

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ANDERSON, III, ROBERT L.	2. Court or Organization 11TH CIR. COURT OF APPEALS	3. Date of Report 05/04/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. CIRCUIT JUDGE (senior)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 56 Forsyth Street, N.W. Atlanta, GA 30303	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. FELLOW	AMERICAN BAR FOUNDATION
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 11/7/07	R. Lanier Anderson, III/Chas. Schwab & Co. Cust' IRA rollover
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Law Clerks	Judicial Ass't and Retired Judicial Ass't organized a law clerk reunion to celebrate	\$2,000.00
2.	my taking senior status (and 30 yrs. service on the court). Anonymous contributions	
3.	created a \$2000 gift presented to me on the 2-09 occasion to encourage an Alaskan	
4.	fishing trip. See Compendium Section 4.8-8 (2009); Instructions at 30.	
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SunTrust Bank - checking account	A	Interest	J	T					
2. Euro Pacific Growth Fund (IRA)	A	Dividend	K	T					
3. Euro Pacific Growth Fund (IRA)	A	Dividend	K	T					
4. Sturges Mfg., Utica, NY (common)	A	Dividend	K	W					
5. Exxon (common)	A	Dividend	K	T					
6. Fidelity Magellan Mutual Fund	A	Dividend			Sold	5/11/09	L	A	
7. Fidelity Cash Reserves (money mutual fund)	A	Dividend			Redeemed	4/9/09	J	A	
8. SunTrust Bank (common)	A	Dividend	K	T					
9. MFS Managed Multi-St. Tax Exempt (GA) mutual fund	B	Dividend	K	T					
10. MFS Managed Mu. Bd. Trust (mutual fund)	B	Dividend	L	T					
11. TIAA-CREF (see VIII)	C	Dividend	L	T					
12. House and lot, Bibb County, GA	A	Rent	M	W					
13. SunTrust Bank (common)	A	Dividend	J	T					
14. 1 shares Russell 1000 Index	A	Dividend	J	T					
15. 1 shares Russell 2000 Value	A	Dividend	K	T					
16. 1 shares Russell 2000 Index	A	Dividend	J	T					
17. 1 shares Russell 1000 Index	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A - \$1,000 or less
F - \$50,001 - \$100,000

B - \$1,001 - \$2,500
G - \$100,001 - \$1,000,000

C - \$2,501 - \$5,000
H - \$1,000,001 - \$5,000,000

D - \$5,001 - \$15,000
I12 - More than \$5,000,000

E - \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J - \$15,000 or less
N - \$250,001 - \$500,000
P1 - \$25,000,001 - \$50,000,000

K - \$15,001 - \$50,000
O - \$500,001 - \$1,000,000

L - \$50,001 - \$100,000
P1 - \$1,000,001 - \$5,000,000
P4 - More than \$50,000,000

M - \$100,001 - \$250,000
P2 - \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q - Appraisal
U - Book Value

R - Cost (Real Estate Only)
V - Other

S - Assessment
W - Estimated

T - Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. I shares Russell 2000 Value	A	Dividend	J	T					
19. I shares Russell 2000 Index	A	Dividend	J	T					
20. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
21. I shares Russell 2000 Value (IRA)	A	Dividend	K	T					
22. I shares Russell 2000 Index (IRA)	A	Dividend	J	T					
23. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
24. I shares Russell 2000 Value (IRA)	A	Dividend	K	T					
25. I shares Russell 2000 Index (IRA)	A	Dividend	J	T					
26. The Phoenix Co.	A	Dividend	J	T					see part VIII
27. The Phoenix Co. (whole life)	A	Dividend	J	T					See Part VIII
28. Genworth Life Ins. Co (whole life)	D	Dividend	M	T					
29. SunTrust Bank CD	B	Interest			Redeemed	7/24/09	L	A	
30. SunTrust Bank - money market	B	Interest	K	T					
31. RLA/Chas Schwab Cust-IRA rollover									See Part VIII
32. Schwab Value Advantage	A	Interest			Sold	3/11/09	L	A	See part VIII
33.									See Part VIII
34. DFA U.S. Large Cap Value	A	Dividend	K	T					

1. Income Gain Codes.
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

A - \$1,000 or less
F - \$50,001 - \$100,000
J - \$15,000 or less
N - \$250,001 - \$500,000
P3 - \$25,000,001 - \$50,000,000
Q - Appraisal
U - Book Value

B - \$1,001 - \$2,500
G - \$100,001 - \$1,000,000
K - \$15,001 - \$50,000
O - \$500,001 - \$1,000,000
R - Cost (Real Estate Only)
V - Other

C - \$2,501 - \$5,000
H1 - \$1,000,001 - \$5,000,000
L - \$50,001 - \$100,000
P1 - \$1,000,001 - \$5,000,000
P4 - More than \$50,000,000
S - Assessment
W - Estimated

