold	12-16	J	none	Partial sale
275. Genworth Financial		None			Buy	7-25	J		
276. Genworth Financial			J	T		7-28	J		
277. Renaissance Holdings	A	None			Sold	2-7	J	A	
278. Aflac	A	Dividend			Sold	4-1	J	B	
279. Apple Computer		None	K	T	Sold	1-7	J	D	Partial sale
280. Apple Computer					Sold	1-18	J	C	Partial sale
281. Apple Computer					Buy	2-8	J		
282. Apple Computer					Buy	3-5	J		
283. Apple Computer					Buy	3-27	J		
284. Apple Computer					Sold	4-22	J	C	Partial sale
285. Apple Computer					Buy	6-19	J		
286. Apple Computer					Buy	10-3	J		
287. Franklin Resources	A	Dividend	J	T	Sold	3-5	J	none	Partial sale
288. Frankin Resouces					Sold	4-18	J	none	Partial sale
289. Franklin Resources					Sold	9-18	J	none	Partial sale

1 Income Gain Codes* (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Philip Morris	A	Dividend	J	T	Buy	7-24			
291. Genentech		None	K	T	Sold	1-25	J	none	Partial sale
292. Genentech					Sold	3-17	J		
293. Genentech					Sold	7-11	J	none	Partial sale
294. Genentech					Buy	10-3	J		
295. Genentech					Buy	10-3	J		
296. Genentech					Buy	11-18	J		
297. Gilead Sciences	none		K	T	buy	10-9	J		
298. Gilead Sciences					Sold	6-13	J	B	Partial sale
299. Sanofi Aventis		None	J	T	Buy	12-19	J		
300. TJX Companies		None	J	T	Buy	12-19	J		
301. Limited Brands		None	J	T	Buy	12-18	J		
302. Viacom		None	J	T	Buy	12-18	J		
303. Northern Trust									See Part VIII
304. Schlumberger	A	Dividend	K	T	Buy	1-10	J		
305. Schlumberger					Buy	11-25			
306. Wellpoint		None			Sold	2-8	J	A	Partial sale

1. Income Gain Codes (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Wellpoint					Sold	3-7	J	none	Partial sale
308. Wellpoint					Sold	3-12	J	none	
309. Time Warner	A	Dividend	J	T	Buy	10-28	J		
310. Time Warner					Buy	12-8	J		
311. Wm. Wngley Co.	A	Dividend	J	T	buy	1-18	J		
312. Wm. Wngley Co.					Buy	8-7	J		
313. Wm. Wngley Co.					Sold	9-22	J	A	Partial sale
314. Wm. Wngley Co.					Sold	9-25	J	B	Partial sale
315. Wm. Wngley Co.					Sold	10-7	J	C	
316. Emerson Electric	A	Dividend	J	T	Buy	1-10	J		
317. Emerson Electric					Sold	4-10	J	none	Partial sale
318. Cisco Systems		None	K	T	Sold	4-22	J	none	Partial sale
319. Cisco Systems					Sold	5-16	J	none	Partial sale
320. General Electric	A	Dividend	J	T	Sold	6-23	J	none	Partial sale
321. The Travelers Cos	A	Dividend	J	T	Sold	4-18	J	none	Partial sale
322. The Travelers Cos.					Sold	11-28	J	none	Partial sale
323. AmersourceBergen	A	Dividend			Sold	9-16		none	

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. AT & T	B	Dividend	K	T					
325. Lockheed Martin	A	Dividend	J	T	Buy	9-15	J		
326. Lockheed Martin					Buy	11-18	J		
327. Activision Blizzard Inc.		None	J	T	Buy		J		
328. Black & Decker	A	Dividend	J	T					
329. CBS	A	Dividend	J	T	Buy	-12-8	J		
330. Coca Cola	A	Dividend			Buy	1-10	J		
331. Coca-Cola			J	T	Buy	7-22	J		
332. IBM		None			Sold	1-23	J	B	
333. Kroger		None			Sold	4-1	J	B	
334. Arcelormittal	A	Dividend	K	T	Sold	3-19	J	C	Partial sale
335. Arcelormittal					Sold	5-22	J	B	Partial sale
336. Arcelormittal					Sold	11-24	J	none	
337. Nokia	A	Dividend	J	T	buy	2-15	J		
338. Nokia					Sold	4-30	J	none	Partial sale
339. Nokia					Sold	5-22	J	none	Partial sale
340. Nokia					Sold	7-1	J	none	Partial sale

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Nokia					Sold	7-18	J	none	Partial sale
342. Nokia					Sold	8-15	J		Partial sale
343. Nokia					Buy	10-9	J		
344. Northrop Grumman	A	Dividend	K	T	Sold	3-28	J	none	Partial sale
345. Northrop Grumman					Sold	5-20	J	none	Partial sale
346. Northrop Grumman					Sold	8-27	J	A	Partial sale
347. Northrop Grumman					Sold	10-30	J	none	
348. Pfizer	B	Dividend	K	T	Buy	1-15	J		
349. Pfizer					Sold	8-28	J	none	Partial sale
350. Sara Lee	A	Dividend			Sold	2-7	J	none	
351. Transocean Inc.		None			Buy	4-18	J		
352. Transocean Inc.					Buy	4-24	J		
353. Transocean Inc.					Sold	10-17	J	none	
354. Electronic Arts		None			Buy	7-24	J		
355. Electronic Arts					Sold	10-6	J	none	
356. Kellogg Co.	A	Dividend			Buy	9-15	J		
357. Kellogg Co.					Buy	10-8	J		

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

8/31/2009 -

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358 Kellogg Co.					Sold	12-22	J	none	
359. Venzon	A	Dividend	J	T	Buy	11-22	J		
360 America Movil	A	Dividend		T	Sold	1-7	J	B	Partial sale
361. America Movil					Buy	5-27	J	none	
362. America Movil					Sold	11-21	J	none	
363. Baker Hughes	A	Dividend			Sold	1-25	J	none	Partial sale
364. Baker Hughes					Sold	1-31	J	none	Partial sale
365. Baker Hughes					Sold	3-25	J	none	Partial sale
366. Baker Hughes					Sold	4-16	J	none	Partial sale
367. Baker Hughes					Sold	8-12	J	none	
368. Charles Schwab		None	J	T	Buy	11-13	J		
369. Fluor	A	Dividend	J	T	Buy	1-10	J		
370. Fluor					Sold	3-20	J	none	Partial sale
371. Fluor					Sold	5-22	J	B	Partial sale
372. Fluor					Sold	7-22	J	B	Partial sale
373. Fluor					Sold	11-10	J	none	Partial sale
374. Kohls		None	J	T	Buy	4-22	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375 Kohls					Buy	9-24	J		
376. Las Vegas Sands Corp		None			Sold	1-18	J	A	
377 Monsanto	A	Dividend	K	T	Sold	1-7	J	D	Partial sale
378. Monsanto					Buy	7-15	J		
379. Monsanto					Buy	9-29	J		
380 Nvidia		None	J	T	Buy	4-22	J		
381. Nvidia					Sold	7-3	J	none	Partial sale
382. Nvidia					Sold	7-14	J	none	Partial sale
383 Nvidia					Buy	9-4	J		
384. Nvidia					Buy	9-17	J		
385. NYSE Euronext	A	Dividend	J	T	Sold	9-17	J	none	
386. Valero Energy Corp.	A	Dividend			Buy	4-8	J		
387. Valero Energy Corp.					Sold	12-24	J	none	
388. United Technologies		None			Sold	2-7	J	none	
389 MBIA	A	Dividend			Sold	2-1	J	none	
390. American Electric Power	A	Dividend			Sold	2-14	J	B	
391 Allstate Corp	A	Dividend	J	T	Buy	11-15	J		

