

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schall, Alvin A.	2. Court or Organization United States Court of Appeals for the Federal Circuit	3. Date of Report 05/07/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Suite 907 717 Madison Place, NW Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee (one of two)		Trust #2
2. Trustee (one of two)		Trustee #4
3. Executor (one of two)		Estate
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Rental of property for four weeks	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Rental of property for four weeks
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Merit Systems Protection Board	April 27-28, 2009	Indianapolis, IN	Speaker at the Board Legal Conference	Transportation, Meals, and Lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Sun Trust Bank	Loan-Secured by mortgage on real property owned by reporting person	J
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbott Laboratories, Inc.	B	Dividend	L	T					
2. Altria Group, Inc.	B	Dividend	K	T					
3. Boeing Co.	B	Dividend	K	T					
4. Citadel Broadcasting Corp		None	J	T					
5. Exxon Mobil Corp.	C	Dividend	M	T					
6. General Electric Co.	C	Dividend	K	T					
7. General Mills, Inc.	B	Dividend	K	T					
8. Home Depot, Inc.	B	Dividend	L	T					
9. Hospira, Inc.		None	J	T					
10. Intel Corp.	C	Dividend	L	T					
11. J.P. Morgan Chase & Co.	B	Dividend	L	T					
12. Kraft Foods, Inc.	A	Dividend	K	T					
13. MBIA, Inc.		None	J	T					
14. Minnesota Mining & Mfg. Co.	B	Dividend	L	T					
15. Motorola, Inc.	A	Dividend	K	T					
16. Pepsico, Inc.	C	Dividend	M	T					
17. Philip Morris Int'l. Inc.	C	Dividend	L	T					

1. Income Gain Codes: A = \$1,000 or less
(See Columns B1 and D4)
F = \$50,001 - \$100,000
2. Value Codes: I = \$15,000 or less
(See Columns C1 and D3)
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
3. Value Method Codes: Q = Appraisal
(See Column C2)
U = Book Value
- B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
- C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
- D = \$5,001 - \$15,000
I11 = \$1,000,001 - \$5,000,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
1 = Cash Market
- E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. R.R. Donnelly & Sons Co.	B	Dividend	K	T					
19. DWS Advisor Funds Mid-Cap Growth Fund Class S		None	L	T					
20. DWS International Emerging Markets Equity Fund Class S	A	Dividend	K	T	Buy (add'l)	12/24/09	J		
21. Walt Disney Co.	A	Dividend	L	T					
22. Yum Brands, Inc.	A	Dividend	K	T					
23. 5.75% MD State Health Higher Edu. Fac. Bond, due 07/01/09	B	Interest		T	Redeemed	07/01/09	K	D	
24. Capital One Bank Certificate of Deposit	B	Interest	K	T					
25. Capital One Bank Certificate of Deposit	C	Interest	L	T					
26. American Express Certificate of Deposit	C	Interest	L	T					
27. Wachovia Bank Certificate of Deposit	B	Interest	K	T					
28. DWS Cash Investment Trust*	A	Interest	L	T					
29. DWS Cash Investment Trust *	A	Interest	K	T					
30. Great Horn Mining Syndicate		None	J	U					
31. Edgartown Water Co.		None	J	U					
32. Mainbocher, Inc.		None	J	U					
33. Evergreen Money Fund	A	Interest	J	T					
34. Fidelity Cash Reserves Fund	A	Interest	L	T	Inherit	02/18/09	L		

*(Formerly Scudder Cash Investment Trust)

