

UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY

CHAMBERS OF
JOHN C. LIFLAND
JUDGE

MARTIN LUTHER KING JR.
FEDERAL BUILDING & U.S. COURTHOUSE
50 WALNUT ST., ROOM 4062
P.O. BOX 999
NEWARK, NJ 07101-0999
(201) 645-3167

FINANCIAL
DISCLOSURE OFFICE

NOV 29 10 51 AM '04

RECEIVED

November 24, 2004

To the Committee on Financial Disclosure:

I apologize for not responding to Judge Lisi's letter of August 4, 2004. I will do so as soon as I can assemble the required information.

As to the appraisal date for what is now [REDACTED], there is a professional appraisal for this asset and it is dated sometime in mid-2003. I can't find it, probably because we have moved and can't find much of anything. The appraisal was used to determine the price at which I would buy out the half-interest in this property owned by [REDACTED]. I will keep looking, but will get the date from [REDACTED] in any event.

As to paragraph 2 of Judge Lisi's letter (re 1/3 Unit Franklin Bldg. Assoc....), I paid \$48,771 for this asset, between 1984 and 1990, as disclosed on all prior reports through 1999. I apologize for my oversight in omitting this information from the 2000-2003 Reports.

Very Truly Yours,

[REDACTED]
John C. Lifland, USD

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) LIFLAND, JOHN C	2. Court or Organization U.S. District Court	3. Date of Report 6/2/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address Martin Luther King Fed. Bldg 50 Walnut Street Newark, NJ 07101-0999	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Executor & Trustee Estate #2 See VIII

2.

II AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

2.

RECEIVED
 2004 JUN -9 A 11:10
 FINANCIAL
 DISCLOSURE OFFICE

III NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)

☐ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

1. 9/10/03

Estate #2- Executor's Commission

\$2500.00

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

LIFLAND, JOHN C

Date of Report

6/2/2004

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. See Non-Case-Related travel report for 2003(attached)

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 3

Name of Person Reporting
LIFLAND, JOHN C

Date of Report
6/2/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. 1/2 interest real property	B	Rent	O	Q					
2. Fleet Boston Financial Common	E	Dividend	N	T					
3. Exxon/Mobil Common	B	Dividend	K	T					
4. Western Resources Common	A	Div.	J	T					See VIII
5. 1/3 Unit Franklin Bldg. Assoc. (Real Est. Ltd. P'ship)	A	Int.	K	R					
6. IRA #1	A	Dividend	N	T					
7. - Alcon									
8. - Cisco Systems Common									
9. - Comcast Corp. Common									
10. - Federal Home Loan Bank Bonds					Sale	6/19	K	A	
11. - Flextronics Common									
12. - Home Depot Common					Sale	12/18	J	A	
13. - Huaneng Power Int'l									
14. - IBM Common									
15. - Lowes Companies Common									
16. - L3 Communications Holdings									
17. - Lucent Common									
18. - Microsoft Common									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

FINANCIAL DISCLOSURE REPORT

Page 2 of 3

Name of Person Reporting

LIFLAND, JOHN C

Date of Report

6/2/2004

VII INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. - Network Appliance Common					Sale	12/15	J	A	
20. - Oracle Systems Common					Sale	3/19	J	A	
21. - Penn Virginia Common (X)									
22. - Questar Common (X)									
23. - Sanmina Corp. Common									
24. - Tidewater Common									
25. - Vodafone Airtouch Common									
26. IRA #2	D	Div/Int.	M	T					
27. Lucent Technologies Common	A	Dividend	J	T					
28. Fleet Bank	A	Interest	J	T					
29. Fleet Bank IRA	A	Interest	J	T					
30. Estate #2	A	Interest	M	T					See VII
31. Principal Financial Group Common	A	Dividend	K	T					
32. Reed Elsevier Inc. Pension Plan	A	Div/Int.	K	T					
33. America Movil SA ADR					Bought	12/15	J		
34. Chicago Bridge & Iron					Bought	12/15	J		
35. Fluor Corporation					Bought	3/21	J		
36. Kroll Incorporated					Bought	12/15	J		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
 P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

FINANCIAL DISCLOSURE REPORT

Page 3 of 3

Name of Person Reporting

LIFLAND, JOHN C

Date of Report

6/2/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37.									
38.									
39.									
40.									
41.									
42.									
43.									
44.									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

LIFLAND, JOHN C

Date of Report

6/2/2004

VIII ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

I Estate #2 assets distributed to beneficiaries, and to Trust of which I am a Trustee, during 2003. Decedent was a [REDACTED] member, as are beneficiaries..

VII (4) Formerly Kansas City Power and Light Company

**Calendar Year 2003 Travel (Certified)
for Senior District Judge John C. Lifland
as of 05/17/04**

Travel Dates	Destination	Purpose	Bus Exp Days
05/07/03 to 05/09/03	Washington, District of Columbia	FIC educational seminar on program Funded by: FIC	\$432 2.5
10/27/03 to 10/29/03	Palm Beach, Florida	Meeting of U.S. Judicial Conference or committees Funded by: AO	\$754 2.5
11/09/03 to 11/11/03	Philadelphia, Pennsylvania	Circuit judicial conference Funded by: Court	\$814 2.0
Totals:			\$2,000 7.0

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

LIFLAND, JOHN C

Date of Report

6/2/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

6/2/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544