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

392. Autoliv Inc.	A	Dividend	J	T					
393. Caterpillar Inc.	A	Dividend	J	T	Sold	7-7	J	none	Partial sale
394. Caterpillar Inc.					Buy	11-12	J		
395. Covidien Ltd.	A	Dividend			Sold	2-1	J	A	
396. Deutsche Bank	B	Dividend	J	T	Buy	2-11	J		
397. Deutsche Bank					Buy	3-14	J		
398. Dow Chemical	A	Dividend			Sold	5-1	J	none	Partial sale
399. Dow Chemical					Sold	10-23	J	none	Partial sale
400. Dow Chemical					Sold	11-7	J	none	Partial sale
401. Dow Chemical					Sold	11-19	J	none	
402. General Motors	A	Dividend			Sold	9-29	J	none	
403. Ingersoll Rand		None			Sold	2-13	J	none	
404. Kraft Foods	A	Dividend			Sold	1-7	J	C	
405. Macy's Inc.	A	Dividend	J	T	Buy	6-25	J		
406. Macy's Inc.					Sold	10-30	J	none	Partial sale
407. Marathon Oil	A	Dividend			Sold	3-11	J	A	Partial sale
408. Marathon Oil					Sold	5-29	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

409 Morgan Stanley	A	Dividend	J	T	Buy	2-14	J		
410. Morgan Stanley					Buy	2-15	J		
411. Morgan Stanley					Buy	8-1	J		
412. Parker Hannifin	A	Dividend			Sold	7-22	J	A	
413. Royal Dutch Shell	A	Dividend	K	T	Buy	4-3	J		
414. Royal Dutch Shell					Buy	4-7	J		
415 Tech Data		None			Sold	12-16	J	none	
416. Terex		None			Sold	3-5	J	none	Partial sale
417. Terex					Sold	4-16	J	none	
418. Bristol Myers Squibb	A	Dividend	J	T	Buy	10-28	J		
419. Tyco Electronics	A	Dividend			Sold	4-2	J	none	
420. Tyco International	A	Dividend			Sold	5-16	J	none	
421. VF Corp.	A	Dividend			Sold	7-30	J	none	
422. Vodafone	A	Dividend			Sold	6-24	J	none	Partial sale
423. Vodafone					Sold	7-16	J	A	
424. Abbott Laboratories	A	Dividend	J	T	Sold	4-17	J	none	
425. Abbott Laboratories					Buy	9-22	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426 Abbott Laboratories					Sold	12-11	J	none	Partial sale
427. Adobe Systems		None			Sold	3-25	J	none	Partial sale
428. Adobe Systems					Sold	4-4	J	none	Partial sale
429 Adobe Systems					Sold	4-22	J	none	
430. Air Products & Chemicals	A	Dividend	J	T	Sold	2-1	J	none	Partial sale
431. Air Products & Chemicals					Sold	4-15	J	A	Partial sale
432. Air Products & Chemicals					Sold	8-8	J	A	Partial sale
433. Air Products & Chemicals					Sold	10-28	J	none	Partial sale
434. American Express		None			Sold	1-11	J	none	
435. Cameron International		None	J	T					
436. Abb Ltd		None			Sold	4-2	J	none	Partial sale
437 Abb Ltd.					Sold	4-9	J	A	
438. Celgene		None	K	T	Buy	3-11	J		
439. Colgate-Palmolive	A	Dividend	J	T	Buy	1-11	J		
440 Colgate-Palmolive					Sold	6-5	J	none	Partial sale
441 Deere	A	Dividend	J	T	Sold	4-18	J	B	Partial sale
442. Deere					Sold	4-22	J	A	Partial sale

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Deere					Sold	10-10	J	none	Partial sale
444. EOG Res	A	Dividend	J	T	Buy	1-10	J		
445. EOG Res.					Buy	7-15	J		
446. EOG					Buy	8-18	J		
447. EOG Res.					Sold	10-1	J	none	Partial sale
448. Hologic		None			Buy	2-28	J		
449. Hologic					Sold	5-9	J	none	
450. Honeywell International	A	Dividend			Sold	7-28	J	none	Partial sale
451. Honeywell International					Sold	10-1	J	none	Partial sale
452. Honeywell International					Sold	10-15	J	none	
453. Honeywell International					Sold	11-25	J	none	
454. Research in Motion		None			Buy	1-10	J		
455. Research in Motion					Buy	3-11	J		
456. Research in Motion					Sold	6-17	J	B	Partial sale
457. Research in Motion					Sold	10-8	J	none	Partial sale
458. Research in Motion					Sold	10-31	J	none	Partial sale
459. Research in Motion					Sold	11-10	J	none	