1. Income Gain Codes (See Columns H1 and H4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and C3)	F = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	I1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares	A	Dividend	M	T	Buy	09/14/09	M		
36.					Buy (add'l)	09/30/09	J		
37.					Buy (add'l)	10/30/09	J		
38.					Buy (add'l)	11/27/09	J		
39.					Buy (add'l)	12/31/09	J		
40. Vanguard Limited-Term Tax-Exempt Fund Admiral Shares	A	Dividend	M	T	Buy	09/14/09	M		
41.					Buy (add'l)	09/30/09	J		
42.					Buy (add'l)	10/30/09	J		
43.					Buy (add'l)	11/27/09	J		
44.					Buy (add'l)	12/31/09	J		
45. TRUST #1:									
46. Blackrock Funds International Bond Portfolio Fund	A	Dividend	J	T	Buy (add'l)	06/18/09	J		
47. Deutsche Bank Trust Co. U.S. Small Capitalization Fund	A	Dividend	J	T					
48. Deutsche Bank Trust Co. Tax-Free Income Fund	B	Dividend	K	T					
49. Deutsche Bank Trust Co. International Fund	A	Dividend	J	T					
50. Deutsche Bank Trust Co. U.S. Large Capitalization Value Fund	B	Dividend	M	T					
51. 2.22% U.S. Treasury Notes	A	Interest	J	T					

1. Income Gain Codes (See Columns B1 and D4)
 2. Value Codes (See Columns C1 and D3)
 3. Value Method Codes (See Column C2)

A - \$1,000 or less
 F - \$50,001 - \$100,000
 J - \$15,000 or less
 N - \$250,001 - \$500,000
 P1 - \$25,000,001 - \$50,000,000
 Q - Appraisal
 U - Book Value

B - \$1,001 - \$2,500
 G - \$100,001 - \$1,000,000
 K - \$15,001 - \$50,000
 O - \$500,001 - \$1,000,000
 R - Cost (Real Estate Only)
 V - Other

C - \$2,501 - \$5,000
 H1 - \$1,000,001 - \$5,000,000
 I - \$50,001 - \$100,000
 P1 - \$1,000,001 - \$5,000,000
 P4 - More than \$50,000,000
 S - Assessment
 W - Estimated

D - \$5,001 - \$15,000
 H2 - More than \$5,000,000
 M - \$100,001 - \$250,000
 P2 - \$5,000,001 - \$25,000,000
 T - Cash Market

E - \$15,001 - \$50,000

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52. DWS High Income Fund Class A	A	Dividend	J	T					
53. MSCI Emerging Markets Index Fund	A	Dividend	K	T					
54. Goldman Sachs Natural Resources Index Fund	A	Dividend	J	T					
55. Cohen & Steers Realty Index Fund	A	Dividend	J	T					
56. Deutsche Bank Trust Co. Money Market Deposit Account	A	Interest	J	T					
57. TRUST #2:									
58. American Express Co.	A	Dividend	K	T					
59. BP PLC	C	Dividend	L	T					
60. Kimberly Clark Corp.	B	Dividend	L	T					
61. Pfizer, Inc.	A	Dividend	K						
62. Raytheon Co.	A	Dividend	K	T					
63. R.R. Donnelly & Sons Co.	A	Dividend	K	T					
64. Wyeth Co.	A	Dividend	L	T	Merged (with line 61)	10/15/09			
65. Deutsche Bank Trust Co. U.S. Large Capitalization Growth Fund	C	Dividend	N	T					
66. Deutsche Bank Trust Co. Tax-Free Income Fund	D	Dividend	M	T					
67. Deutsche Bank Trust Co. International Fund	A	Dividend	J	T					
68. Deutsche Bank Trust Co. Money Market Deposit Account	A	Interest	K	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D1)
 2. Value Codes: F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
 (See Columns C1 and D3) J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 P3 = \$25,000,001 - \$50,000,000
 3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
 (See Column C2) U = Book Value V = Other W = Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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69. TRUST #3:									
70. Deutsche Bank Trust Co. U.S. Small Capitalization Fund	A	Dividend	J	T					
71. Deutsche Bank Trust Co. Tax-Free Income Fund	B	Dividend	K	T					
72. Deutsche Bank Trust Co. International Fund	B	Dividend	K	T					
73. Deutsche Bank Trust Co. U.S. Large Capitalization Value Fund	C	Dividend	M	T					
74. 2.22% U.S. Treasury Notes	A	Interest	J	T					
75. DWS High Income Fund Class A	A	Dividend	J	T					
76. MSCI Emerging Markets Index Fund	A	Dividend	K	T					
77. Goldman Sachs Natural Resources Index Fund	A	Dividend	J	T					
78. Cohen & Steers Realty Majors Index Fund	A	Dividend	J	T					
79. Deutsche Bank Trust Co. Money Market Deposit Account	A	Interest	J	T					
80. TRUST #4:									
81. American Express Co.	A	Dividend	K	T					
82. BP PLC	B	Dividend	K	T					
83. General Electric Co.	A	Dividend	K	T					
84. Merck & Co., Inc.	B	Dividend	K	T					
85. Procter & Gamble Co.	B	Dividend	K	T					

