

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                  |                                                                                                                                                                                                                             |                                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| 1. Person Reporting (Last name, First name, Middle initial)<br>LEFKOW, JOAN H                                                                    | 2. Court or Organization<br>USDC, N. DIST OF IL, E. DIV                                                                                                                                                                     | 3. Date of Report<br>5/11/2004                      |
| 4. Title (Article III Judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br>U.S. DISTRICT JUDGE (ACTIVE) | 5. Report Type (check appropriate type)<br><input type="radio"/> Nomination,      Date<br><input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final                                 | 6. Reporting Period<br>1/1/2003<br>to<br>12/31/2003 |
| 7. Chambers or Office Address<br>219 SOUTH DEARBORN STREET<br>ROOM 1956<br>CHICAGO, IL 60604                                                     | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |                                                     |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. \_\_\_\_\_

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. \_\_\_\_\_

RECEIVED  
MAY 11 2004  
U.S. DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
CHICAGO

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u>              | <u>GROSS INCOME</u><br>(yours, not spouse's) |
|----|-------------|-------------------------------------|----------------------------------------------|
| 1. | 2003        | John Marshall Law School - Teaching | \$3,000                                      |

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|----|-------------|------------------------|
| 1. | 2003        | Self-employed attorney |

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

|    | <u>SOURCE</u>              | <u>DESCRIPTION</u>                                                                 |
|----|----------------------------|------------------------------------------------------------------------------------|
| 1. | U.S. Department of Justice | January 10-15, 2003, Transportation; *See comments section for further explanation |

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. Northwestern University

Parent-Student Loan

J

# FINANCIAL DISCLOSURE REPORT

Page 1 of 1

Name of Person Reporting

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5/11/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                     | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                 | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                               |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 1. Single-family residence, 1983 (\$50,000) DuPage County, IL                                                               | D                                       | Rent                                | L                                               | W                                  |                                                    |                                |                                 |                                |                                                                   |
| 2. Smith Barney Money Fund Cash FP (401(k))                                                                                 | A                                       | Dividend                            | K                                               | T                                  | Buy                                                | 4/10                           | K                               |                                |                                                                   |
|                                                                                                                             |                                         |                                     |                                                 |                                    | Distribution                                       | 7/22                           | K                               |                                |                                                                   |
| 3. Federal Center Employees Credit Union, 5 jointly held accts                                                              | A                                       | Interest                            | J                                               | T                                  |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

### Regarding Section IV - Reimbursements:

Judge Lefkow was the target of a murder plot directed by recently-convicted white supremacist Matthew Hale. She and her family were provided airline tickets so that they could temporarily stay with relatives for security reasons.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 12 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544