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460 Spirit Aerosystems					Sold	4-1	J	none	Partial sale
461. Spirit Aerosystems		None			Sold	4-10	J	none	
462. Western Digital		None	J	T	Buy	5-19	J		
463. Western Digital					Buy	8-1	J		
464. Western Digital					Buy	9-2	J		
465. Western Digital					Buy	9-8	J		
466. Baxter International	A	Dividend	J	T	Buy	5-6	J		
467. Baxter International					Buy	7-22	J		
468. Baxter International					Buy	10-10	J		
469. Textron	A	Dividend	J	T	Buy	3-20	J		
470. Textron					Sold	9-19	J	none	Partial sale
471. Textron					Buy	12-19	J		
472. Motorola	A	Dividend	J	T	Buy	6-13	J		
473. Motorola					Buy	10-2	J		
474. Devon Energy	A	Dividend	J	T	Buy	9-9	J		
475. Devon Energy					Buy	9-15	J		
476. Devon Energy					Buy	10-1	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477 Schering-Plough	A	Dividend	J	T	Buy	4-29	J		
478. Schering-Plough					Sold	9-25	J	none	
479 Freeport McMoran Copper	A	Dividend			Buy	4-10	J		
480 Freeport McMoran Copper					Sold	6-10	J	A	
481. Fidelity National	A	Dividend	J	T					
482 MEMC Electronic Materials					Buy	2-1	J		
483. MEMC Electronic Materials					Buy	3-13	J		
484. MEMC Electronic Materials					Buy	4-18	J		
485. MEMC Electronic Materials					Buy	4-24	J		
486. MEMC Electronic Materials		None			Buy	6-19	J		
487. MEMC Electronic Materials					Buy	7-8	J		
488. MEMC Electronic Materials					Sold	9-12	J	none	Partial sale
489. MEMC Electronic Materials					Sold	9-25	J	none	
490. Lehman Bros.	A	Dividend			Buy	1-10	J		
491. Lehman Bros.					Buy	2-8	J		
492. Lehman Bros.					Sold	3-17	J	none	
493. Becton Dickinson	A	Dividend	J	T	Buy	4-16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. Becton Dickinson					Buy	7-22	J		
495. Becton Dickinson					Buy	8-8	J		
496. Becton Dickinson					Buy	8-12	J		
497. McKesson Corp.		None			Sold	3-18	J	none	Partial sale
498. McKesson Corp.					Sold	4-18	J	none	Partial sale
499. McKesson Corp.					Sold	5-5	J	none	
500. Wyeth	A	Dividend	J	T	Buy	8-26	J		
501. Dominion Resources	A	Dividend	J	T	Buy	10-28	J		
502. Eastern Chemical	A	Dividend	J	T	Buy	10-28	J		
503. Nike	A	Dividend	J	T	Buy	5-5	J		
504. Salesforce.com		None			Buy	5-27	J		
505. Salesforce.com					Sold	9-12	J	none	
506. Starbucks		None			Buy	5-27	J		
507. Starbucks					Sold	9-11	J	none	
508. Foster Wheeler		None			Buy	4-24	J		
509. Foster Wheeler					Sold	9-8	J	none	
510. General Mills	A	Dividend	J	T	Buy	11-13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Walt Disney		None	J	T	Buy	11-12	J		
512. Walt Disney					Buy	12-17	J		
513. Molson Coors		None	J	T	Buy	11-25	J		
514. News Corp.		None	J	T	Buy	12-11	J		
515. Alcoa	A	Dividend			Buy	1-2	J		
516. Alcoa					Buy	1-11	J		
517. Alcoa					Sold	4-1	J	none	Partial sale
518. Alcoa					Sold	8-26	J	none	Partial sale
519. Alcoa					Sold	11-3	J	none	
520. Charles Schwab Bank	A	Interest	J	T					
521. Anheuser Busch	A	Dividend			Sold	11-8	K	D	
522. Auto Data Processing	A	Dividend	J	T					
523. Avon	A	Dividend	J	T					
524. Broadridge Financial Solutions	A	Dividend	J	T					
525. Broadridge Financial Solutions					spin-off	3-30	J		
526. National City	A	Dividend			Sold	12-9	J	none	
527. Cintas	A	Dividend	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. Federated Investors	A	Dividend	J	T					
529 CME Group	A	Dividend	K	T	Buy	1-25	J		
530 CME Group					Buy	2-15	J		
531 CME Group					Buy	3-17	J		
532. CME Group					Buy	4-4	J		
533. CME Group					Buy	5-20	J		
534. CME Group					Buy	10-3	J		
535. DR Horton Inc.	A	Dividend	J	T	Buy	1-17	J		
536. Fifth Third Bancorp	A	Dividend	J	T	Sold	1-22	J	none	
537. Fifth Third Bancorp					Buy	12-23	J		
538 Intl. Game Technology	A	Dividend	J	T					
539. Ryder System					Buy	5-20	J		
540. Ryder System	A	Dividend			Sold	11-7	J	none	
541. Johnson & Johnson	A	Dividend	K	T					
542. Medtronic	A	Dividend	J	T					
543. Strayer Education	A	Dividend	K	T					
544. Sysco	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. United Parcel Service	A	Dividend	J	T					
546. JC Penny	A	Dividend		T	Buy	2-11	J		
547. JC Penny					Buy	10-28	J		
548. Wal-Mart Stores	A	Dividend	K	T	Buy	2-12	J		
549. Wal-Mart Stores					Buy	4-22	J		
550. Wal-Mart Stores					Buy	10-1	J		
551. Crosstex Energy LP	A	Distribution	J	T					
552. iShares Canada Index Fund	A	Dividend	J	T					
553. iShares EAFE Index Fund	A	Dividend	K	T					
554. Vanguard Emerging Markets Fund	A	Dividend	J	U					
555. Vanguard Small Cap Value Fund	A	Dividend	J	U					
556. Vanguard Utilities Fund	A	Dividend	J	U					
557. Alliance Bernstein Global Diversified Strategies LP		None	N	T					
558. Bernstein Global Opportunities Hedge Fund		None	N	T					
559. WFG-WP X Partners LLC		None	N	W					
560									
561									

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 74: T Rowe Price Developing Technologies Fund was merged into T. Rowe Price Science and Technology Fund.

Line 93: Trust #4 appears to have been reported last year by mistake. I have located no record indicating that such a trust exists

Line 303: This holding appears to have been reported by mistake. I have no record that it was purchased.

