United States District Court

Northern District of New York
100 South Clinton Street
P.O. Box 7255
Syracuse, New York 13261-7255

Frederick J. Scullin, Jr.
Chief Judge

(315) 234-8560

July 30, 2004

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 20301
One Columbus Circle, N.E.
Washington, D.C. 20544

To Whom It May Concern:

I am enclosing original and three copies of my ***Amended*** Financial Disclosure Report for the year 2003. Thank you for your consideration in this matter.

Very truly yours,

[redacted]

Frederick J. Scullin, Jr.
Chief United States District Judge

Enclosures

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DISCLOSURE OFFICE

AMENDED

AO-10
Rev. 1/2002

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics In Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)	2. Court or Organization	3. Date of Report
Scullin, Frederick J	U.S. District Court (NDNY)	7/30/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5. Report Type** (check appropriate type)	**6. Reporting Period**
Chief U.S. Distric Judge- Acti	○ Nomination, Date 2/10/1992 ○ Initial ◉ Annual ○ Final	1/1/2003 to 12/31/2003
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
PO Box 7255 100 S. Clinton Street Syracuse, NY 13261	Reviewing Officer_____ Date_____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	NONE	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☑ NONE - (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

☑ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1.			

AMENDED
FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Scullin, Frederick J	7/30/04

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1.	NY Intellectual Property Association	Annual Dinner 3/28/2003 in New York City (transportation, hotel and food).
2.		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☑ NONE - (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☑ NONE - (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			

AMENDED

REVISED 7/27/04

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Scullin, Frederick J	7/30/04

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure (2) Date: Month-Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. CWC Lenox (real estate) Limited Partnership					CLOSED	JAN 02		J	A
2. CWC Investors (real estate) 85 Limited Partnership		None	J	T					
3. Massachusetts Mutual SPLD	A	Dividend	K	T					
4. IRA Oppenheimer Mutual Fund Main Street	A	Dividend	K	T					
5. IRA-MASS.FINANCIAL SPECTRUM FUND (formerly) Bradford Trust		None	K	T					
6. Lord Abbott II Developing Growth Fund.		None	K	T					
7. Putnam Growth Opportunities (90)		None			Sell	05/09	J	A	
8. Putnam Growth Opportunities (93)		None			Sell	05/09	J	A	
9. [redacted] IRA MFS Research		None	J	T					
10. [redacted] IRA Putnam Growth Fund		None	K	T					
11. [redacted] FRANKLIN EQUITY (name change to: Capital Growth)		None	K	T					
12. Franklin Equity (name changed to : Capital Growth		None	J	T					
13. [redacted] Merrill Lynch CMA Account		None	J	T					
14. [redacted] Merrill Lynch CMA Account -		None	K	T					
15. [redacted] Merrill Lynch CMA Account		None	J	T					
16. [redacted] Merrill Lynch CMA Account		None	J	T					
17. MFS Strategic Growth*		None			Sell	05/09	J	A	
18. MFS Strategic Value		None	J	T	Buy	05/09	J		

1. Income/Gain Codes: A = $1,000 or less B = $1,001-$2,500 C = $2,501-$5,000 D = $5,001-$15,000 E = $15,001-$50,000
(See Columns B1 and D4) F = $50,001-$100,000 G = $100,001-$1,000,000 H1 = $1,000,001-$5,000,000 H2 = More than $5,000,000
2. Value Codes: J = $15,000 or less K = $15,001-$50,000 L = $50,001-$100,000 M = $100,001-$250,000
(See Columns C1 and D3) N = $250,000-$500,000 O = $500,001-$1,000,000 P1 = $1,000,001-$5,000,000 P2 = $5,000,001-$25,000,000
P3 = $25,000,001-$50,000,000 P4 = More than $50,000,000
Q = Cost (Real Estate Only) S = Assessment T = Cash Ma

AMENDED

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Scullin, Frederick J	7/30/04

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div. rent. or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date: Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
19. Putnam Mid-Cap Value(097)		None	J	T	Buy	05/09	J		
20. Putnam Mid-Cap Value (098)		None	J	T	Buy	05/09	J		

1. Income/Gain Codes (See Columns B1 and D4): A = $1,000 or less; B = $1,001-$2,500; C = $2,501-$5,000; D = $5,001-$15,000; E = $15,001-$50,000; F = $50,001-$100,000; G = $100,001-$1,000,000; H1 = $1,000,001-$5,000,000; H2 = More than $5,000,000

2. Value Codes (See Columns C1 and D3): J = $15,000 or less; K = $15,001-$50,000; L = $50,001-$100,000; M = $100,001-$250,000; N = $250,001-$500,000; O = $500,001-$1,000,000; P1 = $1,000,001-$5,000,000; P2 = $5,000,001-$25,000,000; P3 = $25,000,001-$50,000,000; P4 = More than $50,000,000

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment

AMENDED

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
SCULLIN, Frederick J.	7/30/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature [redacted] Date 7/30/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 5 additional copies to:

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Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO-10
Rev. 1/2002

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)	2. Court or Organization	3. Date of Report
Scullin, Frederick J	U.S. District Court (NDNY)	5/11/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5. ReportType** (check appropriate type)	**6. Reporting Period**
Chief U.S. Distric Judge- Acti	○ Nomination, Date 2/10/1992 ○ Initial ◉ Annual ○ Final	1/1/2003 to 12/31/2003
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FINANCIAL DISCLOSURE REPORT	Name of Person Reporting Scullin, Frederick J	Date of Report 5/11/2004

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FINANCIAL DISCLOSURE REPORT
Page 1 of 2

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Scullin, Frederick J	5/11/2004

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(See Column C2) U = Book Value; V = Other; W = Estimated

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Scullin, Frederick J	5/11/2004

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FINANCIAL DISCLOSURE REPORT	Name of Person Reporting Scullin, Frederick J	Date of Report 5/11/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

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Signature [redacted] Date 5/11/04

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