

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KAUFFMAN, BRUCE W.	2. Court or Organization W.S. DISTRICT COURT, EASTERN P	3. Date of Report 05/05/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address ROOM 5613, U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DIRECTOR	FEDERAL JUDGES ASSOCIATION
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	JUDICIAL RETIREES & SURVIVORS. VESTED PENSION	\$137,938.60
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	SELF-EMPLOYED MARKETING DESIGNER (SEE NOTE 10)
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. FEDERAL JUDGES ASSOCIATION ANNUAL MEETING	MAY 5, 2008	WASHINGTON, D.C.	ANNUAL BOARD MEETING	MEALS AND HOTEL
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. EDUCATION SERVICES (SEE NOTE 7)	STUDENT LOAN	K
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

KAUFFMAN, BRUCE W.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PENNSYLVANIA BUSINESS BANK - C HECKING	A	Interest	J	T					
2. PNC BANK (X)	C	Interest	J	T					
3. SOUTH CAROLINA (X)	A	Interest	J	T					
4. CITIZENS BANK (X)	B	Interest	J	T					
5. MOSAIC FUNDS	A	Dividend	J	T					
6. CANTONE RESEARCH - IRA (SEE NOTE 1)	E	Int./Div.							
7. CANTONE RESEARCH - IRA (SEE NOTE 1)	G	Distribution	M	T					
8. WOMEN'S INVESTMENT NETWORK (SEE NOTE 13)	A	Int./Div.	J	U			J	B	
9. HOLLIDAYSBURG ASSOCIATES, L.P.	B	Distribution	J	U					
10. HOLLIDAYSBURG LAND ASSOCIATES, LP		None	J	U					
11. EVERGREEN INSTITUTION MM FD (SEE NOTE 2)									
12. GENERAL ELECTRIC COMPANY					Sold	1/14	J		
13. SAFEGUARD SCIENTIFIC INC.					Sold	1/14	J		
14. NUVEEN QUALITY PREFERRED INCOME FUND 2					Sold (part)	1/31	J		
15. PUBLIC STORAGE CUMULATIVE PERPETUAL PFD					Sold	1/31	J		
16. CAROLINA GROUP					Sold (part)	1/31	J		
17. TRINA SOLAR LTD SPON ADR (X)					Buy	1/31	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