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Name of Person Reporting

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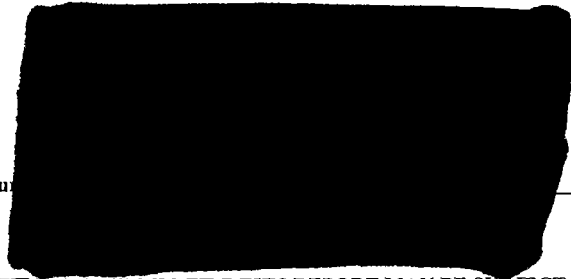
8/31/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSS, ALLYNE R.	2. Court or Organization U.S. D. C., EDNY	3. Date of Report 7/13/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. DISTRICT COURT, E.D.N.Y. 225 CADMAN PLAZA EAST, 915 S BROOKLYN, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust # 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/1 -12/31	Partner in Willkie Farr & Gallagher LLP
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children, see pp 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Aspen Institute	5-30-2008 to 6-1-2008	Wye Woods, MD	Educational Seminar	Hotel, meals
2.				
3.				
4.				
5.				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1		None	J	W					
2. IRA #1	E	Dividend	N	T					
3. -Time Warner					Sold	1-23	K		none
4. -Cisco Systems Inc.									
5. -Dreyfus Liquid Assets									
6. -Ericsson									
7. -General Electric					Buy	7-24	J		
8. -General Electric					Buy	8-18	J		
9. -General Electric					Buy	9-16	J		
10. -American Express									
11. -Citigroup									
12. -Intel									
13. -KBR					Buy	11-21	J		
14. -Microsoft									
15. -John Hancock Bank Fund					Sold	10-28	J	none	
16. -Viacom									
17. -Encana Corp.					Sold	1-23	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Enterprise Prods Partners									
19. -Managed High Yield Plus Fund					Sold	10-10	J	none	
20. -Provident Energy Trust					Buy	2-8	K		
21. -Putnam Premier Income Trust									
22. -Enerplus Resources									
23. -Bank of America Corp.					buy	7-24	K		
24. -BlackRock Global Energy & Resources Trust									
25. -Kayne Anderson MLP Invst. Co.					Sold	8-27	K	D	
26. -Yahoo Inc.									
27. -BHP Billiton Ltd.					Sold	1-29	K	D	
28. -Boardwalk Pipeline Partners					Buy	3-6	K		
29. -General Motors Corp.									
30. -Procter & Gamble Co.									
31. -New York Community Bancorp					Sold	3-26	K	B	
32. -Schering Plough Corp.					Sold	9-23	K	none	
33. -RMR Real Estate Fund					Sold	2-22	J	none	
34. -Eaton Vance Limited Duration Income Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Baytex Energy Trust					Sold	5-21	J	none	
36. -HRPT PPTYs TR					Sold	7-24	J	none	
37. -Hospitality PPTYS TR					buy	7-9	K		
38. -Hub Group					Sold	2-11	K	A	
39. -I-Trax					Sold	3-18	K	C	
40. -Johnson & Johnson					Sold	6-19	J	A	
41. -Keycorp					Sold	5-30	J	none	
42. -NYSE Euronext					Sold	8-20	J	none	
43. -Pengrowth Energy Tr.					buy	4-17	K		
44. -United Health					Sold	10-23	J	none	
45. -Powershares DB Multi-Sector Commodity TR					Sold	1-31	J	C	
46. -Nicholas-Applegate Conv.&Inc. Fund					Sold	2-25	K	none	
47. -Bristol Myers Squibb					Buy	8-11	J		
48. -Bristol Myers Squibb					Buy	10-24	J		
49. -Dow Chemical					Buy	1-8	K		
50. -KBR Inc.					Buy	11-21	J		
51. -Linn Energy					Buy	8-19	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. -Manitowoc Co.					Buy	11-6	J		
53. -Cohen&Steers Select Utility Fund					Buy	4-21	J		
54. -Fiduciary/Claymore Opportunity Fund					Buy	10-22	J		
55. -Fiduciary /Claymore Opportunity Fund					Buy	12-26	J		
56. -Greenhaven Continuous Commodity Index Fund					Buy	2-7	J		
57. -ishares FTSE NAREIT MTG REITS Index Fund					Buy	1-24	J		
58. -ishares FTSE NAREIT MTG REITS Index Fund					Buy	2-27	J		
59. -ishares FTSE NAREIT MTG Index Fund					Buy	7-22	J		
60. -IRA # 2	A	Int./Div.	K	T					
61. -Saving Acct. at Citibank									
62. -Kaiser Group Int'l									
63. -Provident Energy Trust									
64. -John Hancock Bank Fund									
65. Kayne Anderson Energy Total Return Fund					Buy	4-16	J		
66. -Tennessee Valley Authority Strips									
67. Trust # 2	A	Dividend	J	U					
68. -Dodge Cox Stock Fund									

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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69. Trust # 3	D	Dividend	M	U					
70. -T Rowe Price Short-Term Bond Fund					Buy	3-27	J		
71. -T. Rowe Price Short-Term Bond Fund					Redeemed	4-2	J		
72. -T. Rowe Price Small-Cap Value Fund					Redeemed	4-2	J		
73. -T. Rowe Price Small-Cap Value Fund									
74. -T. Rowe Price Science & Technology Fund						11-14	K	none	See Part VIII
75. -T. Rowe Price Capital Opportunity Fund					Redeemed	3-27	J		
76. Citibank Accounts	B	Interest	N	T					
77. BNP Paribas DJ Stoxx 50 Structured Note		None	M	T					
78. Citibank NY Tax-Free Reserves Fund	A	Dividend	M	T					
79. Vanguard Limited-Term Tax-Exempt Fund	E	Dividend	O	U	Buy	2-6	N		
80. Vanguard Limited-Term Tax-Exempt Fund					Buy	4-18	L		
81. Vanguard NY Tax-Exempt Money Market Fund	D	Dividend	N	U	Redeemed	4-21	K	none	partial redemption
82. Vanguard NY Tax-Exempt Money Market Fund					Redeemed	5-22	J	none	partial redemption
83. Vanguard NY Tax-Exempt Money Market Fund					Redeemed	6-18	M	none	partial redemption
84. Vanguard NY Tax-Exempt Money Market Fund					Buy	6-19	E		
85. Vanguard NY Tax-Exempt Money Market Fund					Buy	8-12	M		

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Western Asset Municipal NY Money Market Fund	A	Dividend	J	U	Redeemed	1-22	J	none	Partial redemption
87. Western Asset Municipal NY Money Market Fund					Buy	4-24	J		
88. Western Asset Municipal NY Money Market Fund					Redeemed	4-28	J		Partial redemption
89. Western Asset Municipal NY Money Market Fund					Redeemed	7-24	J		Partial redemption
90. Western Asset Municipal NY Money Market Fund					Redeemed	10-28	J		Partial redemption
91. Trust # 3	A	Dividend	J	U					
92. -Aggressive Growth Portfolio					Redeemed	7-28	J	A	Partial redemption
93. Trust # 4 - Y	C	Dividend	K	U					See Part VIII
94. WFG-WPX Partners LLC		None	N	W	buy	4-23	N		
95. Goldman Sachs High Yield Fund	C	Dividend	K	U	buy	4-6	J		
96. Fidelity Freedom Fund			K			10-7	K		
97. Bernstein Global Diversified Strategies L.P.		None	N	T					
98. S&P 500 Variable Participation Notes		None	M	T					
99. Asta Five NY		None	J	T					
100. Bernstein New York Municipal Portfolio	D	Dividend	M	U	Buy	10-28	K		
101. Bernstein New York Municipal Portfolio					Redeemed	11-13	K	none	Partial redemption
102. Bernstein New York Municipal Portfolio					Buy	12-9	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. BP PLC	A	Dividend			Buy	1-25	J		
104. BP PLC			J	T	Sold	12-8	J	none	
105. Bernstein Tax-Managed International Portfolio	D	Dividend	N	U	Buy	11-13	K		
106. Supervalue	A	Dividend	J	T	Buy	1-7	J		
107. Altria Group	A	Dividend	J	T	Buy	1-14	J		
108. Altria Group					Sold	5-5	J	none	Partial sale
109. Cash Balance at Sanford C. Bernstein & co	A	Interest	K	T					
110. Tech Data Corp.		None			Sold	12-16	J	none	
111. Hewlett Packard	A	Dividend	K	T	Buy	1-10	J		
112. Hewlett Packard					Buy	6-3	J		
113. Hewlett Packard					Buy	4-22	J		
114. Hewlett Packard					Buy	7-8	J		
115. Cameron International	none				Buy	4-10	J		
116. Ashland Inc.	A	Dividend	J	T	Buy	3-14	J		
117. Wachovia	A	Dividend			Buy	3-31	J		
118. Wachovia					Buy	4-22	J		
119. Wachovia					Sold	9-26	J	none	Partial sale