1. Income Gain Codes (See Columns B1 and D1):
A = \$1,000 or less
B = \$1,001 - \$2,500
C = \$2,501 - \$5,000
D = \$5,001 - \$15,000
E = \$15,001 - \$50,000
F = \$50,001 - \$100,000
G = \$100,001 - \$1,000,000
H = \$1,000,001 - \$5,000,000
I = \$5,000,001 - \$100,000,000
J = \$100,000,001 - \$250,000,000
K = \$250,000,001 - \$500,000,000
L = \$500,000,001 - \$1,000,000,000
M = More than \$1,000,000,000
2. Value Codes (See Columns C1 and D3):
A = \$15,000 or less
B = \$15,001 - \$50,000
C = \$50,001 - \$100,000
D = \$100,001 - \$500,000
E = \$500,001 - \$1,000,000
F = \$1,000,001 - \$5,000,000
G = \$5,000,001 - \$10,000,000
H = \$10,000,001 - \$50,000,000
I = \$50,000,001 - \$100,000,000
J = \$100,000,001 - \$250,000,000
K = \$250,000,001 - \$500,000,000
L = \$500,000,001 - \$1,000,000,000
M = More than \$1,000,000,000
3. Value Method Codes (See Column C2):
Q = Appraisal
R = Cost (Real Estate Only)
S = Assessment
T = Book Value
U = Other
V = Estimated
W = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

* (Formerly Dreyfus Municipal Bond Fund Admiral Shares Fund)