KAUFFMAN, BRUCE W.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. TRINA SOLAR LTD SPON ADR					Sold (part)	1/31	J		
19. SOUTHERN COPPER CORP (X)					Buy	1/31	K		
20. SOUTHERN COPPER CORP					Sold (part)	1/31	J		
21. ANNALY MTG MGMT REIT					Sold (part)	1/31	J		
22. GENL MOTORS ACCEPTANCE NOTES					Sold (part)	1/31	K		
23. FIRST TRUST HIGH YIELD C/E PORT					Sold	1/31	K		
24. CALL CAROLINA GROUP					Buy (add'l)	1/31	J		
25. GENERAL MOTORS ACCEPT CORP FLOATING RTE SMARTNOTES					Sold (part)	2/06	K		
26. CAROLINA GROUP					Sold (part)	3/5	K		
27. CAROLINA GROUP					Buy (add'l)	3/5	J		
28. ANNALY MTG MGMT					Sold	4/9	K		
29. BONA VISTA ENERGY TRUST					Sold (part)	4/9	K		
30. CAROLINA GROUP					Sold	4/9	K		
31. NUVEEN QUALITY PREFERRED IN COME FUND 2					Sold	4/9	K		
32. TRINA SOLAR LTD SPON ADR					Buy (add'l)	4/9	J		
33. TRINA SOLAR LTD SPON ADR					Sold	4/9	K		
34. CAROLINA GROUP					Buy (add'l)	4/9	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ANNALY MGT MGMT					Buy	4/9	J		
36. THOMSON PLASTICS, INC. (SEE NOTE 3)						4/29	J		
37. CAROLINA GROUP					Buy (add'l)	5/12	J		
38. LOEWS CORPORATION/CHG CAROLINA GROUP STOCK (SEE NOTE 4)						5/6	J		
39. LORILLARD INC FMGR FR LOEWS CORP (SEE NOTE 4)						5/6	J		
40. SOUTHERN COPPER CORP (SEE NOTE 5)					Distributed (part)	7/10	J		
41. LORILLARD INC.					Sold (part)	7/11	J		
42. SOUTHERN COPPER CRP					Sold (part)	9/22	J		
43. LORILLARD INC					Sold (part)	11/20	J		
44. SOUTHERN COPPER CRP					Sold (part)	11/20	J		
45. LORILLARD INC (SEE NOTE 1)					Buy (add'l)	11/20	J		
46. SOUTHERN COPPER CRP (SEE NOTE 1)					Buy (add'l)	11/20	J		
47. PENN MUTUAL LIFE INSURANCE ANNUITY DISTRIBUTION (SEE NOTE 6)	D	Distribution	J	T					
48. FIRST TRUST SENIOR LOAN HIGH YIELD (SEE NOTE 1)									
49. BONAVISTA ENERGY TRUST (SEE NOTE 1)									
50. ENTERRA ENERGY TRUST (SEE NOTE 1)									
51. EMERGE INTERACTIVE, INC. (SEE NOTE 1)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
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52. HEALTHFUSION.COM (SEE NOTE 1)									
53. CSP DEBT ACCOUNT LLC	B	Distribution	J	U					
54. THIFT SAVINGS PLAN (X) (SEE NOTE 11)	E	Distribution	J	U					
55. EVERGREEN US GOVT MONEY MARKET FD CL A (SEE NOTE 2) (X)									
56. HOLLIDAYSBURG ASSOCIATES, L.P. (SEE NOTE 14)	A	Interest	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1.) FROM 2008 REPORT, PART VII, LINE 6,7 - SAME ACCOUNT. BROKEN OUT TO SHOW DIFFERENT ASPECTS OF INCOME. TOTAL VALUE OF ACCOUNT REPORTED ON LINE 7. LINES 45,46,48,49,50,51,52 SHOW THE INDIVIDUAL ASSETS THAT MAKE UP THE TOTAL VALUE OF THE ACCOUNT AT THE END OF 2008.
- 2.) FROM 2008 REPORT, PART VII, LINE 11 AND LINE 55, EVERGREEN INSTITUTION MM FD - MONEY MARKET ACCOUNT USED TO HOLD IDLE CASH.
- 3.) FROM 2008 REPORT, PART VII, LINE 36, TRANSFERRED FROM PREVIOUS CUSTODIAN/TRUSTEE.
- 4.) FROM 2008 REPORT, PART VII, LINE 38 AND 39, LOEWS CORPORATION MEGER WITH LORILLARD INC.
- 5.) FROM 2008 REPORTS, PART VII, LINE 40, STOCK DISTRIBUTION.
- 6.) FROM 2007 REPORT, PART III, LINE 1, THE INFORMATION FOR PENN MUTUAL LIFE INSURANCE IS NOW BEING REPORTED IN PART VII ON LINE 47.
- 7.) FROM 2007 REPORT, PART VI, LINE 1, THE COMPANY NAME TO WHOM THE DEBT IS OWED IS NOW EDUCATION SERVICES. THIS IS FOR NAMESAKE ONLY AND THE DEBT HAS NOT BEEN REFINANCED AND NO NEW STUDENT LOAN DEBT HAS BEEN INCURRED.
- 8.) FROM 2007 REPORT, PART VI, LINE 2, AS NOTED IN PREVIOUS REPORT THIS DEBT WAS PAID IN FULL DURING 2007. IT HAS BEEN REMOVED FROM THIS REPORT.
- 9.) FROM 2007 REPORT, PART VIII, LINE 33. THERE IS NO LONGER AN INVESTMENT INTEREST IN HPH-POPULAR STREET ASSOCIATES LP. THIS INVESTMENT HAS BEEN REMOVED FROM THE REPORT.
- 10.) FROM 2008 REPORT, PART III-B, LINE 1, SELF-EMPLOYED MARKETING DESIGNER - DURING THE YEAR THIS COMPANY MADE A DIVIDEND DISTRIBUTION OF \$10,000
- 11.) FROM 2008 REPORT, PART VII, LINE 54, ASSET HAS BEEN DISTRIBUTED ENTIRELY IN 2008. THIS WILL NOT APPEAR ON FUTURE REPORTS.
- 12.) FROM 2007 REPORT, LINE 37, THERE IS NO LONGER MONEY DEPOSITED WITH CAPE SAVINGS BANK. THE INVESTMENT INCOME HAS BEEN REMOVED FROM THIS REPORT.
- 13.) FROM 2008 REPORT, PART VII, LINE 8, GAIN BEING REPORTED IS A RESULT A CAPITAL GAIN ON THE ASSET. THIS ASSET WAS NOT SOLD DURING THE YEAR.
- 14.) FROM 2008 REPORT, PART VII, LINE 56, ASSET IS REPORTED ON BOTH LINE 9 AND LINE 56. LINE 9 SHOWS THE DISTRIBUTION WHICH WAS RECEIVED DURING THE YEAR AND LINE 56 SHOWS THE AMOUNT OF INTEREST EARNED.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544