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Wachovia					Sold	9-30	J	none	
121. American International Group		None	J	T	Buy	2-11	J		
122. American International Group					Buy	2-14	J		
123. American International Group					Buy	4-22	J		
124. American International Group					Buy	5-12	J		
125. Amgen		None	J	T	Buy	11-10	J	B	
126. Tyson Foods	A	Dividend	J	T	Buy	5-1	J		
127. Apache Corp.	A	Dividend	J	T	Buy	6-27	J		
128. Apache Corp.					Buy	7-24	J		
129. Apache Corp					Buy	8-8	J		
130. Apache Corp.					Buy	10-28	J		
131. Cardinal Health	A	Dividend	J	T	Buy	6-25	J		
132. Cardinal Health					Buy	10-28	J		
133. Citigroup	A	Dividend	J	T	Buy	2-5	J		
134. Citigroup					Buy	2-7	J		
135. Citigroup					Buy	2-11	J		
136. Citigroup					Buy	2-12	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Citigroup					Buy	2-27	J		
138. Citigroup					Buy	9-22	J		
139. Citigroup					Buy	2-11	J	A	
140. Allied Waste		None			Buy	4-18	J		
141. Allied Waste					Sold	11-25	J	none	
142. Goldman Sachs	A	Dividend	K	T	Sold	2-1	J	B	Partial sale
143. Goldman Sachs					Buy	3-27	J		
144. Goldman Sachs					Buy	4-22	J		
145. Goldman Sachs					Buy	9-9	J		
146. Goldman Sachs					Sold	9-17	J	none	
147. Goldman Sachs					Buy	10-28	J		
148. Goldman Sachs					Buy	11-4	J		
149. Goldman Sachs					Buy	11-10	J		
150. Intel Corp.	A	Dividend	J	T	Sold	1-7	J	none	Partial sale
151. Intel Corp.					Sold	1-23	J	none	Partial sale
152. Intel Corp.					Sold	2-29	J	none	Partial sale
153. Intel Corp.					Buy	9-24	J		

1. Income Gain Codes* (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Bunge Ltd.		None	J	T	Buy	11-12	J		
155. Microsoft	A	Dividend	J	T	Sold	6-25	J	A	Partial sale
156. Microsoft					Sold	4-15	J	none	Partial sale
157. Procter & Gamble	A	Dividend	J	T	Buy	1-10	J		
158. Procter & Gamble					Sold	3-11	J	none	Partial sale
159. Procter & Gamble					Sold	4-18	J	none	
160. Procter & Gamble					Sold	5-1	J	B	Partial sale
161. Procter & Gamble					Sold	4-18	J	none	Partial sale
162. Procter & Gamble					Buy	10-3	J		
163. Procter & Gamble					Buy	10-9	J		
164. Costco	A	Dividend	J		Buy	1-14	J		
165. Costco					Buy	3-7	J		
166. Costco					Buy	7-8	J		
167. Costco					Sold	7-23	J	none	Partial sale
168. Costco					Buy	12-17	J		
169. Corning		None	J	T	Buy	11-25	J		
170. Rio Tinto		None			Buy	5-20	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Rio Tinto					Buy	6-12	J		
172. Rio Tinto					Sold	8-7	J	none	
173. Metlife	A	Dividend	J	T	Buy	1-4	J		
174. Metlife					Sold	4-22	J	none	Partial sale
175. Metlife					Sold	7-23	J	none	Partial sale
176. Metlife					Buy	10-8	J		
177. Sprint Nextel		None	J	T	buy	4-10	J		
178. Sprint Nextel					Buy	9-16	J		
179. Freddie Mac		None			Buy	3-14	J		
180. Freddie Mac					Sold	9-12	J	none	
181. Fannie Mae	A	Dividend			Buy	3-20	J		
182. Fannie Mae					Buy	3-27	J		
183. Fannie Mae					Buy	4-14	J		
184. Fannie Mae					Sold	7-11	J	none	Partial sale
185. Fannie Mae					Sold	7-8	J	none	Partial sale
186. Fannie Mae					Sold	9-17	J	none	
187. Ebay		None	J	T	Sold	1-9	J	none	Partial sale

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Yum Brands	A	Dividend			Buy	1-10	J		
189. Yum Brands					Sold	7-22	J	none	
190. Vm Ware		None			Buy	4-30	J		
191. Vm Ware					Sold	7-10	J	none	
192. Gannett	A	Dividend	J	T	Buy	6-6	J		
193. Flextronics		None	J	T					
194. Ericsson		None	J	T	Buy	10-21	J		
195. Ericsson					Buy	10-23	J		
196. Ericsson					Buy	11-13	J		
197. Ericsson					Buy	12-13	J		
198. Partnerre Ltd.		None			Sold	2-7	J	B	
199. Dell		None	J	T	Buy	10-21	J		
200. Home Depot		None	J	T	Buy	10-17	J		
201. Lowe's Cos.		None			Buy	10-17	J		
202. Pepsico	A	Dividend	J	T					
203. Safeway	A	Dividend			Sold	2-4	J	C	
204. Boeing		None			Sold	1-7	J	C	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Borg Warner	A	Dividend			Sold	2-13	J	C	
206. Chevron Corp.	A	Dividend	K	T	Sold	11-21	J	none	Partial sale
207. Chevron Corp.					Sold	11-28	J	A	Partial sale
208. Chevron Corp.					Sold	12-5	J	A	Partial sale
209. Chevron Corp.					Sold	11-20	J	none	Partial sale
210. Chevron Corp.					Sold	11-17	J	none	Partial sale
211. J.P. Morgan Chase	B	Dividend	K	T	Sold	9-8	J	none	
212. J.P. Morgan Chase					Buy	10-21	J		
213. McDonalds	A	Dividend	K	T	Sold	1-7	J	C	Partial sale
214. McDonalds					Buy	3-19	J		
215. McDonalds					Buy	9-29	J		
216. McDonalds					Buy	10-6	J		
217. McDonalds					Buy	11-13	J		
218. Medco Health Solutions		None	J	T	Buy	3-13	J		
219. Medco Health Solutions					Buy	3-17	J		
220. Medco Health Solutions					Sold	10-1	J	none	Partial sale
221. Medco Health Solutions					Sold	11-11	J	none	Partial sale

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Merck	A	Dividend	K	T	Sold	3-7	J	none	Partial sale
223. Merck					Buy	6-2	J		
224. Merck					Buy	6-23	J		
225. Merck					Buy	7-9	J		
226. Merrill Lynch	A	Dividend			Buy	5-15	J		
227. Merrill Lynch					Sold	9-11	J	none	
228. Target	A	Dividend			Sold	1-7	J	none	Partial sale
229. Target					Sold	2-28	J	A	Partial sale
230. Target					Sold	4-30	J	A	Partial sale
231. Target					Sold	10-13	J	none	
232. XL Capital	A	Dividend			Buy	8-28	J		
233. XL Capital					Buy	10-3	J		
234. Alcon	A	Dividend	J	T	Sold	11-13	J	none	Partial sale
235. Broadcom					Sold	3-17	J	none	Partial sale
236. Broadcom					Sold	7-22	J	A	Partial sale
237. Broadcom		None			Sold	10-8	J	none	
238. Google			K	T	buy	8-1	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Google					Buy	12-3	J		
240. Google					Sold	10-17	J	none	Partial sale
241. Qualcomm	A	Dividend	K	T	Buy	6-12	J		
242. Qualcomm					Buy	7-1	J		
243. Qualcomm					Buy	7-28	J		
244. Qualcomm					Buy	10-3	J		
245. Qualcomm					Buy	12-3	J		
246. Teva Pharmaceutical	A	Dividend	J	T	Buy	1-25	J		
247. Teva Pharmaceutical					Buy	4-14	J		
248. Tava Pharmaceutical					Buy	8-12	J		
249. Teva Pharmaceutical					Sold	12-11	J	none	Partial sale
250. Clorox	A	Dividend	J	T	Sold	2-7	J	A	
251. Bank of America	B	Dividend	J	T	Buy	2-6	J		
252. Bank of America					Buy	2-11	J		
253. Bank of America					Sold	4-15	J	none	
254. Bank of America					Buy	12-22	J		
255. Bank of America					Buy	12-15	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