D - \$5,001 - \$15,000
H2 - More than \$5,000,000
M - \$100,001 - \$250,000
P2 - \$5,000,001 - \$25,000,000
T - Cash Market

E - \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Vanguard Growth	A	Dividend	K	T					
36. DFA Two Year Global	A	Dividend	K	T	Buy	7/27/09	K		
37. Harbor Bond Fund	B	Dividend	K	T	Sold (part)	11/25/09	K	A	
38. Pimco Total Return Fund	A	Dividend	J	T					
39. Schwab S&P 500 Index Fund (formerly Schwab Inst. (ISLCX))	B	Dividend	L	T					See Part VIII
40. Vanguard GNMA Fund	A	Dividend	K	T	Buy	3/12/09	K		
41.									See Part VIII
42.									See Part VIII
43.									See Part VIII
44.									See Part VIII
45. Sch Muni Money Fund	A	Interest	J	T					
46.									See Part VIII
47. First City Bank (CD)	A	Interest			Redeemed	1/2/09	K		See Part VIII
48. First City Bank (CD)	A	Interest			Redeemed	1/2/09	J		See Part VIII
49. Pemco Commodity Real Fund (PCRD)	A	Dividend	K	T	Buy	11/25/09	K		
50. Vanguard Bond Index Fund (BSV)	A	Dividend	K	T	Buy	7/29/09	K		
51. Schwab GNMA Fund (SWG SX)	A	Dividend	J	T	Buy	11/29/09	J		

1. Income Gain Codes
(See Columns B1 and D4)

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G - \$100,001 - \$1,000,000

C - \$2,501 - \$5,000
H1 - \$1,000,001 - \$5,000,000

D - \$5,001 - \$15,000

F - \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J - \$15,000 or less
N - \$250,001 - \$500,000
P3 - \$25,000,001 - \$50,000,000

K - \$15,001 - \$50,000
O - \$500,001 - \$1,000,000

I - \$50,001 - \$100,000
P1 - \$1,000,001 - \$5,000,000
P4 - More than \$50,000,000

H2 - More than \$5,000,000

M - \$100,001 - \$250,000
P2 - \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q - Appraisal
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52. SPDR S&P Int'l Small Cap Fund (GWX)	A	Dividend	K	T	Buy	5/20/09	K		
53. Vanguard Emerging Mkt Fund (VWO)	A	Dividend	K	T	Buy	5/20/09	K		
54.									
55.									
56.									
57.									
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59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1. Income/Gain Codes: A - \$1,000 or less B - \$1,001 - \$2,500 C - \$2,501 - \$5,000 D - \$5,001 - \$15,000 E - \$15,001 - \$50,000
 (See Columns B1 and D4) F - \$50,001 - \$100,000 G - \$100,001 - \$1,000,000 H1 - \$1,000,001 - \$5,000,000 H2 - More than \$5,000,000
 2. Value Codes J - \$15,000 or less K - \$15,001 - \$50,000 L - \$50,001 - \$100,000 M - \$100,001 - \$250,000
 (See Columns C1 and D3) N - \$250,001 - \$500,000 O - \$500,001 - \$1,000,000 P1 - \$1,000,001 - \$5,000,000 P2 - \$5,000,001 - \$25,000,000
 P3 - \$25,000,001 - \$50,000,000
 3. Value Method Codes Q - Appraisal R - Cost (Real Estate Only) S - Assessment T - Cash Market
 (See Column C2) U - Book Value V - Other W - Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part V. Law Clerk Gift. the audit software thought something was missing (probably because description extended into lines 2-4), but nothing is missing; there was only one gift.

Part VII, Lines 26 and 27. Phoenix Co. As explained in 2008 Report, Phoenix whole life policies were disposed of in 2008 in a Sec. 1035 non-taxable exchange. However, my wife and I have as yet omitted to dispose of the minimal common stock we each had with respect to the two former Phoenix policies. Thus, I report common stock now.

Part VII, Line 31, is simply a heading to disclose that the following items (lines 32-50) that describe holdings or transactions in the IRA during 2009.

Part VII, Line 32, Schwab Value Advantage is a cash equivalent account into which my IRA parks cash until further investment. This account was sold in part on 3/11/09 and sold/closed out on 7/27/09.

Part VII. Lines 33, 41-44 and 46 are left blank so numbering of lines 1-48 will remain consistent with 2008 Report.

Part VII, Line 39. Schwab S&P 500 Index Fund (Symbol SWPPX) was the successor in a merger with Schwab Institutional (Symbol ISLCX) which was listed at line 39 of my 2008 Report.

Part VII, Lines 47 and 48. First City Bank CD's. These two CD's were redeemed on 1/2/09.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544