

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Co., Western La	3. Date of Report 05/15/2008
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☒ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. Courthouse 300 Fannin St., Suite 5101 Shreveport, LA 71101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Lecturer	Centenary College of Louisiana
2. Board of Directors Member	Children and Arthritis, Inc. (501(c) (3) organization)
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	Centenary College of Louisiana - Teaching	\$ 2,500
2. 2007	State Farm - LA Statutory Penalty on Auto Insurance Assessment Error	\$ 2,000.
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Paralegal services - self-employed
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Hibernia National Bank	Mortgage - Rental Property #1, Ruston, LA	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Bank Accounts	C	Interest	M	T					
2. State Farm Life Insurance Co. Account	A	Interest	K	T					
3. Northwestern Mutual Life Insurance Co. Account	A	Interest	K	T					
4. Timber Land, Lincoln Parish, Louisiana - 1/1/96, \$30,000		None	K	R					
5. Capital One Bank Stock Common (formerly Hibernia Nat'l)	A	Dividend	K	T					
6. Rental Property #1, Ruston, LA - 07/03/01, \$75,427	D	Rent	L	R					
7. IRA # 1 DGAGX Mutual Fund	C	Dividend	K	T					
8. IRA # 1 FMAGX Mutual Fund	D	Dividend	K	T					
9. IRA # 1 Capital One Money Fund	A	Dividend	J	T					
10. Reliant Resources Stock Common 100	A	Dividend			sell	09/07	J	A	
11. VAC Federal Credit Union	A	Interest	J	T					
12. Barksdale Federal Credit Union	A	Interest	K	T					
13. Red River Syndicate LP	A	Dividend	K	U					
14. IRA #1 Martin Midstream Partners LTD	A	Dividend	J	T					
15. Janney, Montgomery Scott Brokerage Account - Cash	A	Interest	J	T					
16. I2 Technologies Stock Common 20		None			sell	10/15	J	A	
17. IRA #1 Encana Corp	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA #1 Canadian Oil Sands	A	Dividend	K	T					
19. IRA #1 XTO Energy	A	Dividend	J	T					
20. IRA #1 Valero Energy	A	Dividend	K	T					
21. IRA #2 Conoco Phillips	A	Dividend	J	T					
22. IRA #1 EnergySouth	A	Dividend	K	T					
23. IRA #1 Toreador Res	A	Dividend			sell	12/31	J	A	
24. IRA #1 Entrada Networks		None	J	T					
25. IRA #1 Globalnet		None	J	T					
26. NFJ Dividend Interest	A	Dividend			sell	3/22	J	A	
27. Vkipox-30 index	A	Dividend	J	T	part sell	01/05	J	A	
28. Biosante Pharm	A	Dividend			sell	5/22	J	A	
29. Cabelas	A	Dividend	J	T					
30. Future Link Copr		None			sell	03/22	J	A	
31. Sun Microsystems	A	Dividend			sell	6/14	J	A	
32. WSR Energy Resources	A	Dividend	J	T					
33. Giant Studios		None			sell	08/08	J	A	
34. IRA #2 Cpaital One Money Fund	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
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N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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35. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
36. IRA #2 Canadian Oil Sands 140	A	Dividend	J	T					
37. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
38. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
39. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
40. IRA #2 Valero Energy 200	A	Dividend	J	T					
41. IRA #2 Peabody Energy 150	A	Dividend			sell	12/31	J	A	
42. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
43. IRA #2 Energy South 100	A	Dividend			sell	12/31	J	A	
44. IRA #2 XTO Energy	A	Dividend	K	T					
45. IRA #2 Peabody Energy 75	A	Dividend			sell	12/31	J	A	
46. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
47. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
48. IRA #2 XTO Energy 100	A	Dividend	J	T					
49. IRA #2 Hugoton Rty Tr Tex	A	Dividend			sell	12/31	J	A	
50. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
51. IRA #1 XTO Energy 200	A	Dividend	J	T	part sell	08/30	J	A	

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less.

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K = \$15,001 - \$50,000

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R = Cost (Real Estate Only)

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P4 = More than \$50,000,000

S = Assessment

W = Estimated

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H2 = More than \$5,000,000

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P2 = \$5,000,001 - \$25,000,000