1. Income/Gain Codes (See Columns H1 and D4)	A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000	F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$10,000,000 J = \$10,000,001 - \$50,000,000 K = \$50,000,001 - \$1,000,000,000	L = \$1,000,001 - \$5,000,000 M = \$5,000,001 - \$25,000,000 N = \$25,000,001 - \$50,000,000 O = \$50,000,001 - \$1,000,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000 R = \$25,000,001 - \$50,000,000 S = More than \$50,000,000	T = \$15,001 - \$50,000 U = More than \$50,000,000 V = \$100,001 - \$1,000,000 W = \$1,000,001 - \$5,000,000 X = \$5,000,001 - \$25,000,000 Y = \$25,000,001 - \$50,000,000 Z = \$50,000,001 - \$1,000,000,000
2. Value Codes (See Columns C1 and D3)	P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = \$50,000,001 - \$1,000,000,000 P5 = \$1,000,001 - \$5,000,000 P6 = \$5,000,001 - \$25,000,000 P7 = \$25,000,001 - \$50,000,000 P8 = \$50,000,001 - \$1,000,000,000 P9 = \$1,000,001 - \$5,000,000 P10 = \$5,000,001 - \$25,000,000 P11 = \$25,000,001 - \$50,000,000 P12 = \$50,000,001 - \$1,000,000,000 P13 = \$1,000,001 - \$5,000,000 P14 = \$5,000,001 - \$25,000,000 P15 = \$25,000,001 - \$50,000,000 P16 = \$50,000,001 - \$1,000,000,000 P17 = \$1,000,001 - \$5,000,000 P18 = \$5,000,001 - \$25,000,000 P19 = \$25,000,001 - \$50,000,000 P20 = \$50,000,001 - \$1,000,000,000 P21 = \$1,000,001 - \$5,000,000 P22 = \$5,000,001 - \$25,000,000 P23 = \$25,000,001 - \$50,000,000 P24 = \$50,000,001 - \$1,000,000,000 P25 = \$1,000,001 - \$5,000,000 P26 = \$5,000,001 - \$25,000,000 P27 = \$25,000,001 - \$50,000,000 P28 = \$50,000,001 - \$1,000,000,000 P29 = \$1,000,001 - \$5,000,000 P30 = \$5,000,001 - \$25,000,000 P31 = \$25,000,001 - \$50,000,000 P32 = \$50,000,001 - \$1,000,000,000 P33 = \$1,000,001 - \$5,000,000 P34 = \$5,000,001 - \$25,000,000 P35 = \$25,000,001 - \$50,000,000 P36 = \$50,000,001 - \$1,000,000,000 P37 = \$1,000,001 - \$5,000,000 P38 = \$5,000,001 - \$25,000,000 P39 = \$25,000,001 - \$50,000,000 P40 = \$50,000,001 - \$1,000,000,000 P41 = \$1,000,001 - \$5,000,000 P42 = \$5,000,001 - \$25,000,000 P43 = \$25,000,001 - \$50,000,000 P44 = \$50,000,001 - \$1,000,000,000 P45 = \$1,000,001 - \$5,000,000 P46 = \$5,000,001 - \$25,000,000 P47 = \$25,000,001 - \$50,000,000 P48 = \$50,000,001 - \$1,000,000,000 P49 = \$1,000,001 - \$5,000,000 P50 = \$5,000,001 - \$25,000,000 P51 = \$25,000,001 - \$50,000,000 P52 = \$50,000,001 - \$1,000,000,000 P53 = \$1,000,001 - \$5,000,000 P54 = \$5,000,001 - \$25,000,000 P55 = \$25,000,001 - \$50,000,000 P56 = \$50,000,001 - \$1,000,000,000 P57 = \$1,000,001 - \$5,000,000 P58 = \$5,000,001 - \$25,000,000 P59 = \$25,000,001 - \$50,000,000 P60 = \$50,000,001 - \$1,000,000,000 P61 = \$1,000,001 - \$5,000,000 P62 = \$5,000,001 - \$25,000,000 P63 = \$25,000,001 - \$50,000,000 P64 = \$50,000,001 - \$1,000,000,000 P65 = \$1,000,001 - \$5,000,000 P66 = \$5,000,001 - \$25,000,000 P67 = \$25,000,001 - \$50,000,000 P68 = \$50,000,001 - \$1,000,000,000 P69 = \$1,000,001 - \$5,000,000 P70 = \$5,000,001 - \$25,000,000 P71 = \$25,000,001 - \$50,000,000 P72 = \$50,000,001 - \$1,000,000,000 P73 = \$1,000,001 - \$5,000,000 P74 = \$5,000,001 - \$25,000,000 P75 = \$25,000,001 - \$50,000,000 P76 = \$50,000,001 - \$1,000,000,000 P77 = \$1,000,001 - \$5,000,000 P78 = \$5,000,001 - \$25,000,000 P79 = \$25,000,001 - \$50,000,000 P80 = \$50,000,001 - \$1,000,000,000 P81 = \$1,000,001 - \$5,000,000 P82 = \$5,000,001 - \$25,000,000 P83 = \$25,000,001 - \$50,000,000 P84 = \$50,000,001 - \$1,000,000,000 P85 = \$1,000,001 - \$5,000,000 P86 = \$5,000,001 - \$25,000,000 P87 = \$25,000,001 - \$50,000,000 P88 = \$50,000,001 - \$1,000,000,000 P89 = \$1,000,001 - \$5,000,000 P90 = \$5,000,001 - \$25,000,000 P91 = \$25,000,001 - \$50,000,000 P92 = \$50,000,001 - \$1,000,000,000 P93 = \$1,000,001 - \$5,000,000 P94 = \$5,000,001 - \$25,000,000 P95 = \$25,000,001 - \$50,000,000 P96 = \$50,000,001 - \$1,000,000,000 P97 = \$1,000,001 - \$5,000,000 P98 = \$5,000,001 - \$25,000,000 P99 = \$25,000,001 - \$50,000,000 P100 = \$50,000,001 - \$1,000,000,000	Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market U = Other V = Book Value W = Estimated	X = Appraisal Y = Cost (Real Estate Only) Z = Assessment AA = Cash Market AB = Other AC = Book Value AD = Estimated	AE = Appraisal AF = Cost (Real Estate Only) AG = Assessment AH = Cash Market AI = Other AJ = Book Value AK = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Schall, Alvin A.