7/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Bank of America					Buy	12-31	J		
257. Conoco Phillips	A	Dividend	K	T	Buy	1-23	J		
258. Conoco Phillips					Sold	11-24	J	none	Partial sale
259. Conoco Phillips					Sold	11-24	J	none	Partial sale
260. Conoco Phillips					Sold	11-28	J	none	Partial sale
261. National-Oilwell		None			Buy	4-24	J		
262. National-Oilwell					Buy	4-30	J		
263. National-Oilwell					Sold	11-14	J	none	
264. Union Pacific	A	Dividend			Buy	4-18	J		
265. Union Pacific					Sold	11-26	J	none	
266. Exxon Mobil	A	Dividend	K	T	Sold	8-12	J	A	Partial sale
267. Exxon Mobil					Sold	9-11	J	B	Partial sale
268. Exxon Mobil					Sold	9-18	J	A	Partial sale
269. Bernstein Emerging Markets Portfolio	C	Dividend	Z	U	buy	12-9	J		
270. Hartford Financial Services	A	Dividend	J	T	Buy	3-31	J		
271. Hartford Financial Services					Buy	6-6	J		
272. Hartford Financial Services					Buy	7-18	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

7/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Hartford Financial Services					Buy	11-3	J		
274. Hartford Financial Services					Sold	12-16	J	none	Partial sale
275. Genworth Financial		None			Buy	7-25	J		
276. Genworth Financial						7-28	J		
277. Renaissance Holdings	A	None			Sold	2-7	J	A	
278. Aflac	A	Dividend			Sold	4-1	J	B	
279. Apple Computer		None	K	T	Sold	1-7	J	D	Partial sale
280. Apple Computer					Sold	1-18	J	C	Partial sale
281. Apple Computer					Buy	2-8	J		
282. Apple Computer					Buy	3-5	J		
283. Apple Computer					Buy	3-27	J		
284. Apple Computer					Sold	4-22	J	C	Partial sale
285. Apple Computer					Buy	6-19	J		
286. Apple Computer					Buy	10-3	J		
287. Franklin Resources	A	Dividend	J	T	Sold	3-5	J	none	Partial sale
288. Frankin Resouces					Sold	4-18	J	none	Partial sale
289. Franklin Resources					Sold	9-18	J	none	Partial sale

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	T = Cash Market		
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Philip Morris	A	Dividend	J		Buy	7-24			
291. Genentech		None	K	T	Sold	1-25	J	none	Partial sale
292. Genentech					Sold	3-17	J		
293. Genentech					Sold	7-11	J	none	Partial sale
294. Genentech					Buy	10-3	J		
295. Genentech					Buy	10-3	J		
296. Genentech					Buy	11-18	J		
297. Gilead Sciences	none		K	T	buy	10-9	J		
298. Gilead Sciences					Sold	6-13	J	B	Partial sale
299. Sanofi Aventis		None	J	T	Buy	12-19	J		
300. TJX Companies		None	J	T	Buy	12-19	J		
301. Limited Brands		None	J	T	Buy	12-18	J		
302. Viacom		None	J	T	Buy	12-18	J		
303. Northern Trust									See Part VIII
304. Schlumberger	A	Dividend	K	T	Buy	1-10	J		
305. Schlumberger					Buy	11-25			
306. Wellpoint		None			Sold	2-8	J	A	Partial sale

1. Income Gain Codes.

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

7/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Wellpoint					Sold	3-7	J	none	Partial sale
308. Wellpoint					Sold	3-12	J	none	
309. Time Warner	A	Dividend	J	T	Buy	10-28	J		
310. Time Warner					Buy	12-8	J		
311. Wm. Wrigley Co.	A	Dividend	J	T	buy	1-18	J		
312. Wm. Wrigley Co.					Buy	8-7	J		
313. Wm. Wrigley Co.					Sold	9-22	J	A	Partial sale
314. Wm. Wrigley Co.					Sold	9-25	J	B	Partial sale
315 Wm. Wrigley Co.					Sold	10-7	J	C	
316. Emerson Electric	A	Dividend	J	T	Buy	1-10	J		
317. Emerson Electric					Sold	4-10	J	none	Partial sale
318. Cisco Systems		None	K	T	Sold	4-22	J	none	Partial sale
319. Cisco Systems					Sold	5-16	J	none	Partial sale
320 General Electric	A	Dividend	J	T	Sold	6-23	J	none	Partial sale
321. The Travelers Cos.	A	Dividend	J	T	Sold	4-18	J	none	Partial sale
322. The Travelers Cos.					Sold	11-28	J	none	Partial sale
323. AmersourceBergen	A	Dividend			Sold	9-16		none	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

7/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. AT & T	B	Dividend	K	T					
325. Lockheed Martin	A	Dividend			Buy	9-15	J		
326. Lockheed Martin					Buy	11-18	J		
327. Activision Blizzard Inc.		None	J	T	Buy		J		
328. Black & Decker	A	Dividend	J	T					
329. CBS	A	Dividend	J	T	Buy	12-8	J		
330. Coca Cola	A	Dividend			Buy	1-10	J		
331. Coca-Cola					Buy	7-22	J		
332. IBM		None			Sold	1-23	J	B	
333. Kroger		None			Sold	4-1	J	B	
334. Arcelormittal	A	Dividend	K	T	Sold	3-19	J	C	Partial sale
335. Arcelormittal					Sold	5-22	J	B	Partial sale
336. Arcelomittal					Sold	11-24	J	none	
337. Nokia	A	Dividend	J	T	buy	2-15	J		
338. Nokia					Sold	4-30	J	none	Partial sale
339. Nokia					Sold	5-22	J	none	Partial sale
340. Nokia					Sold	7-1	J	none	Partial sale