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52. IRA #2 Sun Microsystems 1000	A	Dividend			sell	12/31	J	A	
53. IRA #1 Paladin Res NI 1000	A	Dividend			sell	12/31	J	A	
54. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
55. IRA #1 Petro Hawk 350	A	Dividend	J	T					
56. IRA #1 American Science & Engineering 100	A	Dividend			sell	12/31	J	A	
57. IRA #1 Coach 150	A	Dividend			sell	12/31	J	A	
58. IRA #1 Valero Energy 98	A	Dividend			sell	12/31	J	A	
59. IRA #1 Canadian Oil Sands 150	A	Dividend	J	T	part sell	08/30	J	A	
60. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
61. IRA #1 Capital One Money Fund		None			sell	12/31	J	A	
62. Sirius Satellite Radio 1000	A	Dividend			sell	06/14	J	A	
63. Sumamerica focused alpha Lrg	A	Dividend	J	T	part sell	10/15	J	A	
64. First Trust Unit 1113 India Growth	A	Dividend			sell	09/07	J	A	
65. First Trust 1004 Val Architect	A	Dividend			sell	03/22	J	A	
66. Van Kampen unit argus turnaround	A	Dividend			sell	11/07	K	B	
67. Van Kampen Unit Trust 519	A	Dividend	J	T					
68. Van Kampen Unit Trust 520	A	Dividend	J	T					

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(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

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H2 = More than \$5,000,000

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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

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P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

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P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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69. Biosante Pharmaceutical	A	Dividend	J	T	buy	06/14	J		
70. FT Global Infran	A	Dividend	J	T	buy	08/28	J		
71. FT Greater Asia	A	Dividend	J	T	buy	09/12	J		
72. Kenetics Paradigm	A	Dividend	J	T	buy	03/22	J		
73. NYSE Group Inc	A	Dividend	J	T	buy	03/22	J		
74. VK Argus Trmarnd	A	Dividend	J	T	buy	11/07	J		
75. The Sequoia Fund	A	Dividend	J	T	buy	06/30	J		
76. Canadian Solar		None	J	T	buy	12/07	J		
77. Chesapeake Energy		None	J	T	buy	10/01	J		
78. Conanhia Vale Do Rio Doce	A	Dividend	J	T	buy	09/21	J		
79. Denbury Resources		None	J	T	buy	12/21	J		
80. JA Solar Holdings		None	J	T	buy/part sel	12/21	J	A	
81. McDermott International		None			buy/sell	12/07	J	A	
82. Peerless Manufacturing		None	J	T	buy	10/26	J		
83. Petrohawk Energy		None	J	T	buy	06/22	J		
84. Shaw Group		None			buy/sell	12/07	J	A	
85. Suez Sponsored ADR		None			buy/sell	08/31	J	A	

1. Income Gain Codes.
(See Columns B1 and D4)

2. Value Codes.
(See Columns C 1 and D3)

3. Value Method Codes.
(See Column C2)

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F = \$50,001 - \$100,000
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86. SunPower		None	J	T	buy/part sel	07/02	J	A	
87. IRA #1 BHP Limited	A	Dividend	J	T	buy	03/30	J		
88. IRA #2 United Online		None			buy/sell	08/30	J	A	
89. IRA #2 Cypress Semiconductor	A	Dividend	J	T	buy	08/30	J		
90. IRA #2 International Power PLC	A	Dividend	J	T	buy	08/30	J		
91. IRA #2 JA Solar Holdings		None			buy/sell	08/16	J	A	
92. IRA #1 Dodge and Cox Int'l Stock Fd	A	Dividend	J	T	buy	07/25	J		
93. IRA #1 Denbury Resources	A	Dividend	J	T	buy	03/30	J		
94. IRA #1 NYSE Euronext	A	Dividend	J	T	buy	03/30	J		
95. IRA #1 Reliant Energy	A	Dividend	J	T	buy	03/30	J		
96. IRA #1 Street Tracks Gold Trust	A	Dividend	J	T	buy	03/30	J		
97. IRA #1 Genesis Energy LP	A	Dividend	J	T	buy	03/30	J		
98. IRA #2 Cia Vale Do Rio Doce		None	J	T	buy	09/21	J		
99. IRA #2 Cree		None	J	T	buy	10/02	J		
100. IRA #2 Denbury Resources		None	J	T	buy	10/30	J		
101. IRA #2 Sunpower		None	J	T	buy	10/30	J		
102. IRA #2 Encana		None	J	T	buy	10/30	J		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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R = Cust (Real Estate Only)
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103. IRA #2 Petrohawk Energy		None	J	T	buy	10/30	J		
104. McDermott Int'l		None	J	T	buy	12/10	J		
105. canadian solar		None	J	T	buy	12/07	J		
106. ALJ Regional Holdings		None	J	T	buy	12/31	J		
107. Ditech Networks		None	J	T	buy	12/31	J		
108. Morgan Keegan cash		None	J	T	buy	12/31	J		
109.									

1. Income Gain Codes:
(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hicks, Jr., S. Maurice

Date of Report

05/15/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hicks, Jr., S. Maurice

Date of Report

05/15/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544