Date of Report

05/07/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	08/31/09	J		
104.					Buy (add'l)	09/30/09	J		
105.					Buy (add'l)	10/30/09	J		
106.					Buy (add'l)	11/30/09	J		
107.					Buy (add'l)	12/30/09	J		
108.					Sold (part)	07/21/09	N	F	
109.					Sold (part)	07/23/09	O	F	
110. J.P. Morgan Intermediate Tax-Free Bond Fund Select Fund	E	Dividend			Buy (add'l)	01/30/09	J		
111.					Buy (add'l)	02/27/09	J		
112.					Buy (add'l)	03/31/09	J		
113.					Buy (add'l)	04/30/09	J		
114.					Buy (add'l)	05/29/09	J		
115.					Buy (add'l)	06/23/09	J		
116.					Buy (add'l)	07/21/09	J		
117.					Sold	09/01/09	O	F	
118. Vanguard Long-Term Tax-Exempt Fund	A	Dividend			Buy (add'l)	01/30/09	J		
119.					Buy (add'l)	02/27/09	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

I = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Schall, Alvin A.

Date of Report

05/07/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/31/09	J		
121.					Buy (add'l)	04/30/09	J		
122.					Buy (add'l)	05/29/09	J		
123.					Buy (add'l)	06/30/09	J		
124.					Buy (add'l)	07/31/09	J		
125.					Sold	09/01/09	J	A	
126. Vanguard Short-Term Tax-Exempt Admiral Shares Fund	B	Dividend			Buy (add'l)	01/30/09	J		
127.					Buy (add'l)	02/27/09	J		
128.					Buy (add'l)	03/31/09	J		
129.					Buy (add'l)	04/30/09	J		
130.					Buy (add'l)	05/29/09	J		
131.					Buy (add'l)	06/30/09	J		
132.					Buy (add'l)	07/31/09	J		
133.					Sold	09/01/09	M	C	
134. Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares	D	Dividend			Buy (add'l)	01/31/09	J		
135.					Buy (add'l)	02/27/09	J		
136.					Buy (add'l)	03/31/09	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

I = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting Schall, Alvin A.	Date of Report 05/07/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/30/09	J		
138.					Buy (add'l)	05/29/09	J		
139.					Buy (add'l)	06/30/09	J		
140.					Buy (add'l)	07/31/09	J		
141.					Sold	09/01/09	M	E	
142. Williams, 79 Associates (Real Estate Partnership)	D	Distribution	J	W					
143.									
144.									
145.									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting

Schall, Alvin A.

Date of Report

05/07/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

ADDITIONAL INFORMATION WITH RESPECT TO PART VII OF THIS REPORT:

1. Items 1-18, 21, 22, 30-32, 58-64 and 81-85 are common stock holdings.
2. Items 19, 20, 34, 35, 40, 46, 52-55, 75-78, 88-91, 93, 94, 97, 110, 118, 126 and 134 are mutual funds.
3. Items 24-27 are certificates of deposit.
4. Items 33, 56, 68, 79 and 95 are in the nature of money markets accounts
5. Item 23 is a state bond.
6. Items 51 and 74 are United States Treasury Notes
7. Items 28 and 29 are Individual Retirement Accounts in the form of money markets accounts.
8. Items 47, 49, 50, 65, 67, 72, 87 and 92 are commingled common stock trust funds managed by Deutsche Bank Trust Co.
9. Items 48, 66 and 71 are commingled tax-free securities trust funds managed by Deutsche Bank Trust Co.
10. Item 87 is a commingled debt securities trust fund managed by Deutsche Bank Trust Co.
11. Item 142 is real estate partnership.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Schall, Alvin A.

Date of Report

05/07/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544