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

7/13/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Nokia					Sold	7-18	J	none	Partial sale
342. Nokia					Sold	8-15	J		Partial sale
343. Nokia					Buy	10-9	J		
344. Northrop Grumman	A	Dividend	K	T	Sold	3-28	J	none	Partial sale
345. Northrop Grumman					Sold	5-20	J	none	Partial sale
346. Northrop Grumman					Sold	8-27	J	A	Partial sale
347. Northrop Grumman					Sold	10-30	J	none	
348. Pfizer	B	Dividend	K	T	Buy	1-15	J		
349. Pfizer					Sold	8-28	J	none	Partial sale
350. Sara Lee	A	Dividend			Sold	2-7	J	none	
351. Transocean Inc.		None			Buy	4-18	J		
352. Transocean Inc.					Buy	4-24	J		
353. Transocean Inc.					Sold	10-17	J	none	
354. Electronic Arts		None			Buy	7-24	J		
355. Electronic Arts					Sold	10-6	J	none	
356. Kellogg Co.	A	Dividend			Buy	9-15	J		
357. Kellogg Co.					Buy	10-8	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

7/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Kellogg Co.					Sold	12-22	J	none	
359. Verizon	A	Dividend	J	T	Buy	11-22	J		
360. America Movil	A	Dividend		T	Sold	1-7	J	B	Partial sale
361. America Movil					Buy	5-27	J	none	
362. America Movil					Sold	11-21	J	none	
363. Baker Hughes	A	Dividend			Sold	1-25	J	none	Partial sale
364. Baker Hughes					Sold	1-31	J	none	Partial sale
365. Baker Hughes					Sold	3-25	J	none	Partial sale
366. Baker Hughes					Sold	4-16	J	none	Partial sale
367. Baker Hughes					Sold	8-12	J	none	
368. Charles Schwab		None			Buy	11-13	J		
369. Fluor	A	Dividend	J	T	Buy	1-10	J		
370. Fluor					Sold	3-20	J	none	Partial sale
371. Fluor					Sold	5-22	J	B	Partial sale
372. Fluor					Sold	7-22	J	B	Partial sale
373. Fluor					Sold	11-10	J	none	Partial sale
374. Kohls		None	J	T	Buy	4-22	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

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7/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Kohls					Buy	9-24	J		
376. Las Vegas Sands Corp.		None			Sold	1-18	J	A	
377. Monsanto	A	Dividend	K	T	Sold	1-7	J	D	Partial sale
378. Monsanto					Buy	7-15	J		
379. Monsanto					Buy	9-29	J		
380. Nvidia		None	J	T	Buy	4-22	J		
381. Nvidia					Sold	7-3	J	none	Partial sale
382. Nvidia					Sold	7-14	J	none	Partial sale
383. Nvidia					Buy	9-4	J		
384. Nvidia					Buy	9-17	J		
385. NYSE Euronext	A	Dividend	J	T	Sold	9-17	J	none	
386. Valero Energy Corp.	A	Dividend			Buy	4-8	J		
387. Valero Energy Corp.					Sold	12-24	J	none	
388. United Technologies		None			Sold	2-7	J	none	
389. MBIA	A	Dividend			Sold	2-1	J	none	
390. American Electric Power	A	Dividend			Sold	2-14	J	B	
391. Allstate Corp.	A	Dividend	J	T	Buy	11-15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Autoliv Inc.	A	Dividend	J	T					
393. Caterpillar Inc.	A	Dividend	J	T	Sold	7-7	J	none	Partial sale
394. Caterpillar Inc.					Buy	11-12	J		
395. Covidien Ltd.	A	Dividend			Sold	2-1	J	A	
396. Deutsche Bank	B	Dividend	J	T	Buy	2-11	J		
397. Deutsche Bank					Buy	3-14	J		
398. Dow Chemical	A	Dividend			Sold	5-1	J	none	Partial sale
399. Dow Chemical					Sold	10-23	J	none	Partial sale
400. Dow Chemical					Sold	11-7	J	none	Partial sale
401. Dow Chemical					Sold	11-19	J	none	
402. General Motors	A	Dividend			Buy	9-29	J	none	
403. Ingersoll Rand		None			Sold	2-13	J	none	
404. Kraft Foods	A	Dividend			Sold	1-7	J	C	
405. Macy's Inc.	A	Dividend	J	T	Buy	6-25	J		
406. Macy's Inc.					Sold	10-30	J	none	Partial sale
407. Marathon Oil	A	Dividend			Sold	3-11	J	A	Partial sale
408. Marathon Oil					Sold	5-29	J	A	

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Morgan Stanley	A	Dividend	J	T	Buy	2-14	J		
410. Morgan Stanley					Buy	2-15	J		
411. Morgan Stanley					Buy	8-1	J		
412. Parker Hannifin	A	Dividend			Sold	7-22	J	A	
413. Royal Dutch Shell			K	T	Buy	4-3	J		
414. Royal Dutch Shell					Buy	4-7	J		
415. Tech Data		None			Sold	12-16	J	none	
416. Terex		None			Sold	3-5	J	none	Partial sale
417. Terex					Sold	4-16	J	none	
418. Bristol Myers Squibb	A	Dividend	J	T	Buy	10-28	J		
419. Tyco Electronics	A	Dividend			Sold	4-2	J	none	
420. Tyco International	A	Dividend			Sold	5-16	J	none	
421. VF Corp.	A	Dividend			Sold	7-30	J	none	
422. Vodafone	A	Dividend			Sold	6-24	J	none	Partial sale
423. Vodafone					Sold	7-16	J	A	
424. Abbott Laboratories	A	Dividend	J	T	Sold	4-17	J	none	
425. Abbott Laboratories					Buy	9-22	J		

1. Income Gain Codes:

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Abbott Laboratories					Sold	12-11	J	none	Partial sale
427. Adobe Systems		None			Sold	3-25	J	none	Partial sale
428. Adobe Systems					Sold	4-4	J	none	Partial sale
429. Adobe Systems					Sold	4-22	J	none	
430. Air Products & Chemicals	A	Dividend	J	T	Sold	2-1	J	none	Partial sale
431. Air Products & Chemicals					Sold	4-15	J	A	Partial sale
432. Air Products & Chemicals					Sold	8-8	J	A	Partial sale
433. Air Products & Chemicals					Sold	10-28	J	none	Partial sale
434. American Express		None			Sold	1-11	J	none	
435. Cameron International		None	J	T					
436. Abb Ltd.		None			Sold	4-2	J	none	Partial sale
437. Abb Ltd.					Buy	4-9	J	A	
438. Celgene		None	K	T	Buy	3-11	J		
439. Colgate-Palmolive	A	Dividend	J	T	Buy	1-11	J		
440. Colgate-Palmolive					Sold	6-5	J	none	Partial sale
441. Deere	A	Dividend	J	T	Sold	4-18	J	B	Partial sale
442. Deere					Sold	4-22	J	A	Partial sale

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. Deere					Sold	10-10	J	none	Partial sale
444. EOG Res.	A	Dividend	J	T	Buy	1-10	J		
445. EOG Res.					Buy	7-15	J		
446. EOG					Buy	8-18	J		
447. EOG Res.					Sold	10-1	J	none	Partial sale
448. Hologic		None			Buy	2-28	J		
449. Hologic					Sold	5-9	J	none	
450. Honeywell International	A	Dividend			Sold	7-28	J	none	Partial sale
451. Honeywell International					Sold	10-1	J	none	Partial sale
452. Honeywell International					Sold	10-15	J	none	
453. Honeywell International					Sold	11-25	J	none	
454. Research in Motion		None			Buy	1-10	J		
455. Research in Motion					Buy	3-11	J		
456. Research in Motion					Sold	6-17	J	B	Partial sale
457. Research in Motion					Sold	10-8	J	none	Partial sale
458. Research in Motion					Sold	10-31	J	none	Partial sale
459. Research in Motion					Sold	11-10	J	none	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. Spirit Aerosystems					Sold	4-1	J	none	Partial sale
461. Spint Aerosystems		None			Sold	4-10	J	none	
462. Western Digital		None	J	T	Buy	5-19	J		
463. Western Digital					Buy	8-1	J		
464. Western Digital					Buy	9-2	J		
465. Western Digital					Buy	9-8	J		
466. Baxter International	A	Dividend	J	T	Buy	5-6	J		
467. Baxter International					Buy	7-22	J		
468. Baxter International					Buy	10-10	J		
469. Textron	A	Dividend	J	T	Buy	3-20	J		
470. Textron					Sold	9-19	J	none	Partial sale
471. Textron					Buy	12-19	J		
472. Motorola	A	Dividend	J	T	Buy	6-13	J		
473. Motorola					Buy	10-2	J		
474. Devon Energy	A	Dividend	J	T	Buy	9-9	J		
475. Devon Energy					Buy	9-15	J		
476. Devon Energy					Buy	10-1	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. Schering-Plough	A	Dividend	J	T	Buy	4-29	J		
478. Schering-Plough					Sold	9-25	J	none	
479. Freeport McMoran Copper	A	Dividend			Buy	4-10	J		
480. Freeport McMoran Copper					Sold	6-10	J	A	
481. Fidelity National	A	Dividend	J	T					
482. MEMC Electronic Materials					Buy	2-1	J		
483. MEMC Electronic Materials					Buy	3-13	J		
484. MEMC Electronic Materials					Buy	4-18	J		
485. MEMC Electronic Materials					Buy	4-24	J		
486. MEMC Electronic Materials		None			Buy	6-19	J		
487. MEMC Electronic Materials					Buy	7-8	J		
488. MEMC Electronic Materials					Sold	9-12	J	none	Partial sale
489. MEMC Electronic Materials					Sold	9-25	J	none	
490. Lehman Bros.	A	Dividend			Buy	1-10	J		
491. Lehman Bros.					Buy	2-8	J		
492. Lehman Bros.					Sold	3-17	J	none	
493. Becton Dickinson	A	Dividend	J	T	Buy	4-16	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. Becton Dickinson					Buy	7-22	J		
495. Becton Dickinson					Buy	8-8	J		
496. Becton Dickinson					Buy	8-12	J		
497. McKesson Corp		None			Sold	3-18	J	none	Partial sale
498. McKesson Corp.					Sold	4-18	J	none	Partial sale
499. McKesson Corp.					Sold	5-5	J	none	
500. Wyeth	A	Dividend	J	T	Buy	8-26	J		
501. Dominion Resources	A	Dividend	J	T	Buy	10-28	J		
502. Eastern Chemical	A	Dividend	J	T	Buy	10-28	J		
503. Nike	A	Dividend	J	T	Buy	5-5	J		
504. Salesforce.com		None			Buy	5-27	J		
505. Salesforce.com					Sold	9-12	J	none	
506. Starbucks					Buy	5-27	J		
507. Starbucks					Sold	9-11	J	none	
508. Foster Wheeler		None			Buy	4-24	J		
509. Foster Wheeler					Sold	9-8	J	none	
510. General Mills	A	Dividend	J	T	Buy	11-13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Walt Disney		None	J	T	Buy	11-12	J		
512. Walt Disney					Buy	12-17	J		
513. Molson Coors		None	J	T	Buy	11-25	J		
514. News Corp.		None	J	T	Buy	12-11	J		
515. Alcoa	A	Dividend			Buy	1-2	J		
516. Alcoa					Buy	1-11	J		
517. Alcoa					Sold	4-1	J	none	Partial sale
518. Alcoa					Sold	8-26	J	none	Partial sale
519. Alcoa					Sold	11-3	J	none	
520. Charles Schwab Bank	A	Interest	J	T					
521. Anheuser Busch	A	Dividend			Sold	11-8	K	D	
522. Auto Data Processing	A	Dividend	J	T					
523. Avon	A	Dividend	J	T					
524. Broadridge Financial Solutions	A	Dividend	J	T					
525. Broadridge Financial Solutions					spin-off	3-30	J		
526. National City	A	Dividend			Sold	12-9	J	none	
527. Cintas	A	Dividend	J	T					

1 Income Gain Codes*

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. Federated Investors	A	Dividend	J	T					
529. CME Group	A	Dividend	K	T	Buy	1-25	J		
530. CME Group					Buy	2-15	J		
531. CME Group					Buy	3-17	J		
532. CME Group					Buy	4-4	J		
533. CME Group					Buy	5-20	J		
534. CME Group					Buy	10-3	J		
535. DR Horton Inc.	A	Dividend	J	T	Buy	1-17	J		
536. Fifth Third Bancorp	A	Dividend	J	T	Sold	1-22	J	none	
537. Fifth Third Bancorp					Buy	12-23	J		
538. Intl. Game Technology	A	Dividend	J	T					
539. Ryder System					Buy	5-20	J		
540. Ryder System	A	Dividend			Sold	11-7	J	none	
541. Johnson & Johnson	A	Dividend	K	T					
542. Medtronic	A	Dividend	J	T					
543. Strayer Education	A	Dividend	K	T					
544. Sysco	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. United Parcel Service	A	Dividend	J	T					
546. JC Penny	A	Dividend	J	T	Buy	2-11	J		
547. JC Penny					Buy	10-28	J		
548. Wal-Mart Stores	A	Dividend	K	T	Buy	2-12	J		
549. Wal-Mart Stores					Buy	4-22	J		
550. Wal-Mart Stores					Buy	10-1	J		
551. Crosstex Energy LP	A	Distribution	J	T					
552. ishares Canada Index Fund	A	Dividend	J	T					
553. ishares EAFE Index Fund	A	Dividend	K	T					
554. Vanguard Emerging Markets Fund	A	Dividend	J	U					
555. Vanguard Small Cap Value Fund	A	Dividend	J	U					
556. Vanguard Utilities Fund	A	Dividend	J	U					
557. Alliance Bernstein Global Diversified Strategies LP			N	T					
558. Bernstein Global Opportunities Hedge Fund			N	T					
559. WFG-WP X Partners LLC			N	W					
560.									
561.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 74: T. Rowe Price Developing Technologies Fund was merged into T. Rowe Price Science and Technology Fund.

Line 93: Trust #4 appears to have been reported last year by mistake. I have located no record indicating that such a trust exists

Line 303: This holding appears to have been reported by mistake. I have no record that it was purchased.

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Name of Person Reporting

ROSS, ALLYNE R.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544